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Active Tracking System with Rapid Eye Movement Involving Simultaneous Top-down and Bottom-up Attention Control

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1. Introduction

Visual tracking of complex objects has been studied extensively in the field of robot vision, visual servoing, and surveillance. Detection of reliable low level visual features has been crucial, in the literature, for the stability of tracking in cluttered scene. However, using only low level features for tracking tends to be illusory for practical vision systems, and the selection of reliable visual features is still an important unsolved issue.

In recent studies it has been stressed the importance of high-level features in guiding control for long-duration tracking (Matsugu et al., 2006; Li et al., 2007; Yang et al., 2007), computer vision (Sun & Fisher, 2003), and visual search (Lee et al., 2005). Recent cognitive neuropsychological as well as brain imaging studies also revealed a role of top-down attention in visual search task in human vision system (Patel & Sathian, 2000; Hopfinger et al., 2000; Corbetta & Shulman, 2002; Navalpakkam & Itti, 2006).

In this chapter, we present a new object tracking vision system that incorporates both top-down and bottom-up attention processes. Tracking a specific object using both low-level and high-level features is not new and was previously studied (Isard & Blake, 1998) in a stochastic framework. The proposed active vision system in this chapter is task-oriented and tracks a specific object (i.e., person). The tracking process is initiated by the top-down process which is activated by robust object detection module (Matsugu & Cardon, 2004; Matsugu et al., 2004). Subsequent feature selection for tracking involves simultaneous *consolidation* mechanism between higher level complex features (e.g., face) obtained from the top-down process and low level features from the bottom-up process (detailed description is in Section 3). The active vision system controls eye movement based on prediction of an attended object's location by the above processes.

Main contribution of this chapter is that we propose a stable object tracking algorithm involving selective attention together with FF and FB hybrid control that enable smooth and saccadic pursuit. Specifically, we introduce a coherency measure of tracking features. Tracking using such measure ensures stability and fast recovery from failure (missing the object to be tracked) by way of *consolidation* among bottom-up and top-down attention cues. For the bottom-up feature-based prediction of tracked object, we use local color histogram, and histogram intersection (Swain & Ballard, 1991; Birchfield & Rangarajan, 2005) is used for feature matching. High-level, top-down feature for tracking is defined as detected face

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location and its predicted location. The coherency measure is used also for feature selection resulting in switching in-between low-level and high-level tracking features, and the active vision system controls panning/tilting angle of camera module.

We demonstrate fast and stable tracking of a person moving rapidly with abrupt motion change, attaining maximum instantaneous panning speed of 6deg/40ms. This saccadic pursuit is roughly equivalent to tracking an object moving at 10m/sec at the distance of 3m. Persistent tracking with stability and robustness is demonstrated in a number of experiments under drastic illumination changes, background clutters, and occlusions.

The organization of this chapter is as follows. In Section 2, we review conventional active vision systems for object tracking. In Section 3, details about the proposed algorithms are given and we propose a new feature coherency measure for selecting useful features for tracking, followed by demonstration of robustness and stability of tracking as well as fast and smooth eye movement control in a number of human tracking experiments. In Section 4, details about hardware implementation are explained. Section 5 shows results and performance, followed by discussion and conclusions in Section 6 and 7.

2. Overview of attention control, object tracking, and active vision

A great many models for attention control have been proposed (e.g., Koch & Ullman, 1985; Itti & Koch, 2000). Most existing algorithms in machine vision, with a few exceptions (e.g., Culhane & Tsotsos, 1992; Yang et al., 2007), exploit a class of saliency map derived from low-level features (Koch & Ullman, 1985; Treisman & Gelade, 1980) and a spatial attention window operates on the saliency map. It is a map of scalar values that encodes locations of something conspicuous in the visual field to guide vision related tasks such as visual search and servoing. Most of saliency measure is described in terms of low-level features such as color cues (Swain et al. 1992) or motion cues (Cretual et al., 1998; Bur et al., 2007) that are *different and popped-out* from neighborhood surrounding features.

On the other hand, a limited number of methods have been proposed for attention control that involves both top-down and bottom-up processes (Giefing et al., 1992; Olshausen et al., 1995; Sun & Fisher, 2003; Lee et al., 2005; Yang et al., 2007). Interest map (Giefing et al., 1992) is a kind of saliency map which unifies maps of pre-attentive low-level features and attentive features indicating object hypothesis, which is used to induce top-down saccadic shift. Dynamic routing circuit (Olshausen et al., 1995) was introduced for top-down attention control in a hierarchical feed-forward neural network model for object detection. Late attentional selection mechanism (Yang et al., 2007) involves top-down, higher cognitive process to enhance robustness of tracking under occlusion and cluttering and also to ensure long duration tracking. In this model, low-level features are used for bottom-up fast process and high-level features for top-down, slow process. A slow attentional process using top-down, object cue (Sun & Fisher, 2003) was combined with a fast, bottom-up process. Biologically motivated attention control architecture (Mancas et al., 2007) is also proposed with three levels of units, namely, low-, mid-, and high-levels, as opposed to conventional two levels of pre-attentive and attentive systems.

Integration of multiple modalities has been proven to be helpful for enhancing robustness in tracking as they act complementary source of visual cues. In fact, a saliency map is a class of integral representation of multiple cues (Itti et al., 1998; Bur et al., 2007). In particular, the integration of different cues for tracking using stochastic framework has long been addressed in the literature (Isard & Blake, 1998; Rasmussen & Hager, 2001; Wu & Huang,

2001, Serby et al., 2004). For instance, multiple low-level features (e.g., edges, textures, interest points) can be integrated into a particle filter framework for tracking (Serby et al., 2004). Covariance tracking (Porikli et al., 2006) can also combine different modalities such as infrared and color cues in covariance matrix. Integration of detection and tracking has also been proven to be effective for enhanced reliability (Sigal et al., 2004; Matsugu et al., 2006; Li et al., 2007). Model-based cues and model-free cues are also integrated in the framework of Kalman filtering (Kyrki & Kragic, 2005).

Complex object tracking (Drummond & Cipolla, 2002) is another important issue in robot vision functionalities such as visual servoing (Clark & Ferrier, 1992; Cretual, et al. 1998; Fujita et al., 2007), random bin-picking in eye-in-hand system, and people tracking in surveillance (Comaniciu et al., 2000; Li et al., 2006; Yang et al., 2006; Matsugu et al., 2006). In the field of visual search strategy for traditional purposive vision (Garvey, 1976; Ballard & Brown, 1992), fixation or gaze control was realized as a manifestation of attention control. The task oriented visual search deals with selecting objects and order of fixation that result in the control of eye movement.

Active vision system with tracking functionality has been studied extensively in the literature. A number of methods on object tracking with camera motion have been proposed (Hunt & Sanderson, 1982; Aloimonos et al., 1987; Burt et al, 1989; Birchfield, 1997; Birchfield & Rangarajan, 2005; Blake & Yuille, 1992; Murray & Basu, 1994; Bradshaw et al, 1994; Castrillón-Santana et al., 1998; Comaniciu et al., 2000; Murao et al., 2006; Yang et al., 2006), however, only a few works addressed co-realization of saccadic, quick eye-movement and smooth pursuit in an active vision head with pan/tilt operation. A notable exemplary work is coarse and fine resolutions for saccadic and smooth pursuit (Bradshaw et al., 1994).

As regards feature selection in active tracking system that involves pan/tilt/zoom control, primitive features such as color and motion have been favorably used in the literature. A notable system for tracking specific object using color cue is mean shift method (Comaniciu et al., 2000) that relies on color histogram for camera control. On-line feature selection is also important for enhanced stability. In a face tracking active vision (Yang et al., 2006) dominant color feature was selected during tracking. Motion cue has also been used as major and important feature. For instance, majority tracking algorithm (Burt et al., 1989) used optical flow and was implemented on pyramid vision hardware. Motion energy and ego-motion compensation (Murray & Basu, 1994) was introduced for calculating pan/tilt angles about the lens center. As in attention control, there have been a limited number of works (Castrillón-Santana et al., 1998, Matsugu et al., 2006; Yang et al., 2007) on using both low level and high level cues in active vision systems.

3. Tracking with selective attention

3.1 Top-down process

Specific goal of our task oriented active vision system is *long duration tracking* of a person under drastic illumination change, in cluttered scene with lots of distracters. The top-down process which constitutes slow subsystem involves fast and robust object detection (Matsugu & Cardon, 2004) using modified convolutional neural networks (MCoNN). In Fig. 2, we show a schematic architecture of MCoNN which constitutes a subsystem for face detection. The top-down stream generates prediction data sequence of a specific object to be tracked.

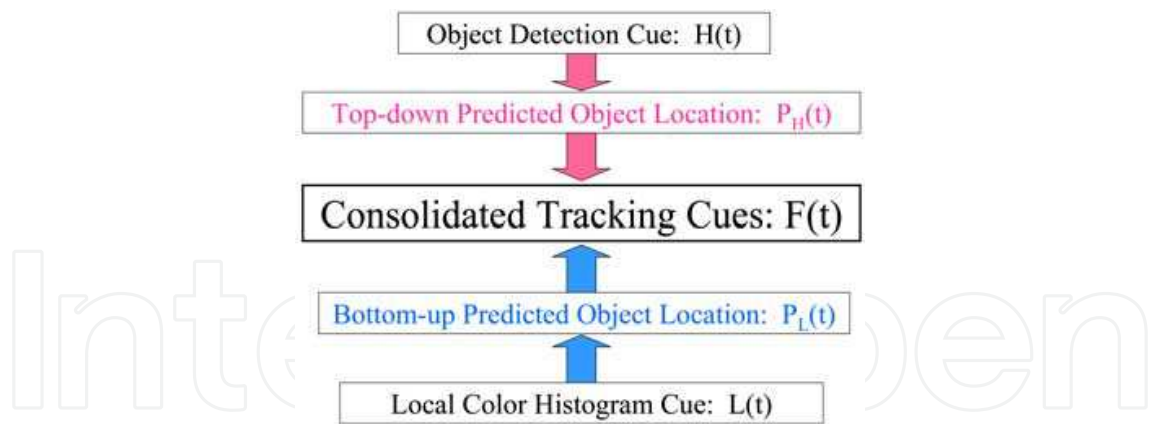


Fig. 1. Top-down and bottom-up attention cues are integrated as consolidated tracking cues

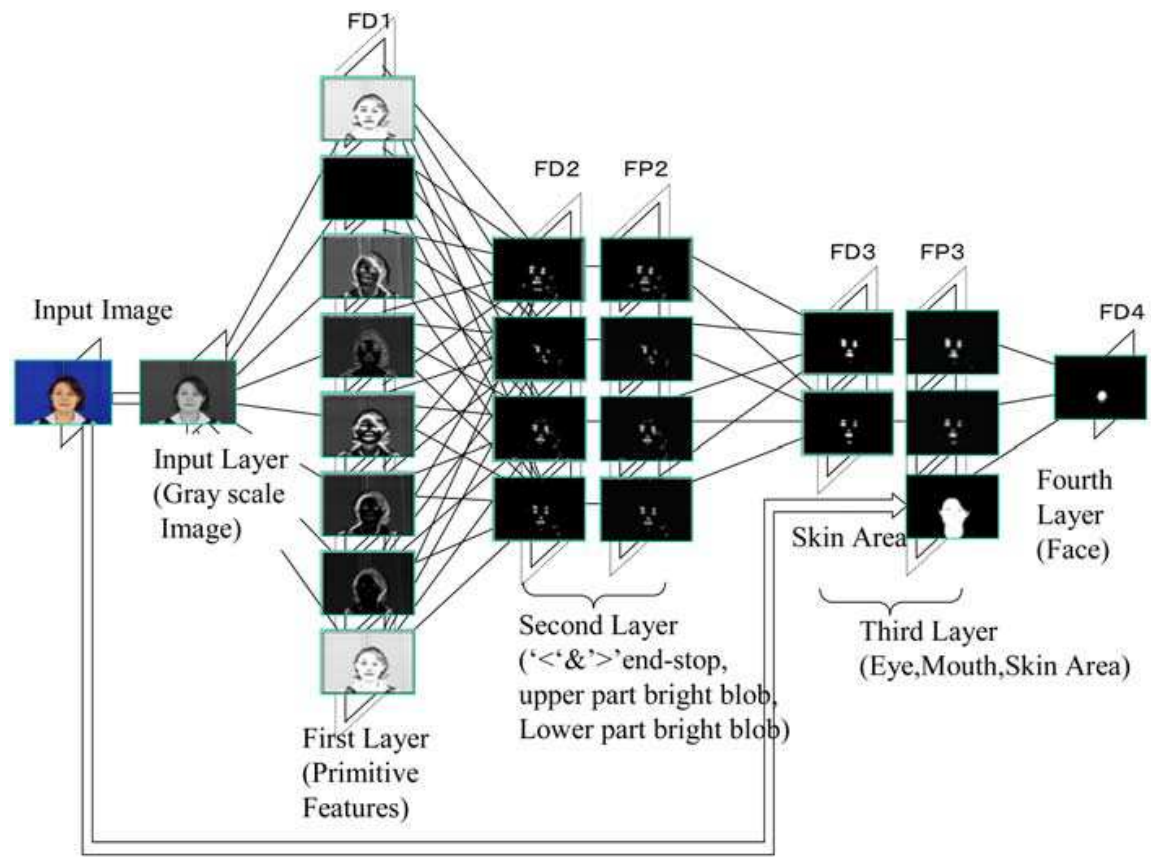


Fig. 2. Hierarchical architecture (MCoNN) for integrating predefined alphabetical local features (indicated in the second layer level) for object detection.

Fig. 3 shows a schematic diagram of object (face) detection algorithm as a whole. The algorithm is composed of two sub-modules. The first stage is a combination of modified cascaded filtering (Viola & Jones, 2001) system for fast and robust face detection, followed by MCoNN. The modified V&J (details will be published elsewhere) uses cascaded simple filters (e.g., rectangle filters extracting Haar-like features) and *integral image* representation (Crow, 1984; Viola & Jones, 2001). The second stage is composed of a set of MCoNNs each tuned to a specific rotation/size class of faces (Mitarai et al., 2003). As indicated in Fig.1, sequence of locations of a particular object-to-be-tracked obtained from the top-down subsystem is stored and fed to an intermediate subsystem that evaluates

coherency. The intermediate subsystem receives inputs from bottom-up stream as well, and it integrates those cues from the two streams to predict the location of the object in the upcoming frames as *consolidated* tracking cues.

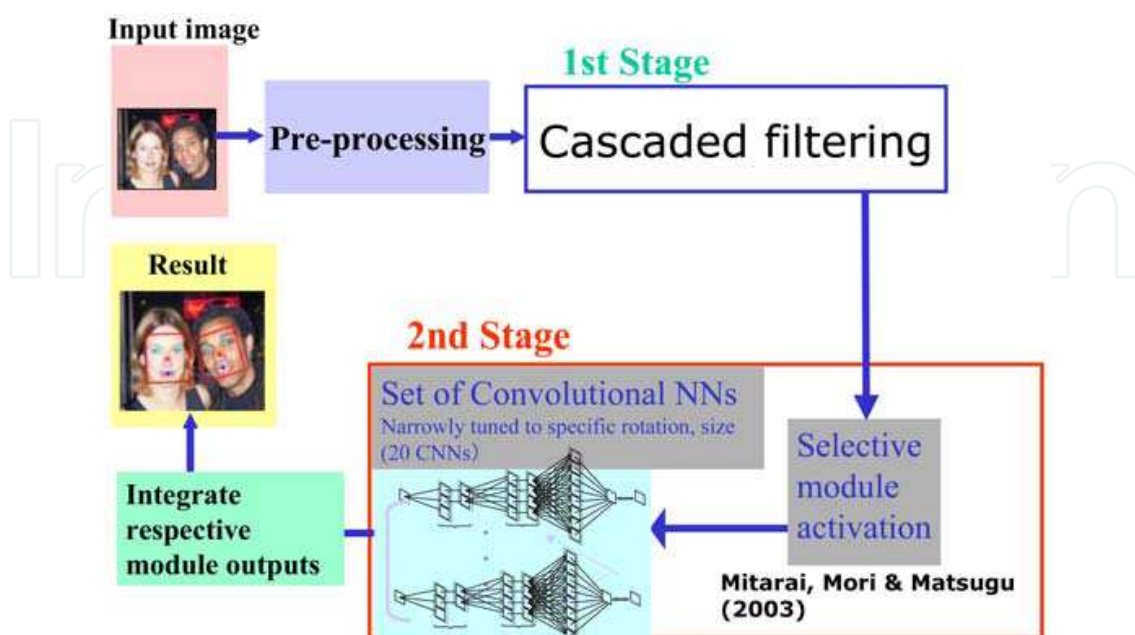


Fig. 3. Face detection process as a whole in the active vision system

3.2 Bottom-up process: integral feature description and matching using intersection

The bottom-up process involves extraction of local color cues inside attended region. In the proposed system, we used local color histogram as feature descriptor, since it potentially gives stable representation against noise without losing spatial information. We introduce a variant of integral representation of such features in order for fast computation of tracking features necessary for agility both in tracking (Porikli, 2005; Matsugu, et al., 2006) and in attention control (Frintrop et al., 2007). The integral representation of color histogram is given in (1).

$$H_{Y,I,Q}(x,y) = \sum_{x' \leq x, y' \leq y} b_{Y,I,Q}(x',y') \quad (1)$$

, where $H(x,y)$ is the integral data at (x,y) . Y, I , and Q are luminance and chrominance color coordinate value. The value of $b_{Y,I,Q}(x,y)$ is 1, if the bin with representative value of (Y', I', Q') is not empty at (x,y) , and otherwise, $b_{Y,I,Q}(x,y)$ is zero. The local color histogram in a rectangle region can be computed with reference to integral data at four corner points of the region (Crow, 1984). Face location can be predicted using local histogram matching by using difference measure. In this paper, we use histogram intersection (Swain & Ballard, 1991; Birchfield & Rangarajan, 2005) between local image patch $I(R)$ and $I(R')$, which is defined by (2).

$$\phi(I(R), I(R')) = \frac{\sum_{Y,I,Q} \min \left(\sum_R H_{Y,I,Q}(R), \sum_{R'} H_{Y,I,Q}(R') \right)}{\sum_{Y,I,Q} \sum_R H_{Y,I,Q}(R)} \quad (2)$$

, where R and R' are rectangle regions for local color histogram, and $H_{Y,I,Q}(R)$ is the area-summation (Crow, 1984) of local color histogram by using four H values given by (1) at respective corner points of the rectangle region R . Although the histogram intersection is not scale invariant, it is simple and effective for reliability and quick response of tracking even when the object moves with drastic appearance change in size (e.g., face, approaching the camera with much higher speed).

3.3 Feature coherency and autonomous switching between detection and tracking

The proposed system, without saliency map, *consolidates* respective streams of predicted object locations from bottom-up process by histogram matching (HM) and those from top-down process by face detection (FD). In the system, attention is covert, and attention shift is activated in an event driven manner so that tracking is maintained as long as possible. Once the system shall lose the sight of the tracked object, the top-down process (FD) dominates to search for the 'lost' object, and tracking is maintained by the bottom-up process (HM). Covert attention shift is activated when the lost object is detected inside the attention window in a way that attention window as well as camera pan/tilt angle is shifted to the predicted location (angle) of the object obtained from the FD process.

Control of pan/tilt camera head (details are given in Section 4) is accompanied by autonomous switching between two operation modes; detection mode and tracking mode. The duality and switching in between these two modes has also been explored in the literature (Chesi et al., 2003; Morioka et al., 2006; Yang et al., 2006). Distinct aspect of the present scheme as compared with similar past works (Sigal et al., 2004; Li et al., 2007) is the autonomous switching that results from integration of detection and tracking through a measure of coherence of top-down and bottom-up features. In view of preceding and current positional data (i.e., location queue of attention window), q , used for actual control, the coherency is given in (3).

$$C(p_{HM}, p_{FD}, q) = \min(\text{dist}(p_{HM}, q), \text{dist}(p_{FD}, q)) \quad (3)$$

, where $\text{dist}(p_s, q)$ is the distance between p_s (predicted position vector of object obtained from s process: FD or HM) and q (actual position vectors of preceding attention window). The coherency serves as tolerance parameter indicating whether or not hypothesized (predicted) location data are in accordance with previous control data. If p_{FD} for the current frame is not available due to occlusion or failure of object detection, p_{FD} is given as some fixed large value, C_0 (e.g., frame size) such that for any coherency value C , $C_0 > C$.

By using the above coherency measure, the consolidated tracking cue, $F(t)$, for the frame number t in Fig.1 for the pan/tilt control is drawn as follows.

$$F(t) = \begin{cases} p_{FD}(t-1) & \text{if top-down signal, } p_{FD}, \text{ available and } C = \text{dist}(p_{FD}, F(t-1)) \\ p_{HM}(t-1) & \text{if } C = \text{dist}(p_{HM}, F(t-1)) \text{ or } |p_{HM}(t) - \text{pred}(F(t))| < |p_{HM}(t) - F(t-1)| \\ F(t-1) & \text{otherwise} \end{cases} \quad (4)$$

, where $\text{pred}(F)$ is the linear prediction obtained from the preceding data F for more than 250ms. For faster calculation, we will simply use absolute difference for $\text{dist}(p, q) = |p - q|$.

3.4 Adaptive search area

Size and location of attention window are updated based on averaged prediction error for a fixed time interval (e.g., 150ms). This adaptive scheme is effective for increasing stability of tracking and to facilitate recovery from face detection failure and prediction error. The prediction error is defined by distances in horizontal and vertical directions on image plane, between predicted face location and the image center when face is detected. In the case of missing object (face) to be tracked, the error is given by \arctan of the last detected face size multiplied by a constant parameter. The size is gradually enlarged during the detection failure (missing the object to be tracked) inside the window. Fig. 4 illustrates how the attention window enlarges depending on the motion of the object and the difference between the center of window and object location. In the left side picture of Fig. 4, the attention window is enlarged in horizontal direction since the object is moving horizontally, whereas in the right picture, the window size is set larger due to missing (failure in the top-down process) of object in the previously set window.



Fig. 4. Variable attention window (rectangle region with pink line segments)

4. Hardware system

The prototype active vision system is composed of a small camera module, pan/tilt movement subsystem, DC motors, multi-rate hybrid control units. Most part of tracking control for each subsystem is implemented on FPGA board. Tracking and object detection algorithms run on PC as parallel tasks composed of multiple threads.

The best available instantaneous tracking speed was approximately 6 deg/40ms (pan) as shown in Fig. 5 (left). The result is equivalent to tracking a person running and switching motion direction approximately at 10m/sec with distance about 3m of the camera. By tuning control parameters to avoid overshooting, the result was obtained with estimated prediction data of face location given at every 10ms.

Fig. 6 shows the results of pan/tilt angle control and associated prediction, with the hybrid (feed-forward/feed-back) PD control system (details are given in Fig. 8). Linear prediction of face location based on observation sequence has resulted in noisy and unsteady sequence (pan and tilt prediction). Applying critical sensitivity analysis and appropriate tuning of gain parameters (i.e., P and D gain) of step response, the proposed hybrid control attained smoothness (stability) and agility of tracking as well. Observation period for prediction is over 250ms and more than three points of prior observations (e.g., predicted face locations or detected face locations) are utilized to obtain the linear prediction.

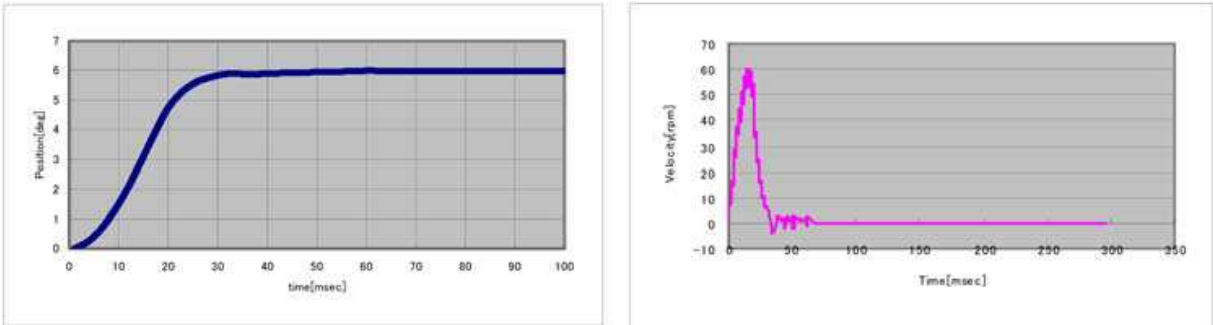


Fig. 5. Saccadic eyemovement without overshooting (left): angle-time, (right): vlocity-time

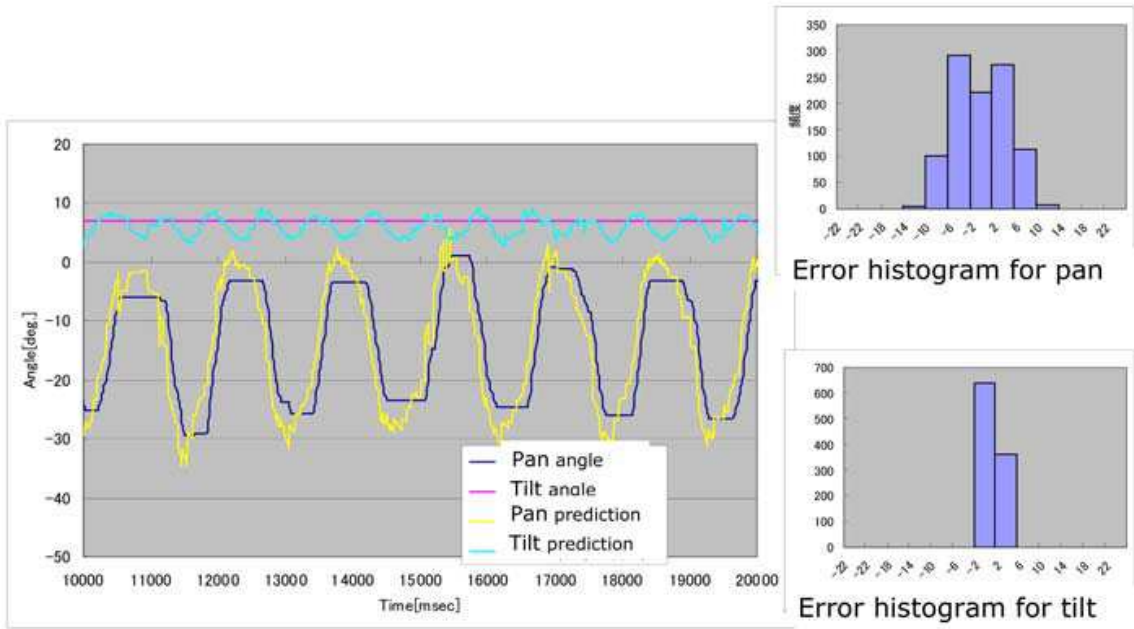


Fig. 6. Smooth and agile control with noisy prediction

The structure of pan/tilt system is a classic gimbal as shown in the middle and right side of Fig.7. Major component of the entire mechanical system with the time constant of 13ms are coreless DC motor (Maxon RE10), 1/40 reducer unit composed of three gears and a compact camera module (CK300: Keyence). Prototype picture of the vision head with display is given in the left of Fig. 7.

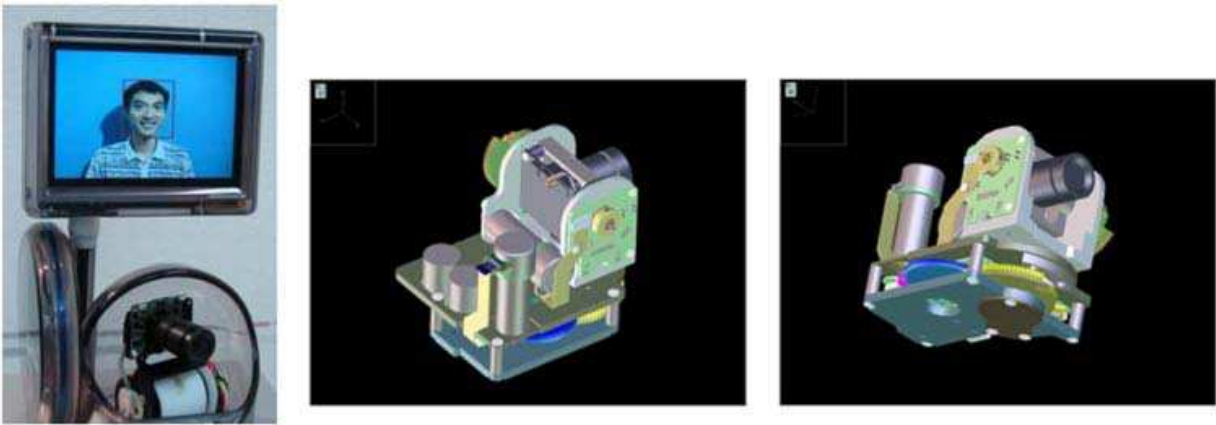


Fig. 7. Prototype active vision module with pan/tilt mechanical system

We employed a hybrid multi-rate control composed of FF based velocity control circuits and software operating at 100 Hz and FB based position control circuits operating at 5000Hz. The former helped realize smooth pursuit, and the latter contributed to the saccadic and quick response in our active tracking system. In Fig.8, most of components including camera controller and driver module, except for FF-velocity controller are implemented as hardware circuits on FPGA board (yellow block in Fig. 8).

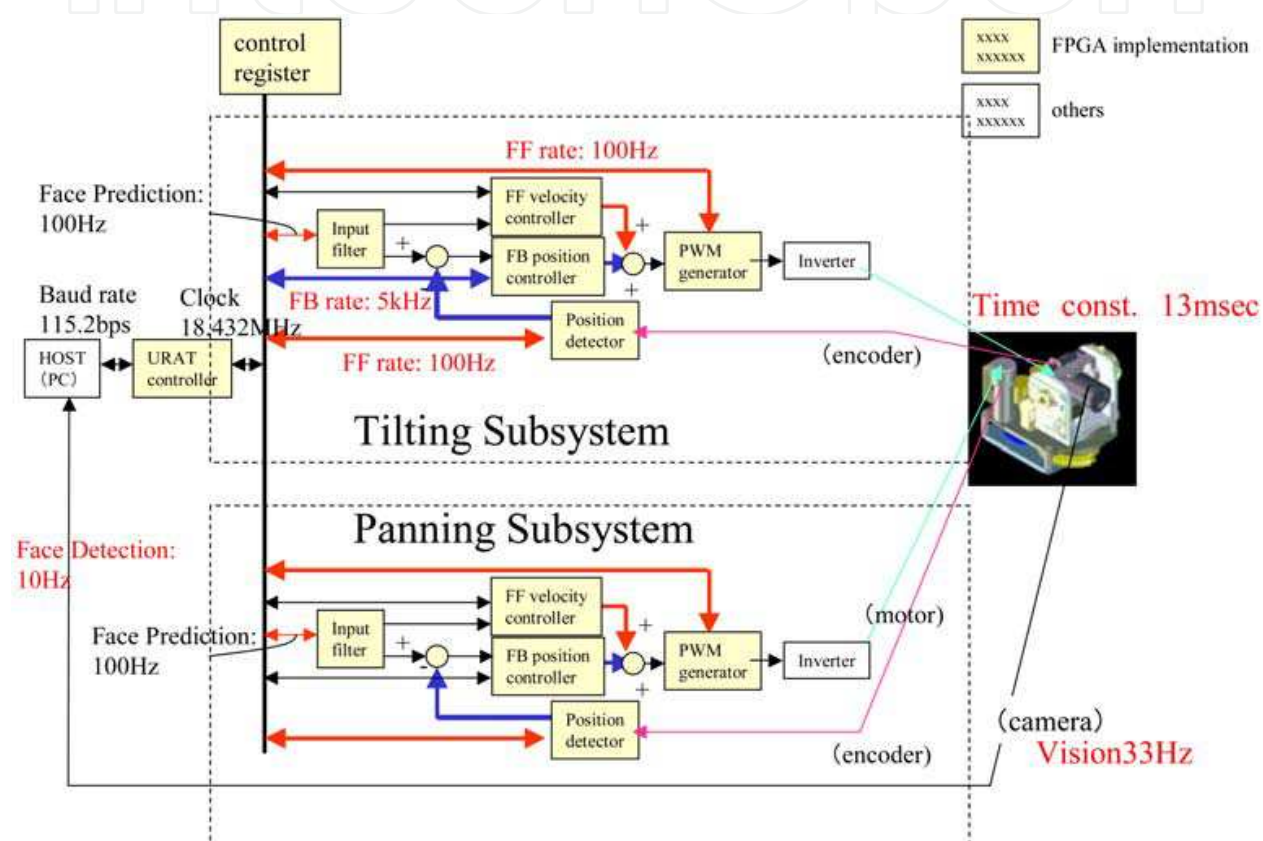


Fig. 8. Control system with fast feedback (5kHz) and slow feed-forward (100Hz) control signals

5. Results

The minimum size of attention window is $3.7\text{deg} \times 3.7\text{deg}$ and the maximum is $22.5\text{deg} \times 22.5\text{deg}$. The system generated interpolated sequence of face locations for every 10ms. To suppress hopping from one subject to another during tracking in a scene where multiple faces present, maximum tracking speed of face motion is set as 0.1 deg/ms , leading to limitation of search window size.

Algorithms for detecting object to be tracked and prediction of the object location in our active vision system are implemented on PC (Pentium IV at 3.6 GHz). The system operates with five approximately concurrent processes: image capturing, object detection, object

prediction, camera control, and external output. As shown in Fig. 10, a person jumping with abrupt change in direction of motion can be tracked under the condition of heavy illumination change, and such tracking response can also be observed in panning sequence.



Fig. 9. Running sequence tracked with panning camera control.

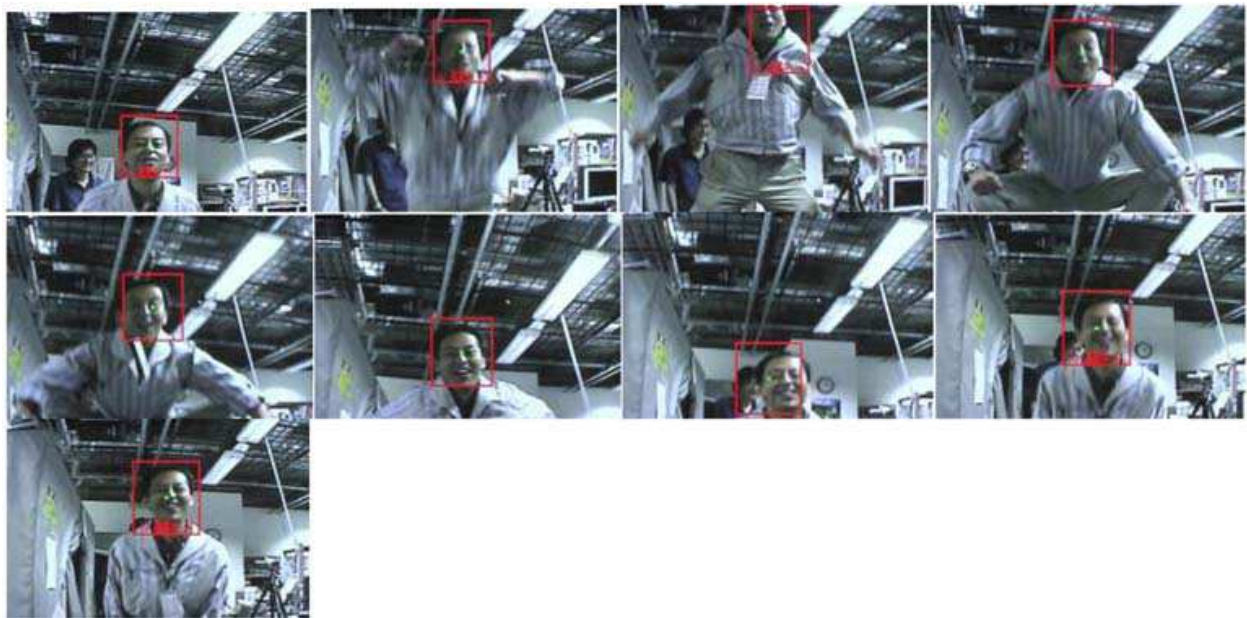


Fig. 10. Jumping sequence with motion blur, tracked with tilting camera control

Fig. 10 and Fig. 11 show tilting and panning control respectively for tracking sequences of jumping person with substantial motion blur. To further enhance the stability of tracking and to deal with temporary missing of tracked face due to occlusion or error in the top-down process, we introduced several heuristics; 1) inactivate pan/tilt control when located face is beyond the maximum speed of 0.1 deg/ms and no face location query is available in the time interval of 675ms, 2) use the last available object location obtained from the top-down process for pan/tilt control when the number of stored face location data that meet the coherency criterion (2) is less than four.

Fast recovery from error can be observed from these sequences. For example, the second picture in the top row of Fig. 11, the center of attention window is erroneous due to detection failure or prediction error, but in the next frame the center is correctly set. Such behavior results from the proposed attention control with feature consolidating mechanism proposed in Section 3, wherein both top-down and bottom-up processes are involved.



Fig. 11. Tracking a face of jumping person with rotation and motion blur

6. Discussion

Switching from detection (FD) mode to tracking mode with histogram matching (HM) is initiated typically when face detection is failed. Recovery from HM to FD mode is enforced when successful face detection inside the search window ensues. Stability in “visual servo” is thus realized by such covert attention shift and coherency based switching using top-down process. This event driven control turned out to be helpful for long-duration-tracking. Appropriate selection of control strategy is important to maximize performance (Papanikolopoulos et al., 1993), in terms of speed, stability, and robustness of active tracking systems. In this paper, we explored co-realization of saccadic and smooth pursuit using hybrid multi-rate control system (i.e., slow FF-velocity control and fast FB-position control). The proposed system integrated variety of modalities; fast processes (HM in bottom-up process and FB-position control) and slow processes (FD in top-down process and FF-velocity control). Such integrity of perception and control capable of long duration tracking

is a distinct aspect in contrast to similar active vision system (Castrillón-Santana et al., 1998). Using rather classical control strategy combined with the fast object detection and reliable computation of object cues from the image sequence, we successfully demonstrated quick and smooth response in visual servo and also effectiveness of proposed coherency based attention shift during tracking.

One notable point of this attention shift is fast recovery from failure (error) and another is the balance between quick response and smoothness in tracking. The former aspect is mainly due to the covert attention shift that utilizes robust object detection, which is accompanied with autonomous switching between FD mode and HM mode. Integral image representation of local color histogram was indispensable for quick computation of attention cues, and adaptive setting of search area based on linear prediction errors was also effective for ensuring the top-down attention shift.

Even if faced with nonlinear motion and abrupt change of moving direction of the tracked object, no sophisticated nonlinear control strategies are required due to covert attention shift resulting from top-down process, while preserving agility in response. This result was obtained partly because fast computation and selection of reliable bottom-up cues. The multi-rate (i.e. slow FF velocity control and fast FB position control) control turned out to be simple and computationally inexpensive, in contrast to existing methods (Yang et al., 2006; Morioka et al., 2006), and it could also strike a balance between saccade and smooth pursuit.

7. Conclusion

In this study, the task oriented active vision with top-down and bottom-up attention control demonstrated long duration tracking under bad illumination conditions, fast recovery from tracking error and quick response to abrupt change in moving direction of the tracked object. Nonlinearity of the object motion is handled by the proposed attention control without recourse to state-of-the art nonlinear control strategy. The consolidating mechanism between top-down and bottom-up features through coherency measure can serve as substrate for stable tracking of specific object with covert attention shift.

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This book presents research trends on computer vision, especially on application of robotics, and on advanced approaches for computer vision (such as omnidirectional vision). Among them, research on RFID technology integrating stereo vision to localize an indoor mobile robot is included in this book. Besides, this book includes many research on omnidirectional vision, and the combination of omnidirectional vision with robotics. This book features representative work on the computer vision, and it puts more focus on robotics vision and omnidirectional vision. The intended audience is anyone who wishes to become familiar with the latest research work on computer vision, especially its applications on robots. The contents of this book allow the reader to know more technical aspects and applications of computer vision. Researchers and instructors will benefit from this book.

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