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# Biocompatibility and Immunocompatibility Assessment of Poly(2-Oxazolines)

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Additional information is available at the end of the chapter

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## 1. Introduction

Synthetic polymers are considered to be the promising materials for biomedical applications. Various polymer formulations have been employed to achieve the desired chemical, physical and biological properties. Recently, there has been much interest in the development of environmentally responsive polymers for use as biomaterials [1]. Such behavior is significant for the controlled release of drugs upon the application of a stimulus, such as pH, temperature, light or ionic strength. These mentioned properties are necessary for the utilization of polymeric materials for biomedical applications, such as drug and gene delivery, biomembrane technology and biocatalysis [2,3]. Polymer materials can be used in medicine as a part of implant, dialysis membranes, bone scaffolds or components of artificial organs. It means that polymers covers very broad range of biomedical applications. A critical point of the usage of synthetic polymers in living bodies is their utilization, accompanied with the interactions of the foreign material, with the living matter (cells, tissues etc.). The implantation of polymeric materials to a body is usually associated with the inflammation and biofouling. The inflammation is the first defense mechanism of the immune system followed by unspecific cell and protein adhesion and the formation of fibrotic tissue which leads to implant's dysfunctions. The fundamental role in the implantation of these materials is to increase the tolerance of body to implanted material and to avoid the foreign body reaction [4,5].

Assessments of polymeric material biocompatibility and immunotoxicity are key issues to consider material to be suitable for biomedical applications. Biocompatibility assessment of a polymeric material includes adequate testing for undesired responses. To evaluate biocompatibility, examinations of acute and system toxicity, tissue cultures, cell growth inhibition, mutagenicity, carcinogenicity, teratogenicity and allergenic potential should be

conducted [6]. *In vitro* cytotoxicity can be tested by a number of methods that are mostly based on the colorimetric assay of dyes that are sensitive to viable or dead cells. Such assays include 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), 2,3-bis-(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide (XTT), water soluble tetrazolium salts (WST), trypan blue or sulforhodamine B as dyes.

The immunocompatibility of polymers is obviously compulsory for their potential medical application. Several aspects of targeted immune responses have to be taken into account. The immune system is an integrated system composed of two major sub-systems, the innate, non-specific immune system and the adaptive, specific immune system. The innate immune system represents a primary defense mechanism against invading microorganisms and foreign substances and the adaptive immune system operates as a second part of defense. Systemic host immune responses involve complex and multisided interactions between numerous mechanisms of innate and adaptive immunities and their cellular and humoral components. Apart from systemic immunity, common mucosal immune system is effective in all mucosal surfaces i.e. mucosa-associated lymphoid tissue (MALT), including aggregates and diffuse population of immune cells within mucosae; gut-associated lymphoid tissue (GALT), including isolated lymphoid follicles, Peyer's patches, the appendix, and cells in the lamina propria; bronchus-associated lymphoid tissue (BALT), including defined aggregates and diffuse populations of lymphoid cells around the airways [7].

Several specifically programmed cells are involved in innate and adaptive immunity. These include neutrophils and macrophages, which are involved in phagocytosis, basophils and mast cells, which are involved in inflammation and B and T lymphocytes which account for antibody mediated immunity and cell mediated immunity, respectively. Most of immune system's immunocompetent effector cells are derived from specific precursors in the bone marrow. In addition to red blood cells and platelets, pluripotent stem cells give rise to the lymphocytes, the monocytes and macrophages, and to the group of cells with collective term "the granulocytes", covering neutrophils, basophils and eosinophils.

Dendritic cells (DCs) comprise a subset of cells with different characteristics, derived from bone marrow precursor cells. Dendritic cells are professional antigen presenting cells that play a central role in the running and regulation of immune responses, as well as control the innate (NK cells, NKT cells,  $\gamma$   $\delta$  T cells) and adaptive (T and B cells) arm of immunity DCs have a crucial role in initiating T-cell mediated immunity. DCs can control a substantial part of the adaptive immune response by internalizing and processing antigen through MHC class I and class II pathways and, finally, presenting antigenic peptides to CD4<sup>+</sup> and CD8<sup>+</sup> T lymphocytes [8-10].

The specific adaptive immune system requires some time period to act in a response to an invader (microorganism or foreign substance), whereas the non-specific innate immune system comprises fundamental defenses mostly constitutively present and mobilized immediately upon the invasion and recognition of foreign invader. Macrophages and the progeny of granulocyte differentiation, tissue-based mast cells and NK cells are decisive cellular components of the innate immune response. Monocyte-derived macrophages represent the first line of host defence after the epithelial barrier against invader that reaches

mucosal surfaces. Hence they are one of the most crucial cells acting in innate immune responses to exogenous challenge. They are equipped with restricted number of pattern recognition receptors (PRRs) to recognize foreign invader *via* their pathogen-associated molecular patterns (PAMPs). Pattern recognition receptors (PRRs) are membrane-bound or secreted microbial sensory receptors that include Toll-like receptors (TLRs), Nod-like receptors (NLRs), and C-type lectin receptor (CLRs). The main functions of PRRs' include opsonization, activation of complement and coagulation cascades, phagocytosis, induction of inflammatory cytokines, and induction of apoptosis. Innate immune responses are triggered upon PAMPs recognition by the Toll-like receptors (TLR), type I transmembrane signalling cell surface molecules. Activation of TLRs induces, *via* the transcriptional activator nuclear factor  $\kappa$ B (NF- $\kappa$ B), the triggering of a wide variety of inflammatory and immune-response genes and expression of a variety of molecules in macrophages including pro-inflammatory cytokines e.g. tumor necrosis factor -  $\alpha$  (TNF- $\alpha$ ), interleukin-1 (IL-1), interleukin 6 (IL-6), interferons (IFN- $\gamma$ , IFN  $\beta$ ), granulocyte-colony stimulating factor (G-CSF), granulocyte-macrophage colony-stimulating factor (GM-CSF), transforming growth factor (TGF- $\beta$ ), macrophage inflammatory protein 1 (MIP-1) [11-13].

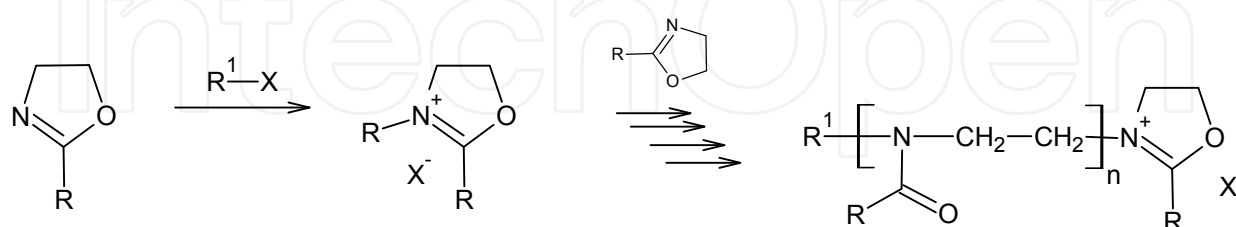
Host immune responses towards biomaterials and biocompatibility and immunocompatibility of polymeric materials are essentially important for their biomedical application. Recently, strategies of triggering appropriate immune responses by functional biomaterials and approaches of biomaterials that mimic the physiological extracellular matrix and modify cellular immune responses have been highlighted [14]. The targeting of dendritic cells with biopolymers [15] and targeting antigen to immune cells via PAMP-modified biomaterials [16] have received considerable attention and represents the novel strategy to control the subsequent immune responsiveness. Immunological inflammation comprising the temporal variation in the acute inflammatory response, chronic inflammatory response, granulation tissue development, and foreign-body reaction represents one of the most important reactions to biomaterials observed in a host [17,18]. Immunogenicity of synthetic biopolymers, their immunomodulative character and induction of cell and antibody immunities are crucial aspects of *in vivo* applications. The involvement of the immune system in incompatibility reactions towards biomaterials is not desirable in special cases, thus, various possibilities to shield the biomaterial and cell surfaces from recognition by the innate immune system are studied [19].

The aim of this chapter is to summarize and evaluate the available methods for the biocompatibility and immunocompatibility assesment of 2-oxazoline-based polymers. 2-Oxazoline-based polymers serve a significant role in the field of polymers suitable for biological and medical use. Examples of their applications in field of biology and medicine were also given.

## 2. Poly(2-oxazolines) as materials for biomedical applications

From a variety of synthetic polymers suitable for different biomedical applications, polymers based on 2-oxazoline chemistry represent very versatile and promising materials.

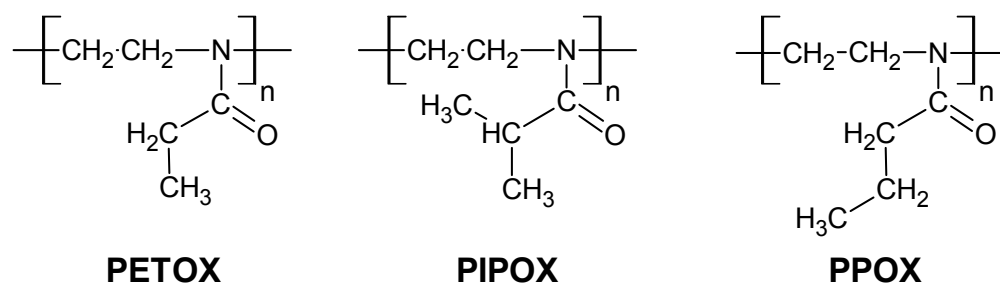
Poly(2-oxazolines) can be prepared by the living cationic polymerization of 2-oxazolines containing aliphatic or aromatic substituent [20] (Fig. 1). This means that polymers with defined structure, size and narrow dispersity can be prepared. Living character of polymerization of 2-oxazolines can be employed for the preparation of polymers with complex architecture, such as star-shaped polymers [21,22] or polymer combs and brushes [23], and also for the synthesis of block copolymers.



**Figure 1.** Scheme of living cationic polymerization of 2-substituted 2-oxazolines.

Polymers containing functional groups represent an important part of polymer therapeutics, especially in the drug delivery systems. Polymers containing functional groups in the side chain can be prepared directly from the 2-oxazoline monomer containing the required group, or by polymer analogous reaction of polymer precursors. Preparation of polymers containing amino, carboxyl, mercapto, and aldehyde groups in the side chain was reported [24-26]. In all cases, monomers containing a protected functional group were used for the polymer synthesis. The protecting group was removed after the polymerization process was completed. Copolymers containing a free amino group were prepared directly by the cationic copolymerization of 2-ethyl-2-oxazoline and 2-(4-aminophenyl)-2-oxazoline [27].

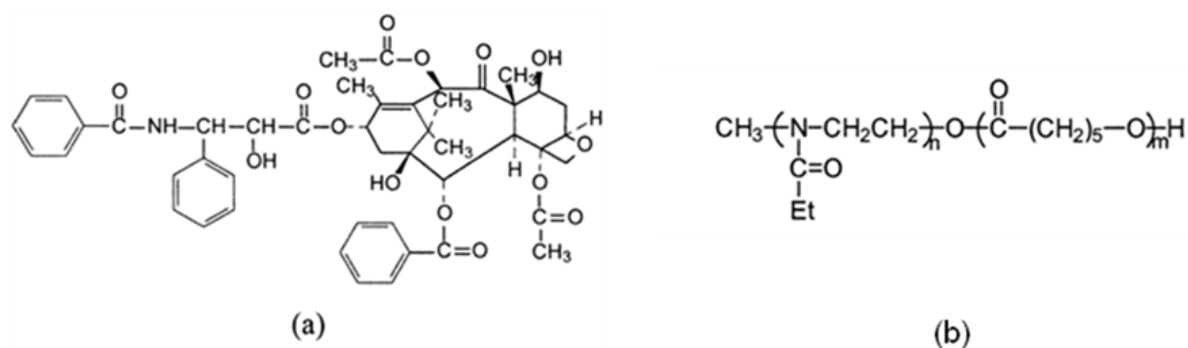
Poly(2-alkyl-2-oxazolines) with shorter alkyl chain are water soluble and exhibit thermosensitive behaviour (Fig. 2). Poly(2-ethyl-2-oxazoline) (PETOX) represents a thermoresponsive polymer with the lower critical solution temperature (LCST) equal to 69 °C [28]. Poly(2-isopropyl-2-oxazoline) (PIPOX) also exhibits thermosensitive behavior, and its LCST is near 37 °C, which is necessary for its utilization in drug and gene delivery [29]. Poly(2-propyl-2-oxazoline) (PPOX) has LCST equal to 25 °C [30]. These results show that the length of alkyl chain has a great impact on solution properties of poly(2-alkyl-2-oxazolines) and phase transition can be adjusted also by copolymerization of monomers with different alkyl chain.



**Figure 2.** Structure of thermosensitive poly(2-oxazolines).

Due to their versatility and ability to form functional materials and nanostructures, 2-oxazoline based polymers have a promising use in biomedical applications. One of the principal application areas for polymers in biological and medicinal contexts is drug and gene delivery. The concept of drug delivery is based on either encapsulation of a drug in polymer and its delivery through diffusion or covalent attachment onto functional polymer matrix through labile bond [31]. The majority of polymers that have been investigated for use in drug and gene delivery applications have focused on linear polymers such as poly(ethylene oxide) (PEO), poly(N-2-hydroxypropyl methacrylamide) (HPMA) and others. One possibility is based on the preparation of liposome-polyoxazoline conjugates [32,33]. Prepared poly(2-methyl-2-oxazoline) (PMEOX) and poly(2-ethyl-2-oxazoline) (PETOX) containing the carboxylic group positioned at either the initiation or termination ends of the polymer chains. Distearoylphosphatidylethanolamine was covalently attached to the polymers through the carboxyl end groups, resulting in conjugates which incorporate readily into liposomes. Both polymers exhibit long plasma lifetimes and low hepatosplenic uptake.

Another possibility is the preparation of amphiphilic block copolymers consisted of either blocks of hydrophilic and hydrophobic 2-oxazoline monomers or blocks of hydrophilic poly(2-oxazoline) and another hydrophobic polymer. In such manner, amphiphilic block copolymers containing poly(2-ethyl-2-oxazoline) as hydrophilic block and polycaprolactone as hydrophobic block have been prepared (Fig. 3b). Such block copolymers are able to form micelles in aqueous solution and can be exploited for delivery of paclitaxel (Fig. 3a) [34]. Similarly, sustained release of the antibody bevacizumab (Avastin) from a biocompatible material based on triblock copolymer of poly(2-ethyl-2-oxazoline)-block- poly(epsilon-caprolactone)-block- poly(2-ethyl-2-oxazoline) has been reported by Wang et al. [35]. Bevacizumab has been used clinically to treat intraocular neovascular diseases based on its antivascular endothelial growth factor (VEGF) character.



**Figure 3.** Structure of paclitaxel (a) and poly(2-ethyl-2-oxazoline)-block- poly(epsilon-caprolactone) (b).

Amphiphilic graft copolymers with a thermosensitive poly(N-isopropylacrylamide) (PNiPAAM) backbone and pH-sensitive hydrophilic poly(2-carboxyethyl-2-oxazoline) graft chains were prepared and used for the formation of stable micelle-like aggregates with reversible thermosensitive and pH dependent swelling behaviour [36]. The temperature-switchable hydrophilicity or swelling of the core as well as the pHdependent stretching of



the side chains in these core-shell nanogels offers a great potential for applications such as drug delivery systems or nanoreactors/carriers in biotechnology. Nanoparticles based on block copolymers of 2-ethyl-2-oxazoline and 2-phenyl-2-oxazolines were studied as promising tools for targeted delivery of drugs in the treatment of neuropsychiatric disorders [37].

Polymers based on 2-oxazoline chemistry were also used in gene delivery. Polyethylene imine (PEI) is one of widely used vectors for nucleic acid delivery. It was found that linear PEI is more effective than branched PEI prepared by conventional polymerization of aziridine. Therefore, DNA complex with fully deacylated PEI can be used in gene therapy for lung diseases [38]. On the other hand, partially hydrolyzed poly(2-ethyl-2-oxazoline) showed lower cytotoxicity comparing to PEI and has still high transfection efficiency in the gene expression [39]. Linear PEI can be prepared by basic or acidic hydrolysis of poly(2-ethyl-2-oxazoline). Recently, microwave synthesizer induced hydrolysis of poly(2-ethyl-2-oxazoline) was described as powerful method for the preparation of "pharmagrade" PEI [40]. A block copolymer of a hyperbranched poly(ethylene glycol)-like core and linear polyethylenimine (HBP) was prepared by cationic polymerization of 2-ethyl-2-oxazoline initiated by hyperbranched initiator bearing p-chloromethylbenzoyl initiating groups and subsequent hydrolysis [41]. Linear PEI-bearing hyperbranched polycations (HBP) is able to form a complex with DNA with an average diameter of 50 nm that is stable for several weeks and showed resistance to DNase I-mediated degradation. The 'inverted' block copolymers showed several orders higher transfection efficiency than block copolymers of PEG-b-LPEI *in vitro*. Comb-like copolymers suitable for DNA transfection consisted of linear poly(ethyleneimine)-graft-poly(2-ethyl-2-oxazoline) (LPEI-comb-PETOX) and (ethyleneimine)-graft-poly(ethyleneimine) (LPEI-comb-LPEI) [42]. Successful gene delivery systems with high transfection efficiency was prepared also by condensation of therapeutic DNA with different copolymers of poly(L-lysine)-graft-poly(2-methyl-2-oxazoline) of variable grafting densities [43]. Amphiphilic poly[(propylene glycol)-block-(2-methyl-2-oxazoline)] block copolymers were studied for gene transfer in skeletal muscle [44].

Poly(2-oxazolines) have found utilization also in vaccine technology. Recently, a novel glycoconjugates consisting of detoxified lipopolysaccharide of *Vibrio cholerae* O135, linear poly(2-oxazoline) copolymer containing free amino groups as a carrier, and an immunogenic protein, BSA, has been prepared. Multiple attachments of small effector antigen molecules to a suitable matrix is considered a novel technique for creating efficient glycoconjugate vaccines [45,46].

Antimicrobial polymers are becoming increasingly important materials in the face of spreading microbial infections and increasing microbial resistance to antibiotics. Waschinski et al. recently reported the synthesis of poly(2-methyl-2-oxazolines) and poly(2-ethyl-2-oxazolines) with quarternary ammonium terminating groups [47]. The polymers were prepared via standard cationic ring-opening polymerisation and terminated using a series of N-alkyl-N,N-dimethyl amines as well as pyridine (Fig. 4). The study of antimicrobial properties toward *Staphylococcus aurea* showed that only poly(2-methyl-2-oxazoline)-based

polymers containing alkyl ammonia functions with alkyl chains of twelve carbon atoms or longer exhibit antimicrobial activity.

Polymers based on 2-oxazoline chemistry were used in biotechnology for different applications. Biofouling release properties are important for the development of coatings exhibiting marine biofouling resistance. It was found that copolymers prepared from perfluoroalkyl acrylates and poly(2-isopropenyl-2-oxazoline) exhibited unprecedented resistance to marine biofouling [48]. Another example is the development of an optical biosensor using an electrically controlled-release system for measurement of peroxide concentration. The response is based on the hydrogen bond of carboxylic group of current-system and 2-oxazoline group of a polymer complex [49]. Activating enzymes in organic solvents is of great interest in current biocatalysis. Amphiphilic and liposome-like polymers have exploitation also in membrane technology. Amphiphilic polymer tether consisted of linear hydrophilic poly(2-methyl-2-oxazoline) chains with defined length with surface-coupling silane groups on one chain-end and hydrophobic n-alkyl chains on second end [50]. These polymers represent linear polymer spacers between supported lipid membranes and solid substrates. Lipid-tethered poly(2-methyl-2-oxazoline) lipopolymer chains can be used as Langmuir monolayers at the air-water interface [51]. Recently, novel alpha,omega-functionalized amphiphilic lipopolymers based on a proximal lipid moiety and hydrophilic poly(2-oxazoline) were used in asymmetric functionalized model lipid membranes for transmembrane transport and cell adhesion/recognition [52]. Amphiphilic co-networks composed of more hydrophilic poly(2-hydroxyethyl acrylate) and more hydrophobic, telechelic poly(2-ethyl-2-oxazoline) segments were prepared as free-standing membranes for entrapment of an enzyme molecule [53]. Amphiphilic miktoarm star copolymers containing cyclodextrin core can be used as nanocarriers in drug delivery but also in biocatalysis. Amphiphilic copolymers were consisted of poly(2-ethyl-2-oxazoline) and polylactide arms covalently linked to cyclodextrine core [54].

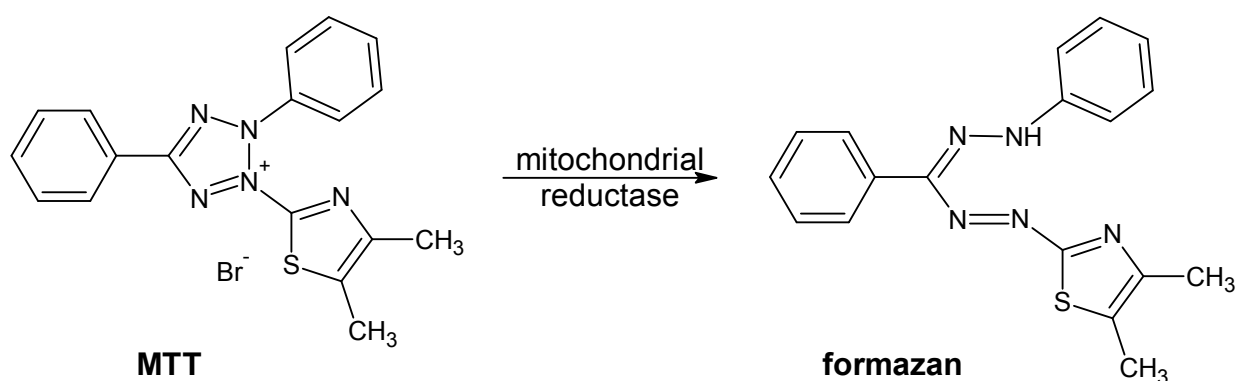
All reported applications of poly(2-oxazolines) confirm a great potential of 2-oxazoline based polymers as biomaterials. Detailed study of their biocompatibility and immunocompatibility is essential and necessary to understand their interactions with the living systems (biomolecules, cells, tissues etc.).

### 3. Methods for the assessment of cytotoxicity of poly(2-oxazolines)

Generally, biocompatibility is the ability of a material to perform with an appropriate host response in a specific application [55]. It was already mentioned that biocompatibility assessment includes different assays of acute and system toxicity, tissue cultures, cell growth inhibition, mutagenicity, carcinogenicity, teratogenicity and allergenic potential. *In vitro* cytotoxicity can be measured by different laboratory assays, such as the 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay, 2,3-bis-(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide (XTT) assay, Trypan blue (TB) assay, Sulforhodamine B (SRB) assay, WST assay and clonogenic assay.



The MTT assay is a laboratory test and a standard colorimetric assay for measuring cellular growth. It can also be used to determine cytotoxicity of potential medicinal agents and other toxic materials [56]. Yellow MTT is reduced to purple formazan in the mitochondria of living cells (Fig. 4). A solubilization solution (usually dimethyl sulfoxide, an acidified ethanol solution or a solution of the detergent sodium dodecyl sulfate in dilute hydrochloric acid) is added to dissolve the insoluble purple formazan product into a colored solution. The absorbance of this colored solution can be quantified by measuring at a certain wavelength (usually between 500 and 600 nm) by a UV-Vis spectrophotometer. The absorption maximum is dependent on the solvent employed. This reduction takes place only when mitochondrial reductase enzymes are active, and therefore conversion can be directly related to the number of viable (living) cells. When the amount of purple formazan produced by cells treated with an agent is compared with the amount of formazan produced by untreated control cells, the effectiveness of the agent in causing death of cells can be deduced (Fig. 4.).

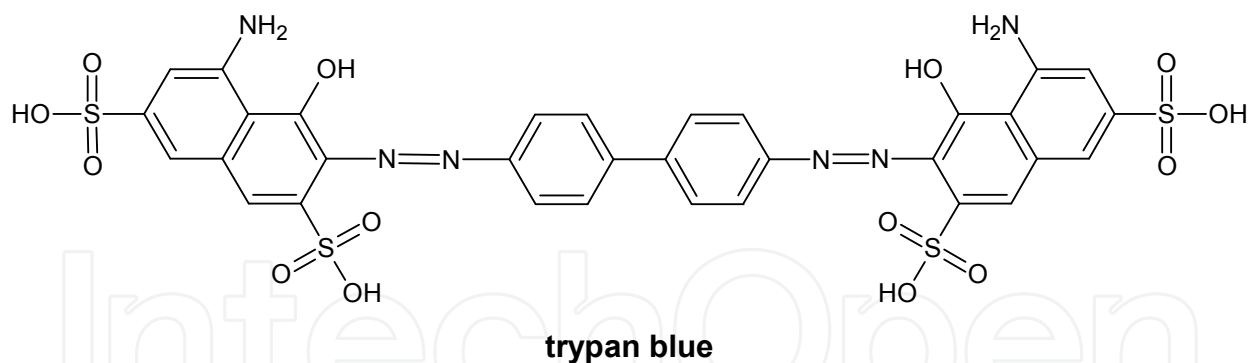


**Figure 4.** Scheme of reduction of the MTT to formazan.

The principle of the WST assay is the same as in the case of MTT assay. In contrast to the MTT assay, no extraction step was necessary due to the water solubility of the reduced form of 2-(4-iodophenyl)-3-(4-nitrophenyl)-5-(2,4-disulfophenyl)-2H-tetrazolium (WST-1) so that absorbance could be determined directly at 450 nm after conversion [57]. The same principle is used in the XTT assay which employs 2,3-bis-[2-methoxy-4-nitro-5-sulphophenyl]-2H-tetrazolium-5-carboxanilide (XTT) as reagent.

Trypan blue is a vital stain used to selectively color dead tissues or cells blue (Fig. 5). Live cells or tissues with intact cell membranes are impermeable for Trypan blue since cells are very selective in the compounds that pass through the membrane. Trypan blue is not absorbed. In dead cells, the dye traverses the membrane and dead cells are stained blue under a microscope.

Sulforhodamine B or Kiton Red is a fluorescent dye with uses spanning from L.I.F. (Laser Induced Fluorescence) to the quantification of cellular proteins of cultured cells. The red, solid, water soluble dye is primarily used as a polar tracer. The dye absorbs 565 nm light and emits 586 nm light. It does not exhibit pH dependent absorption or fluorescence over the range of 3 to 10 [58].



**Figure 5.** Structure of Trypan blue

A clonogenic assay is a microbiology technique for studying the effectiveness of specific agents on survival and proliferation of cells. It is frequently used in cancer research laboratories to determine the effect of drugs or radiation on proliferating tumor cells [59]. Although this technique can provide accurate results, the assay is time-consuming to set up and analyse and can only provide data on tumor cells that can grow in culture. The word "clonogenic" refers to the fact that these cells are clones of one another.

The experiment involves three major steps:

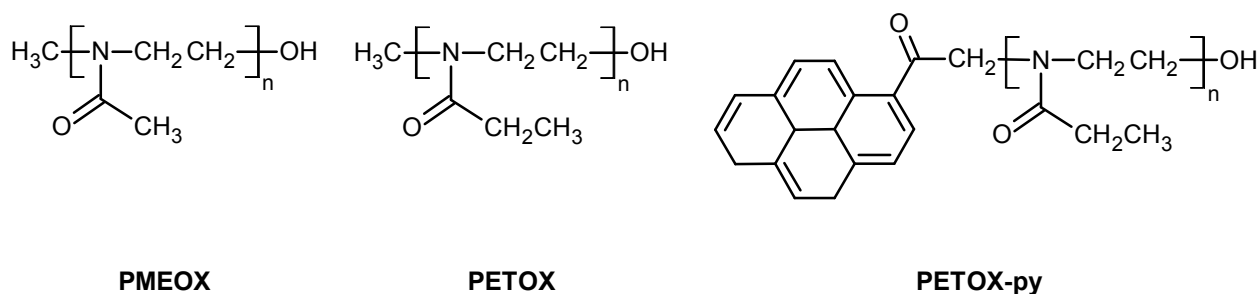
1. The treatment is applied to a sample of cells.
2. The cells are "plated" in a tissue culture vessel and allowed to grow.
3. The colonies produced are fixed, stained, and counted.

At the conclusion of the experiment, the percentage of cells that survived the treatment is measured. A graphical representation of survival versus drug concentration or dose of ionizing radiation is called a *cell survival curve*.

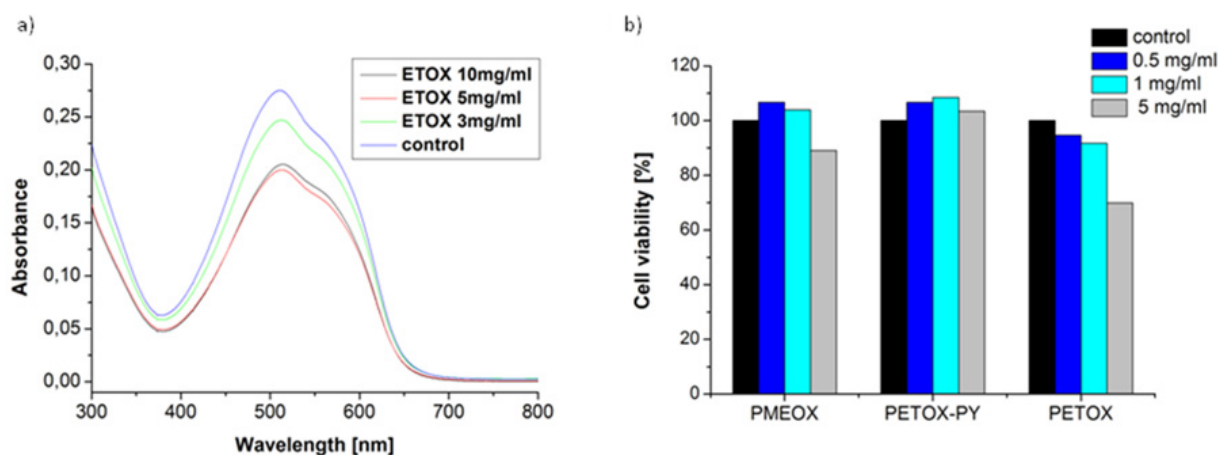
Although a number of assays can be employed for the estimation of *in vitro* cytotoxicity of different materials, the MTT assay was used almost exclusively for the assessment of *in vitro* cytotoxicity of poly(2-oxazoline)s and 2-oxazoline related polymers. The cytotoxicity of poly(2-ethyl-2-oxazoline) (PETOX) as a function of hydrolysis to linear poly(ethylene imine) (PEI) was studied by conducting an MTT assay [60]. It was found that cell viability decreased with an increasing degree of hydrolysis, and above 50 % hydrolysis the cell viability decreased to 20 %. Another example of this polymer as a suitable material for medical use is employing polymeric micelles of poly(2-ethyl-2-oxazoline)-block-poly( $\epsilon$ -caprolactone) copolymer (PETOX-PCLs) as a carrier for paclitaxel as mentioned above. It was showed that the viability of KB human epidermoid carcinoma cells in the presence of PETOX-PCL copolymers were in the range 80 to 100 % depending on the concentration of the copolymer solution and also on the ratio of both building blocks [34]. Similarly, polymeric micelles prepared from poly(2-ethyl-2-oxazoline)-*b*-poly(l-lactide) diblock copolymers (PETOX-PLLA) have been considered biomaterials for drug delivery. PETOX-PLLA polymeric micelles had low cytotoxicity in human normal fibroblast HFW cells for 72 h by using MTT assay. It was found that cell viability was higher than 80 % for the concentration range below 1 mg/ml [61]. Structure-uptake relationships of a series of

amphiphilic poly(2-oxazolines) block copolymers that have different architectures, molar mass and chain termini were reported [62]. The relative cytotoxicity of poly(2-oxazolines) was tested on MCF7-ADR cells derived from human breast carcinoma cell line, MCF7 (ATCC HT-B22). All tests confirmed that these polymers are typically not cytotoxic even at high concentrations. The rate of endocytosis can be fine-tuned over a broad range by changing the polymer structure. The cellular uptake of the polymers increased with their hydrophobic character.

Low cytotoxicity of aliphatic poly(2-alkyl-2-oxazoline)s was confirmed also in *in vitro* studies with Rat-2 fibroblasts using the MTT test [63] (Figs. 6 and 7). The dependence of cell viability on molar mass confirmed the expected trend; the viability increased with the higher molar mass of poly(2-ethyl-2-oxazoline), up to 15000 g/mol. The effect of incubation time and concentration was also studied. It was shown that cell viability is in the range of untreated control even after 48 hours and the polymer concentration up to 5 mg/ml (Fig. 7b).



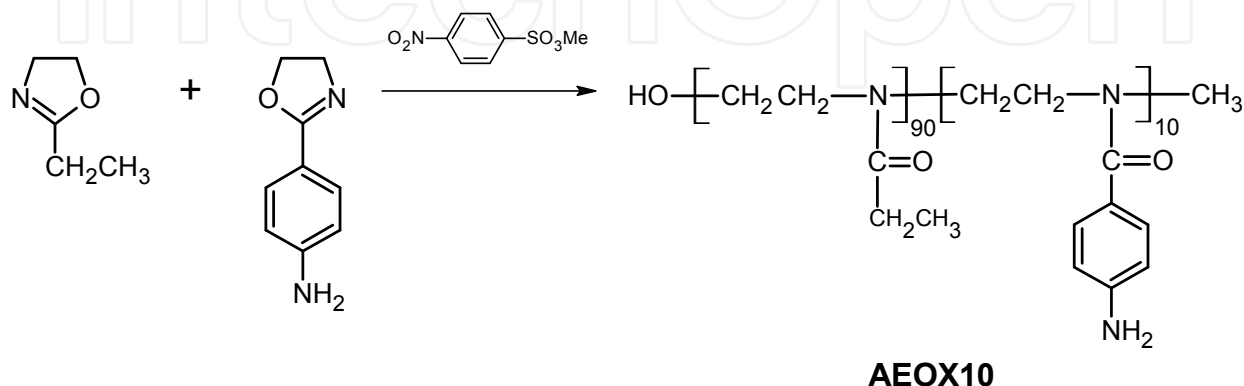
**Figure 6.** Structures of measured poly(2-alkyl-2-oxazolines)



**Figure 7.** a) UV spectra of the reduced formazan dissolved in DMSO after incubation of rat fibroblasts with PETOX, b) relative cell viability of selected 2-oxazolines. PMEOX – poly(2-methyl-2-oxazoline), PETOX – poly(2-ethyl-2-oxazoline), PETOX-py – pyrene labelled poly(2-ethyl-2-oxazoline).

The results obtained for the polymers with aliphatic side chains were compared with the analogues that possessed an aromatic moiety. It is known from the literature that the presence of benzene ring in many cases increases their toxicity as was showed for

different aromatic drugs [64,65]. In spite of these results, our measurements indicated that the presence of a benzene ring in poly[2-ethyl-2-oxazoline-co-2-(4-aminophenyl)-2-oxazoline] with 10 mol. % aromatic moiety (AEOX10) (synthesis see Fig. 8) has no effect on the growth and proliferation of cells and that the values of cytotoxicity measured in the MTT test were on the same level as in the case of PETOX and PMEox [27,63]. All results confirmed low cytotoxicity of the polymers prepared by cationic polymerization of 2-alkyl- and 2-aryl-2-oxazolines, which supports their utilization in biomedical applications.



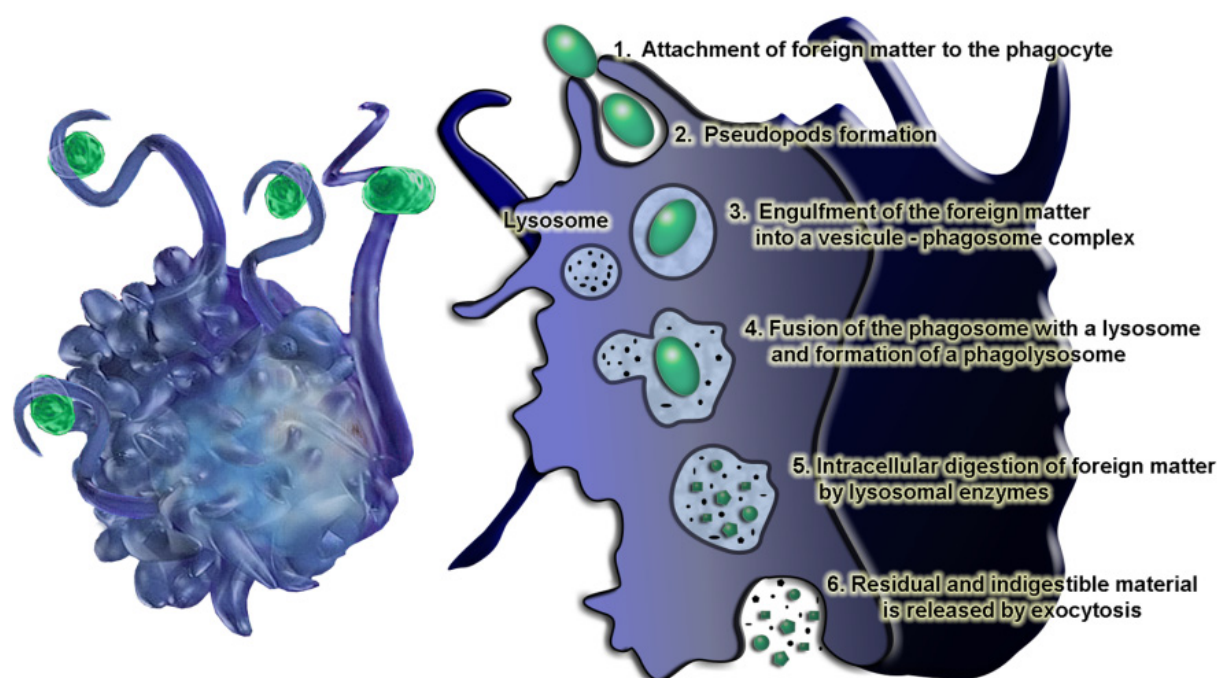
**Figure 8.** Synthesis of poly[2-ethyl-2-oxazoline-co-2-(4-aminophenyl)-2-oxazoline] (9:1).

#### 4. Methods for the assessment of immunocompatibility of poly(2-oxazolines)

Selected techniques as flow cytometric simultaneous evaluation of phagocytosis accompanied by oxidative burst, immunocytometric determination of  $T_H1/T_H2/T_H17$  cytokines using bead application and enzyme-linked spot evaluation of cytokine producing cells comprise novel approaches to characterise and consider immunomodulation of basic functions of immunocompetent cells targeted by biopolymers.

##### 4.1. Assessment of phagocyte functions by immunocytometry

Macrophages are tissue-based cells belonging to the reticuloendothelial system, capable of phagocytosis and production of an array of immunomodulatory mediators. Foreign substance or invader triggered macrophage activation result in a release of proinflammatory interleukins, growth factors and chemokines and also in up regulation of induction of inducible oxide synthase and other potent reactive oxidants inflicting cell damage. Phagocytosis represents a complex process comprising several subsequent steps i.e. chemotaxis, attachment/adherence onto a phagocytotic membrane, internalization and fusion of the cell membrane, intra- and extracellular digestion of targets, and oxidative burst (Fig. 9). The rate of endocytosis can be fine-tuned over a broad range by changing the polymer structure. The cellular uptake increases with the hydrophobic character of the polymers and is observed even at nanomolar concentrations [62].

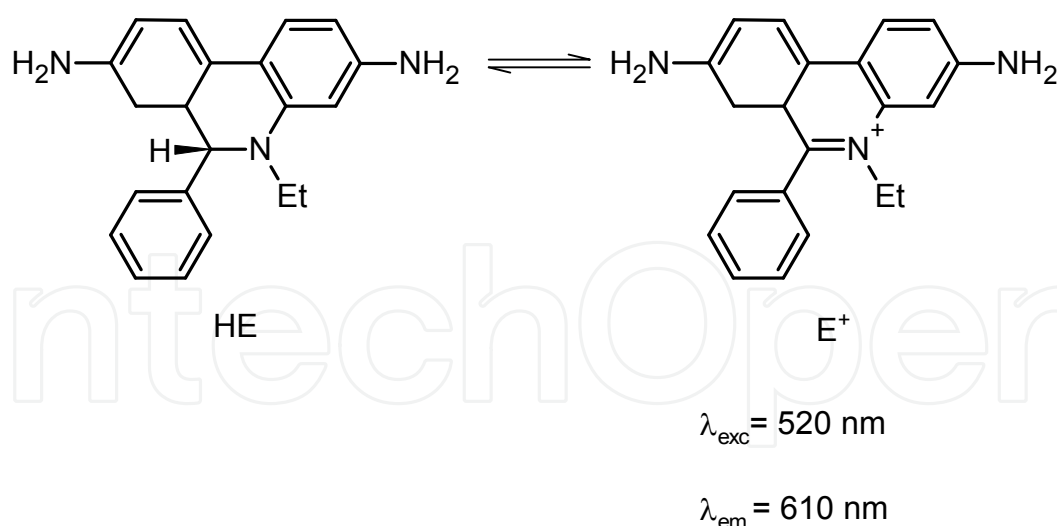


**Figure 9.** The sequential mechanism of phagocytosis.

Phagocytosing cells undergo a burst of oxygen consumption that is caused by an NADPH oxidase complex that assembles at the phagosomal membrane. Neutrophils and the other phagocytes create  $O_2^-$  (superoxide) by the one-electron reduction of oxygen at the expense of NADPH. A variety of soluble and particular stimuli induce extracellular superoxide production. Most of the oxygen consumed can be accounted for as hydrogen peroxide, which is formed from dismutation of superoxide radical. From these agents a large number of highly reactive microbicidal oxidants are formed, including HOCl (hypochlorous acid), which is produced by the myeloperoxidase-catalyzed oxidation of  $Cl^-$  by  $H_2O_2$ ;  $OH^\bullet$  (hydroxyl radical), produced by the reduction of  $H_2O_2$  by  $Fe^{2+}$  or  $Cu^+$ ;  $ONOO^\bullet$  (peroxynitrite), formed by the reaction between  $O_2^-$  and  $NO^\bullet$ , and many others [66]. Reactive oxygen species (ROS) comprises not only free radicals, such as superoxide radical, hydroperoxyl radical, hydroxyl radical, peroxy radical etc., but also non-radicals, namely hydrogen peroxide, singlet oxygen, and hypochlorous acid. These reactive oxidants are manufactured not only as microbicidal agents, but they also impose cell damage.

Hydroethidine (dihydroethidium; HE) is frequently used as fluorescence probe for the evaluation of cell oxidative burst by detection of ROS (Fig. 10). HE has the ability to cross-cellular membranes becoming therefore efficient in cell oxidative burst studies. When inside the cell the HE is oxidized by  $O_2^{\bullet-}$ , it originates ethidium ( $E^+$ ), which becomes locked in the cell by virtue of its cationic nature. The ethidium intercalates into DNA and is red fluorescent when excited with either visible or UV light. HE can also be oxidized by different reactive species, being the relative reactivity ranked by  $ONOO^\bullet > HO^\bullet > O_2^{\bullet-} > H_2O_2$ . Thus, HE provides an index of reactive oxygen and nitrogen species production [67].

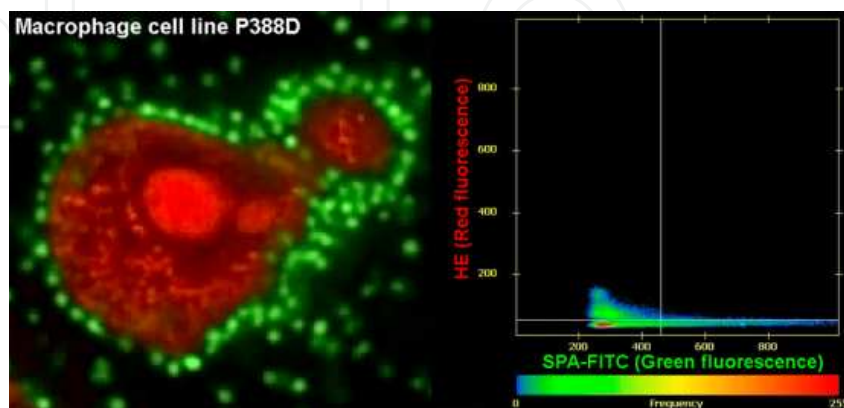




**Figure 10.** Chemical structure of hydroethidine (HE) and ethidium (E<sup>+</sup>).

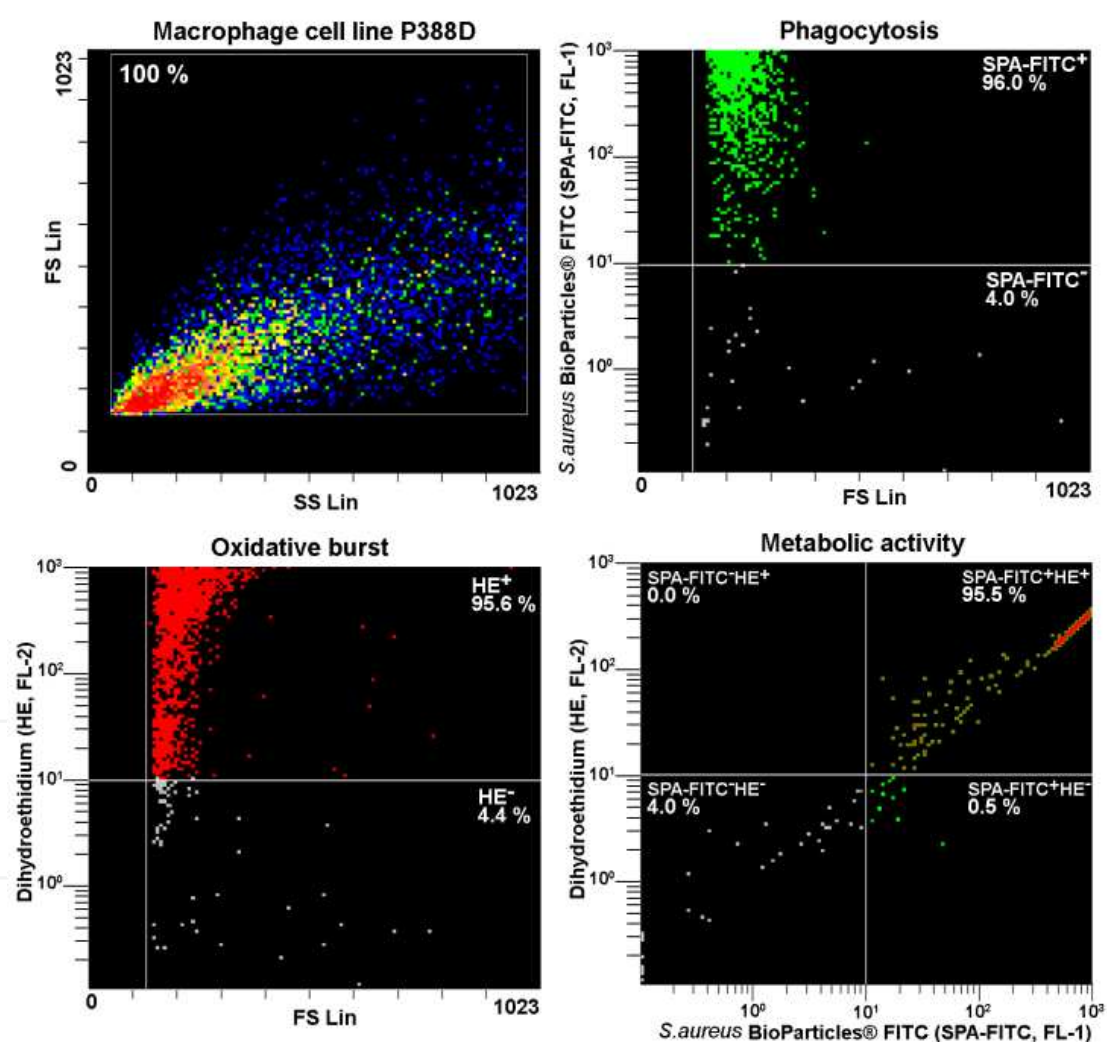
To follow up the production of ROS throughout the oxidative burst of phagocyte, the fluorescence methodology, associated with the use of suitable fluorescence probes, is an excellent research approach applicable both for fluorescence microscopy and flow cytometry (Fig. 11).

Generally, flow cytometry measures optical and fluorescence characteristics of single cells or any other particles flowing in a single file in a stream of fluid. Light scattering at different angles can distinguish differences in size and internal complexity. Intensity of light scattered in the forward direction i.e. forward angle light scatter (FSC) roughly equates to the particle's size and can be used to distinguish between cellular debris and living cells. Light measured approximately at a 90° angle to the excitation line is called side scatter. The side scatter channel (SSC) provides information about the granular content within a particle. Fluorescence measurements at different wavelengths ( $\lambda_{\text{em}}$ ) can provide qualitative and quantitative data about fluorochrome-labelled cell surface receptors or intracellular molecules such as DNA and cytokines [68,69].

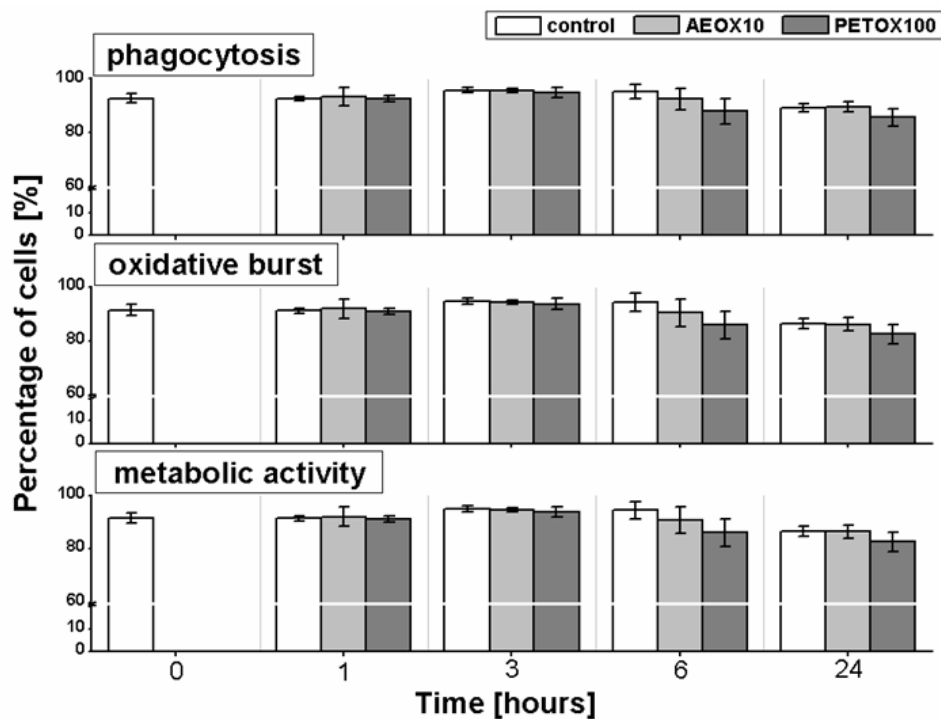


**Figure 11.** Simultaneous phagocytosis and oxidative burst in mouse macrophage line P388D, by *S.aureus* BioParticles® FITC labeled (Molecular Probes) in conjunction with dihydroethidium. Visualisation: Confocal Laser Scanning Microscopy LSM 710, Cell Observer (Zeiss).

Assessment of phagocyte functions i.e phagocytosis and oxidative burst using *S. aureus*-FITC and fluorescent probe HE by flow cytometry is an approach based on the complex immunocytometric analysis of multiple characteristic of individual cells i.e neutrophils, monocyte-derived macrophages (Fig. 12). Analyses were performed with a BeckmanCoulter FC 500 flow cytometer with 488-nm excitation and filters for the detection of green fluorescence (525 nm) of *S. aureus* BioParticles® FITC labelled and red fluorescence of ethidium (610 nm). Two parameters, MFI (mean fluorescence intensity) and percentage of fluorescence-positive cells were determined separately from approx. 5000 macrophages with a flow rate of 200-300 events/s by gating the cell population according to the forward scatter (FS)/side scatter (SS) histogram. To exclude cell debris and non-ingested *S. aureus* BioParticles from FS/SS histogram, discriminator was set into the FS channel.



**Figure 12.** Simultaneous flow cytometric evaluation (Beckman Coulter FC 500) of phagocytosis and oxidative burst in mouse macrophage line P388D, by *S.aureus* BioParticles® FITC labeled (Molecular Probes), (SPA-FITC) in the conjunction with dihydroethidium (HE). Mean % of phagocytic cells represents the percentage of granulocytes ingesting at least one SPA-FITC particle; mean % of oxidative burst represents the percentage of granulocytes tagged by ethidium; mean metabolic activity % represents the percentage of granulocytes ingested at least one SPA-FITC and were tagged by ethidium.



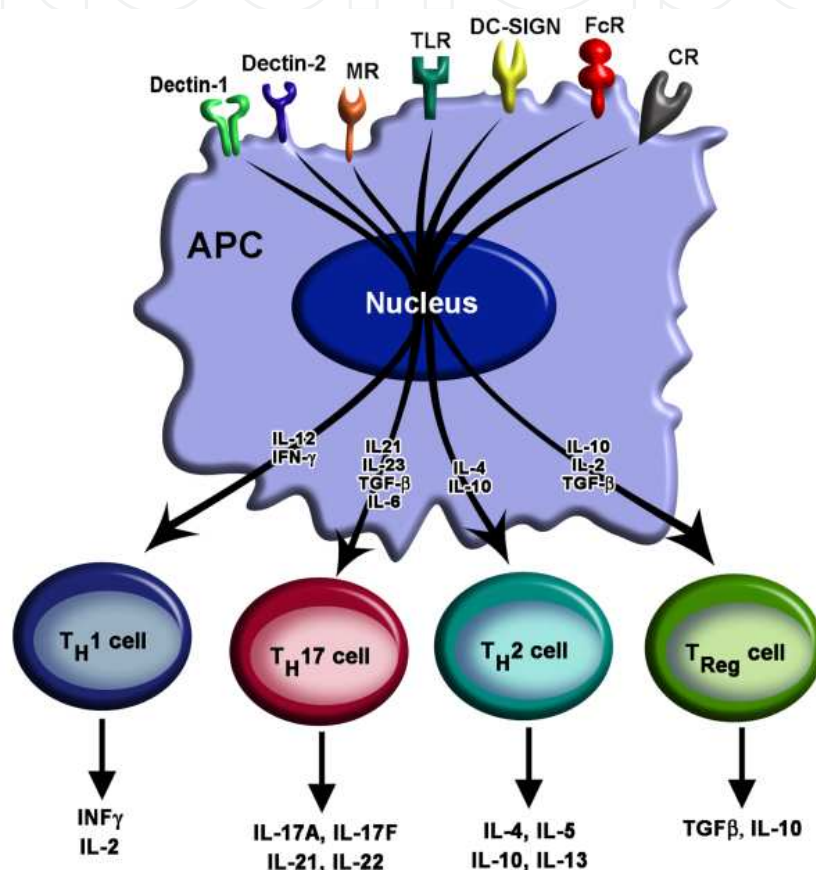
**Figure 13.** The influence of AEOX10 and PETOX100 (5 mg/ml) on phagocytic effectiveness, oxidative burst and metabolic activity (phagocytosis and oxidative burst) of macrophages.

In our experiments we analyzed the time and concentration dependent influence of poly(2-oxazoline)s: AEOX10 and PETOX100 (PETOX with theoretical degree of polymerization equal to 100) on phagocytosis and oxidative burst of macrophages as representative professional phagocytic cells belonging to innate immune system compartments [63]. The treatment was performed for 1, 3, 6 and 24 hours with selected concentrations 0.5 mg/ml and 5mg/ml, respectively. In all experiments the metabolic activity, including phagocytosis and oxidative bursts, treated macrophage cell line P388D remained comparable with untreated control cells without any significant immunosuppressive effect of poly(2-oxazoline)s (Fig. 13).

#### 4.2. Assessment of triggered cytokine release and $T_H$ polarisation using ELISpot and FlowCytomix™ assays

Cytokines are proteins secreted by different cells of the immune system and serve as molecular messengers between cells. Cytokines interact with cells of the immune system in order to regulate the host response to pathological disorders; apart from this they also mediate physiological cellular processes. Different types of cytokines as colony stimulating factors, growth and differentiation factors, immunoregulatory and proinflammatory cytokines are involved in direct cell stimulation, differentiation, development and polarisation of immune response (Fig. 14).  $CD4^+$  T lymphocytes are crucial mediators of the cellular immune response. The early response of naive  $CD4^+$  T cells to antigenic stimulation (via pattern-recognition receptors) is characterized by induced proliferation. Further differentiation gives rise to cells with a significant potential for cytokine expression. Depending upon the balance of local cytokines, co-stimulatory molecules, antigen levels,

and genetic factors,  $T_H1$ ,  $T_H2$ ,  $T_H17$  effector and/or memory cells and Tregs' are generated by immune responses. Functionally-polarized  $CD4^+$  T cell subsets have been identified based on their exclusive patterns of various cytokine secretions. As a signature cytokine,  $T_H1$  cells selectively produce large amounts of interferon-gamma ( $IFN-\gamma$ ).  $T_H2$  cells selectively produce IL-4, next characteristic  $T_H2$  cytokines are IL-5 and IL-13. The population of  $T_H17$  cells expresses high levels of IL-17A, followed by IL-17AF, IL-17F, IL-21 and IL-22. Unique cytokine expression for Tregs' represents  $TGF-\beta$  and IL-10. The  $T_H1/T_H2/T_H17/Treg$  paradigm provides a useful model system for investigating the cellular and molecular mechanisms that mediate protective as well as harmful immune responses.

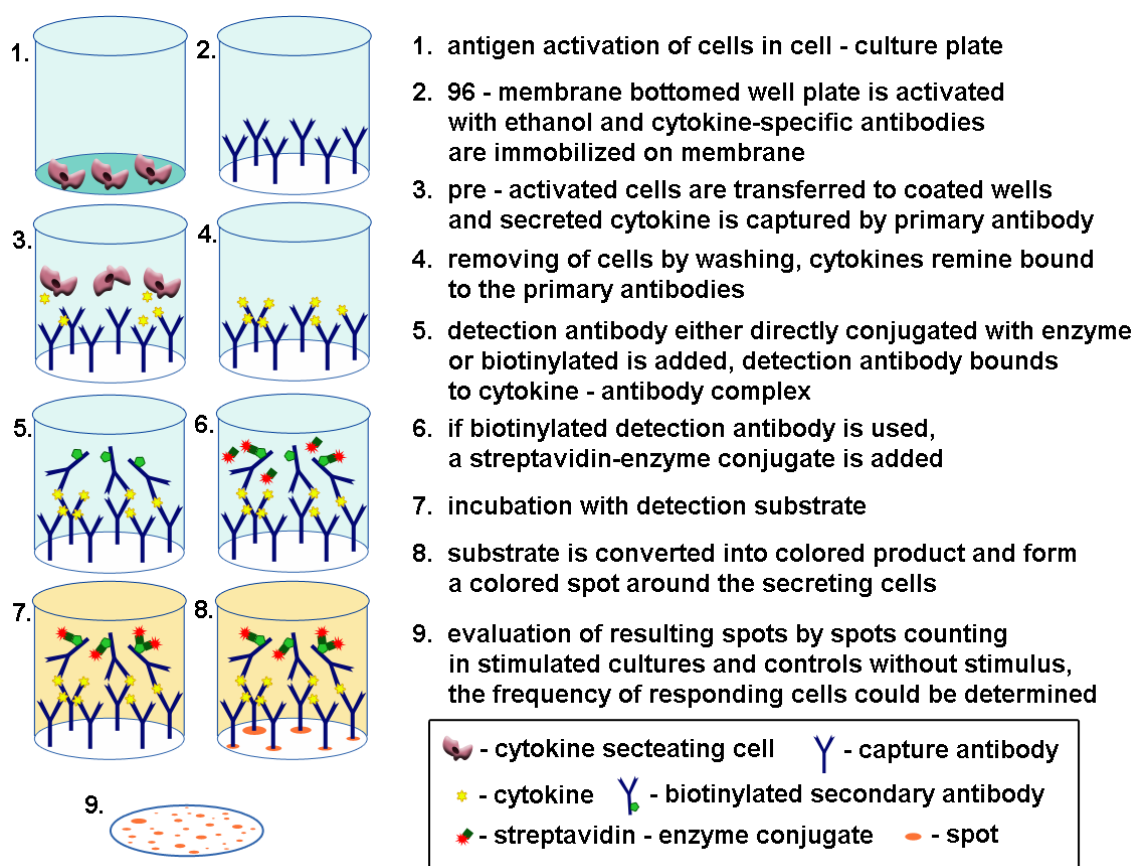


**Figure 14.** APC-derived cytokines and  $T_H$  cell polarization. Pattern-Recognition Receptors (PRR): Dectin-1, Dectin-2, mannose receptor (MR), Toll-like receptor, DC-SIGN (Dendritic Cell-Specific Intercellular adhesion molecule-3-Grabbing Non-integrin), Fc receptor (FcR) - binding specificity for a Fc region of immunoglobulins, complement receptor (CR) - receptor of the complement system

It has been documented that the surface with neutral hydrophilic surface properties was found to show the greatest inhibition of cell adhesion and IL-1, IL-6 and  $TNF-\alpha$  release [72]. Recently, Brodbeck et al. have demonstrated that the surface chemistry (hydrophilicity and surface charge) of the biomaterial modulates the expression of anti- and pro-inflammatory  $T_H1$  and  $T_H2$  cytokines [71]. The influence of the PETOX100 and AEOX10 treatments of the mouse lymphoid line P388.D1 [clone 3124] on the changes of the IL-1  $\alpha$ , IL-6 and  $TNF-\alpha$  was evaluated. The exposition of P388.D1 macrophages with both AEOX10 and PETOX100 resulted in significant enhancement of IL-1 $\alpha$  IL-6 and  $TNF-\alpha$  compared to the baseline

results at immediately after the PETOX100 treatment. When compared to the time-kinetics of untreated cell control, the significance was confirmed only for TNF- $\alpha$  after 24 hours of exposure. The observed secretion levels of cytokines were significantly (10-100 times, depending on cytokine) lower than the cytokine levels observed with classical bacterial inducer, such as LPS. Based on these results, it could be assumed that both polymers, AEOX10 and PETOX100, represent bioavailable materials with immunobiological properties without strong undesirable inflammatory effectiveness with respect to their capacity to induce pro-inflammatory cytokines [70].

Apart from enzyme linked immunosorbent assay (ELISA) the more sensitive bead-based multiplex immunoassay for the flow cytometer and the enzyme linked immunosorbent spot assay (ELISpot) are frequently used for quantitative follow up of cytokine secretion by APC cells (Fig. 15).

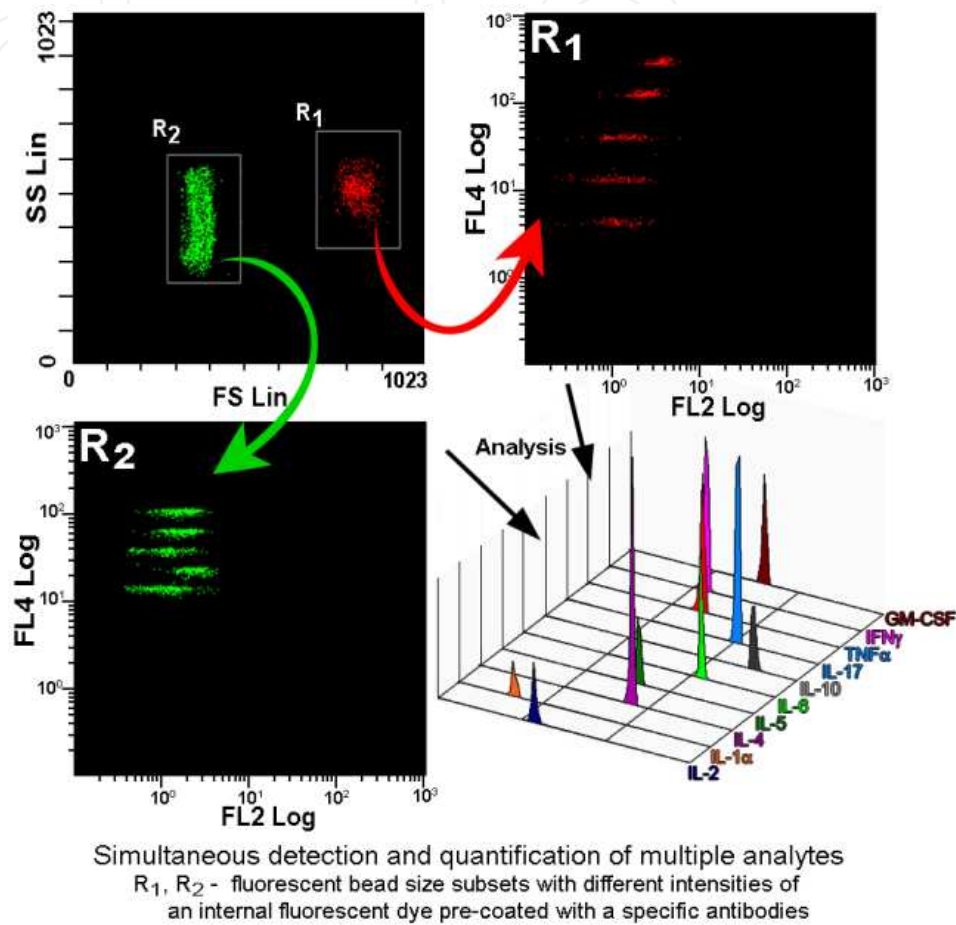


**Figure 15.** Scheme of ELISpot assay for quantification of cytokine one cell-based production.

Ultra-sensitive ELISPOT assays (limit of detection less than 1 in 100,000) can provide accurate measurements of antigen-specific cell immune responses and cytokine release. ELISPOT assays can measure both the magnitude and the quality of the response, thus providing more information about the samples in comparison with other methods for determination of cytokines e.g. ELISA. The methodology of ELISpot (developed by Czerkinsky et al. [73]) is rational up-grade of previously used plaque forming cells (PFC) technique with combination of enzyme immunoassay. ELISPOT assay was originally



developed to enumerate B lymphocytes secreting antigen-specific antibodies. Nowadays, this technique has been adapted also for the identification and enumeration of cytokine-producing cells at the single cell level. ELISPOT assay allows visualization of the secretory product of individual activated or stimulated cells. Each spot represents an antigen-induced response of single reactive cell. Thus, the ELISPOT assay provides both qualitative (type of cytokine, antibody etc.) and quantitative (number of responding cells) information [74].



**Figure 16.** Example of FlowCytomix™ simultaneous quantification of Th1, Th2, Th17 and Treg cytokines in mice splenocytes (unpublished data)

Bead-based multiplex immunoassays for the immunocytometry are applied for the multiple detection of cytokines, chemokines, growth factors and related proteins. The FlowCytomix™ Multiple Analyte Detection System is designed to simultaneously quantify up to 20 protein targets. The methodology significantly reduces sample volumes and time compared to traditional ELISA and Western blot techniques. The FlowCytomix technology is based on the sandwich immunoassay technology where microspheres are used as a solid phase instead of a 96-well plate (Fig. 16). Within two bead size populations 4 & 5  $\mu\text{m}$  latex beads coated with specific antibodies are multiple bead subsets, differentiated by varying intensities of an internal fluorescent dye Starfire Red. This dye can be excited at 488 nm by an Argon, He-Ne, or UV laser, and emits at 690 nm (the far red spectrum), which is detected in the FL-3/FL-4 channel. The combination of the two different bead sizes and different internal dye intensities

makes it possible to distinguish up to 20 bead sets in one fluorescent channel. Reporter fluorochrome PE bound to streptavidin, binds to the biotin conjugate, emits at 578 nm and is detected in the FL-2 channel, allowing the quantification of the cytokine.

## 5. *In vitro* and *in vivo* visualization methods

The *in vivo* applications of polymers require investigating the intracellular metabolic pathways of distribution of polymer in cells and tissues. This can be examined by employing labeled systems. One way is the utilization of fluorescent probes for the visualization through labelling or staining. Labelled polymeric materials can be used for monitoring of cell uptake of desired materials using fluorescence microscopy or confocal laser scanning microscopy (CLSM). CLSM is a technique for obtaining high-resolution optical images with high depth resolution. This technique is widely used in cell biology, genetics, microbiology or developmental biology. Clinically, CLSM is an important method for the evaluation of different diseases, in endoscopic procedures and also in pharmaceuticals for imaging the drug distribution. In the field of biocompatible polymers, this technique can be employed for the imaging of polymer uptake and distribution in cell, tissues or whole organs. For example, MCF-7 cancer cell uptake of coumarin-6-loaded poly(lactic-co-glycolic acid) (PLGA) nanoparticles was visualized using CLSM technique by overlaying the images obtained through the FITC filter and the PI filter [75]. The described system was used for sustainable release of Taxol in the treatment of cancer. CLSM was used for the examination of cell uptake and gene release from the ternary polyplexes of the plasmid DNA with B-PEI and pH responsive diblock copolymer poly(2-ethyl-2-oxazoline)-*block*-poly(methacrylic acid) (PETOX-*b*-PMAA) [76]. Time-dependent observations in three channels (green fluorescence of LysoTracker, blue fluorescence of 4',6-diamidino-2-phenylindole –DAPI and red channel of Cyanin 5.5) revealed the cell uptake process of the polyplex started after 3 hours and accelerated after 6 hours of incubation. Nanoparticles composed of statistical copolymers prepared by cationic copolymerization 2-ethyl-2-oxazoline and 2-decenyl-2-oxazoline were prepared for encapsulation of various biomolecules [77]. Their cellular uptake of the prepared nanoparticles labeled with fluorescein was monitored with CLSM. Confocal micrographs revealed a high loading of mouse connective tissue fibroblasts with nanoparticles although no transfection agents were used to promote particle uptake. The overlay of the transmission and the fluorescence image shows the uniform distribution of the particles in intracellular compartments after endocytosis, which is the common way for the nanoparticle uptake. The results obtained by confocal microscopy were confirmed by flow cytometry. It was showed that the particles did not show toxic effects during the time of incubation.

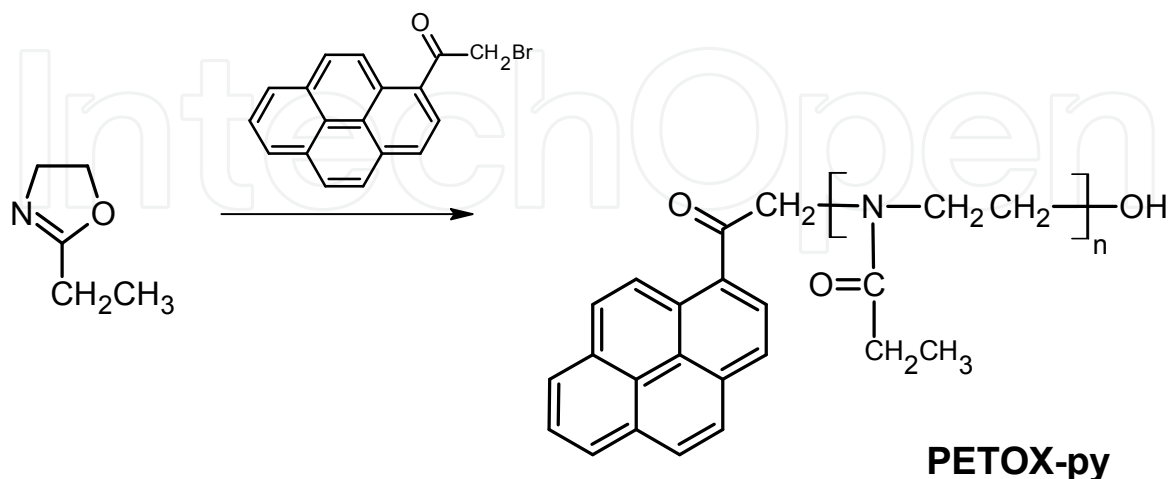
Biodistribution of PETOX labeled with pyrene moiety in cells has been monitored by the Carl-Zeiss confocal laser-scanning microscopy [63]. The cuts of the treated cells were used for constructing 3D model (Fig. 17). The confocal micrographs supported the hypothesis of the polymer accumulation in specific organelles as observed also in the case of fluorescence microscopy measurements.



**Figure 17.** The cuts of the mouse macrophages treated loaded with pyrene-labeled PETOX conducted on the Carl-Zeiss confocal laser-scanning microscopy.

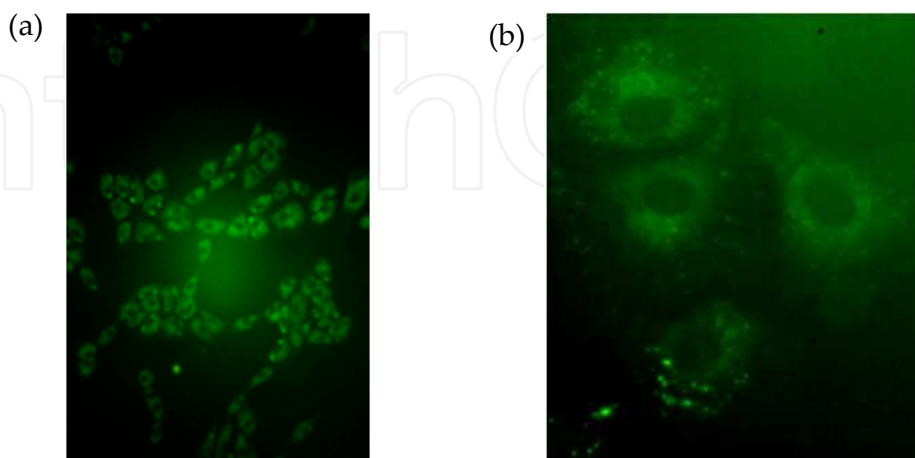
Similarly, cell uptake of polymers can be examined by fluorescence microscopy. A fluorescence microscope is an optical microscope used to study properties of organic or inorganic substances using the phenomena of fluorescence using two filters. An illumination (or excitation) filter ensures almost monochromatic excitation of a sample and a second emission (or detection) filter which ensures none of the excitation light source reaches the detector. The technique of fluorescence microscopy has become an essential tool in biology and the biomedical sciences, as well as in materials science due to attributes that are not readily available in other contrast modes with traditional optical microscopy. The application of an array of fluorochromes has made it possible to identify cells and sub-microscopic cellular components with a high degree of specificity amid non-fluorescing material. In fact, the fluorescence microscope is capable of revealing the presence of a single molecule. Different probes can simultaneously identify several target molecules through the use of multiple fluorescence labeling. Although the fluorescence microscope cannot provide spatial resolution below the diffraction limit of specific specimen features, the detection of fluorescing molecules below such limits is readily achieved. Recently, infrared microscopy became very powerful method for optical visualization in the fields of biology, biochemistry, microbiology or genetics. Fluorescence microscopy was used for the visualization of cellular uptake of 2-oxazoline polymers. The principle was related to

experiments on CLSM. Studied polymers were labeled with fluorescent probe. For these purposes, a pyrene moiety was introduced into the macromolecule of poly(2-ethyl-2-oxazoline) (PETOX-py) using 1-(bromoacetyl)pyrene as initiator of cationic polymerization of 2-ethyl-2-oxazoline (Fig. 18).



**Figure 18.** Synthesis of pyrene-labeled poly(2-ethyl-2-oxazoline) (PETOX-py).

Pyrene-based probes are in a class of hydrophobic dyes and can be used not only for the visualization and analysis of polymer concentrations within cells but also for the investigation of the environment polarity and the formation of agglomerates. Fig.19 shows the fluorescence microscopy of Rat-2 fibroblasts incubated in the presence of PETOX-py in PBS with the concentration of 5 mg/ml. It can be seen that macromolecules are accumulated in the cells in specific organelles, most probably in peroxisomes [63].



**Figure 19.** Fluorescence micrographs of rat fibroblasts loaded with pyrene-labeled PETOX for 24 hours in DMEM medium in the concentration equal to 5 mol/l (a) and a detail of polymer uptake in cells (b).

Peroxisomes are small membrane-enclosed organelles that contain enzymes involved in a variety of metabolic reactions, including several aspects of energy metabolism. Peroxisomes contain at least 50 different enzymes, which are involved in a variety of biochemical pathways in different types of cells. Peroxisomes were defined as organelles that carry out oxidation reactions leading to the production of hydrogen peroxide. A variety of substrates are broken down by such oxidative reactions in peroxisomes, including uric acid, amino acids, and fatty acids [78].

Biodistribution, targeting and excretion can also be investigated by radiolabeled poly(2-alkyl-2-oxazolines). Authors used  $^{111}\text{In}$ -labeled polymers to study the distribution and excretion of such polymers in mice [79]. Similarly,  $^{125}\text{I}$ -labeled 2-oxazoline-based copolymers were examined after their intravenous administration to mice, and their biodistribution was assessed [80]. Beside the described visualization techniques, other modern methods such as scanning electron microscopy (SEM), transmission electron microscopy (TEM), atomic force microscopy (AFM) or tomography are available for the analysis of biodistribution.

## 6. Conclusion

The aim of this chapter was to survey the currently used experimental methods for evaluation of polymeric materials in biological systems concerning various methods for biocompatibility, immunological, bioimmunological and immunotoxicological assessment. This covers practical aspects throughout assay development and specific methodology adjustment. Decisive stages in development and application of these methods and practical suggestions are discussed. Such kind of research is essential due to enormous progress in the development of polymeric materials for different biomedical applications.

Among a number of polymers suitable for the use in medicine, poly(2-oxazolines) belong to polymeric materials with a great potential for different biomedical application. Their possible application areas in biological and medicinal contexts were reported. Especially the drug delivery, gene therapy and tissue engineering belong to the principal areas of their applications. Nowadays, several immunobiological and immunotoxicological methods were employed for the assessment of their biocompatibility and bioavailability. *In vitro* cytotoxicity of poly(2-oxazolines) was evaluated mainly by colorimetric MTT assay. However, other laboratory methods, like XTT, TB, SRB or WST assays, can be also used. All published results proved high biocompatibility of poly(2-oxazolines). Additionally, polymers prepared by cationic polymerization of 2-alkyl-2-oxazolines behave as “stealth” materials. This behaviour was confirmed by several independent immunological methods. Selected techniques as flow cytometric evaluation of phagocyte functions, immunocytometric determination of  $\text{Th1}/\text{Th2}/\text{Th17}$  cytokines and ELISpot evaluation of cytokine producing cells comprise novel approaches to characterise immunomodulation of basic functions of immune competent cells targeted with poly(2-oxazolines). Last part of the chapter summarized *in vitro* and *in vivo* visualisation methods.



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