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Remote Sensing of Photosynthetic Parameters

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1. Introduction

Remote sensing cannot measure photosynthesis directly. However, remote sensing can provide information about parameters directly or indirectly connected to the photosynthetic activity of a plant or a vegetation canopy.

Since the launch of the first earth resource satellite in 1972 researchers focused on the relationship between vegetation and its radiometric response. Comparisons of ground measurements and data of the first generations of the Landsat series proved very soon the suitability of the red and near infrared bands of the sensors for vegetation analysis. In the following years, various mathematical combinations of the green, red and near infrared bands, the so called vegetation indices (Bannani et al., 1995) were developed to quantify properties of plant canopies such as biomass, productivity or vigour (Pearson & Miller, 1972; Kauth & Thomas, 1976; Misra et al., 1977; Huete, 1988). However, the low spectral resolution of those sensors was inadequate to derive biochemical properties of vegetation. The development of hyperspectral instruments with a high number of narrow bands first enabled the quantification of pigment concentration and indices related to the photosynthetic capacity of vegetation. While field spectrometers were used to derive pigment concentration of single leaves or small plots of vegetation, the advent of airborne imaging spectrometers such as the compact airborne spectral imager *casi*, the Airborne Visible/Infrared Imaging Spectrometer AVIRIS or the HyMAP™ Hyperspectral Scanner enabled the monitoring of vegetated canopies and small landscape sections from the 1980s. Since then a new generation of indices was developed considering limitations known from the first generation (Baret et al., 1989; Qi et al., 1994). However, due to the restriction to airborne instruments the acquisition of hyperspectral images was a cost-intensive task. Studies dealing with this kind of sensors assessing biochemical or biophysical plant properties were limited to a relatively small group of scientists and stakeholders.

With the advent of space-borne hyperspectral instruments (e.g. the Compact High Resolution Imaging Spectrometer CHRIS (since 2001), the Moderate Resolution Imaging Spectroradiometer MODIS (since 2002) and the Environmental Mapper EnMAP (launch in 2015)) monitoring of biophysical parameters related to photosynthesis becomes increasingly operational. The growing availability as well as the reduced costs for hyperspectral data had a great impact on the numbers of studies and literature dealing with pigment assessment of native and cultivated vegetation canopies on various spatial scales.

Vegetation type and species composition strongly affect the derivation of biochemical plant properties and components. Biochemical components (e.g. chlorophyll content) and related

biophysical properties (e.g. light use efficiency (*LUE*)) are more species-specific than biome-specific (Ahl et al., 2004). Thus, biome-related parameter retrieval and canopy-related studies require different ambitions for parameter retrieval. Large scale and global approaches require the development of simple, generalized representations of the most important plant processes and can be used in different biomes with a minimum of modifications (Running & Hunt, 1993; Running et al., 2004). Contrary local studies focus on single crop canopies with specific approaches to estimate parameters affecting photosynthesis such as crop chlorophyll, nitrogen content or uptake, or stress factors for different species and phenological stages (e.g. Daughtry et al. 1992; Gamon et al., 1992; Gilabert et al., 1996; Rascher & Pieruschka, 2007; Yoder & Pettrigrew-Crosby, 1995). Photosynthetic parameter retrieval usually serves for applications related to precision farming, e.g. crop growth modelling, application of fertilizers, herbi- and fungicides and yield forecasting (e.g. Haboudane et al., 2002, 2004; Hank et al., 2007; Malenowski et al., 2009; Oppelt et al., 2007; Strachan et al., 2008).

This study focuses on the assessment of the chlorophyll content of wheat (*Triticum aestivum* L.) canopies using airborne hyperspectral data. Several types of indices were applied and resulting results are discussed. Then the estimation of crop growth, gross and net primary production using the indices is described exemplarily for two applications: the use of indices for operational remote sensing products as well as the integration of indices with physically based crop growth modelling

2. Vegetation radiometric properties

Plant leaves show typical characteristics in their reflectance in the visible (VIS), near-infrared (NIR) and shortwave infrared (SWIR) parts of the electromagnetic spectrum (Figure 1). In general, VIS is dominated by the absorption features of leaf pigments, mainly by the chlorophylls. In the near-infrared region, high reflectance is due to the internal structure of plant mesophyll. The internal structure of leaves with numerous refractive discontinuities and intercellular air spaces scatters incident radiation and results in a large proportion to be passed back as reflected radiation. Plant water absorption features affect the reflectance behaviour in the SWIR leading to a strongly decreased reflectance at high plant water contents.

Light reaction is commonly measured using the chlorophyll absorption features in the VIS, which are known to correspond well with the fraction of absorbed photosynthetically active radiation (*fAPAR*) (Schurr et al., 2006). At the canopy level, the efficiency of carbon fixation is denoted. *LUE* refers to the projected ground surface and describes the net canopy CO₂ fixation. The spatial variability of *LUE* results in enormous variations of net photosynthetic productivity (*NPP*), which ranges from 30 to 1000 g C m⁻² in different ecosystems (Schurr et al., 2006). Thus knowledge of the spatial distribution of *LUE*, *fAPAR* or chlorophyll is essential for a realistic estimation of photosynthetic processes.

To extract pigment information, a range of other factors that influence vegetation reflectance must be taken into account. The leaf reflectance may vary independently of pigment concentrations due to differences in internal structure, surface characteristics and moisture content. Furthermore, the reflectance spectrum of a whole canopy is influenced by factors such as the effects of leaf area, the orientation of leaves, ground coverage, and presence of non-leaf elements, areas of shadow and soil surface reflectance. These factors obscure the

relationship between spectral reflectance and chlorophyll concentration (Blackburn, 2006) and thus have to be considered.

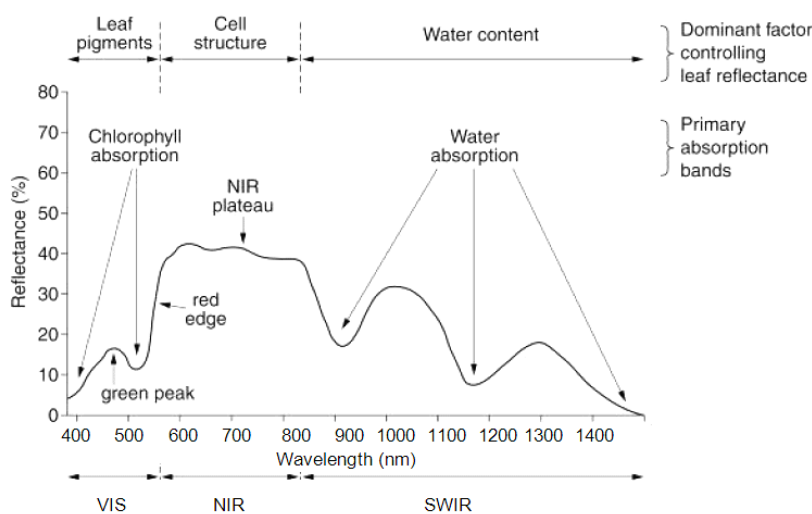


Fig. 1. Typical spectral reflectance characteristics of green leaves (Keyworth et al., 2009, modified)

3. Material and methods

To gather field and hyperspectral remote sensing data, intensive field campaigns were conducted during the growing seasons in 2004 and 2005 in a test site located in the Bavarian Alpine foothills. The study area, hyperspectral data assessment and processing, field measurements, data processing and analysis will be described in the following section.

3.1 Study sites

The study area is located in the county Gilching, 25 km south-west of Munich, Germany, (upper left corner 48°8'N, 11°17'E). The study area is characterized by an ever-moist and temperate climate with a mean temperature of 8.3° C (1961-1990), ranging from -5 °C and 2 °C in January to 12° C and 23° C in July. The mean annual sum of precipitation is 900 mm with 540 mm during the growing season of wheat (April to August). Two climatological stations of the Bavarian network of agro-meteorological stations enable access to local weather monitoring. The stations provide meteorological data such as precipitation, soil and air temperature, total irradiance and humidity (www.lfl.bayern.de/agm/start.php).

For each 2004 and 2005, one field of winter wheat grown with the unawned cultivar *Achat* was chosen as a test field. The plots were characterized by similar soil conditions (cambisols according to the FAO classifications scheme) and have been managed by the same farmer, who enabled information on management data such as fertilizer and herbicide application as well as the date of sowing, soil treatment and harvest. A detailed description of the test site and the field plots is provided by Oppelt (2010).

During 2004 and 2005, the growing conditions are similar regarding temperature and radiation which are within the standard deviation of the average values, but precipitation varied strongly. The area received 164 mm more precipitation in 2005 than in 2004. Frequent and heavy rainfalls during July and August of 2005 were exceptional and caused many crops to mould upon the field.

3.2 The Airborne Visible/Infrared Imaging Spectrometer AVIS

The Airborne Imaging/Infrared imaging Spectrometer AVIS was built at the Department for Geography of the Ludwig-Maximilians-University in Munich (Germany) (Oppelt & Mauser 2001, 2004, 2006, 2007). The second generation of the sensor, AVIS-2, was operated for this study. AVIS-2 is a CCD-based system operating in the VIS and NIR (400 nm to 900 nm) spectral range with a spectral resolution of 9 nm. The system is based on a spectrograph (SPECIM Inspector V9NIR), mounted to a black and white 2/3" CCD-video camera (Vosskühler 1300) and a filter-lens system. Table 1 summarizes the specifications of AVIS-2; the sensor, its specification and calibration are described in detail by Oppelt & Mauser (2007).

Nominal spectral range [nm]	400-900
Analyzable spectral range [nm]	420-880
Spectral resolution [nm]	9
Radiometric sampling [bits]	16
Number of bands	64
Signal to Noise Ratio (SNR) [dB]	65
Spatial resolution [pixels per line]	300
Spatial sampling [pixels per line]	640
Field of view (FOV) [rad]	1.0
Navigation systems	INS, GPS
Period of operation	since 2001

Table 1. AVIS-2 specifications

3.3 AVIS data

AVIS was designed to be operated on different platforms, such as Dornier DO-27 or DO-228 and microlight aircrafts, where the sensor is mounted on vibration dampening mounts. For this study AVIS-2 was operated on a Dornier DO-27 aircraft flown by pilots of the Bavarian armed forces.

Four AVIS-2 acquisitions are available for the growing periods in 2004 and 2005. In 2004, the sensor was flown on March 31, May 25 and June 8. One overflight was conducted in 2005, i.e. on July 6. To ensure comparable illumination and viewing geometries, the overflights were conducted in the principal plane with a flight azimuth of about 0°. The data were gathered in a time period between 10 and 14 hrs UTC resulting in sun azimuth angles between 31.5° and 37°. The ground sampling distance (GSD) for the overflights was 4 m.

The radiometrical pre-processing of the data included correction of sensor dark current, CCD homogeneity and smile effect (Oppelt & Mauser, 2007). Then the data were corrected atmospherically and reflectance calibrated using an approach based on MODTRAN-4 (Berk et al., 2000). The data for the parameterization of the atmosphere were estimated using climatological data of the Bavarian agro-meteorological network. The geometric processing of the AVIS data was carried out using data of a differential Global Positioning System (dGPS) and an Inertia Navigation System (INS), which were mounted on the sensor. INS and dGPS data were stored in the header of each image line and provide data of the aircraft location (latitude, longitude and altitude) and pointing information (roll, pitch and yaw). Combined with a digital elevation model, these data are used to compensate for the motion of the aircraft and rectify the data to a respective coordinate system. The geometric

correction was carried out by means of the header information, aerial orthophotography and ground control points applying a second-order polynomial function in ESRI ARCGIS 9.1. Figure 2 presents an image stripe with exemplary reflectance spectra.

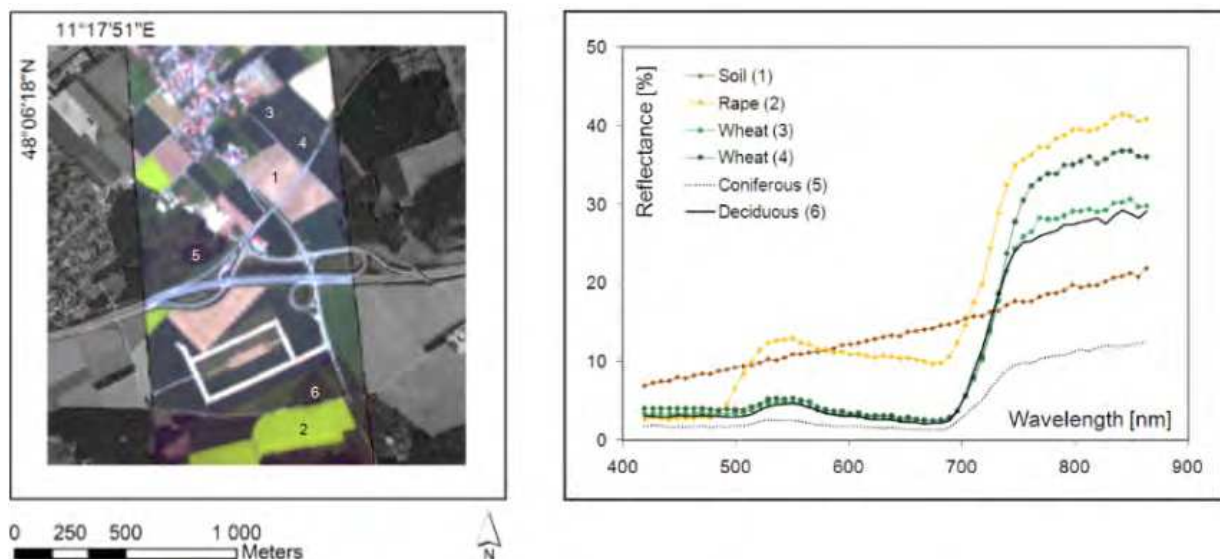


Fig. 2. AVIS real colour image acquired on May 25, 2004 (left hand side); the data were the processed geometrically and radiometrically as well as reflectance calibrated; the numbers in the AVIS image indicate the location of the reflectance spectra presented on the right hand side.

The reflectance spectra of the different wheat pixels unveil the potential of hyperspectral remote sensing for vegetation monitoring. In the VIS, the pixels reflect the solar radiation nearly identical. Thus, for the human eye, which is represented by the real colour composite, these wheat patches look nearly identical. The differences become obvious when looking at the NIR part of the reflectance spectra. The higher reflectance of wheat (4) indicates that the vegetation is more densely developed than the wheat (3) patch.

3.4 Field measurements

For every field, five sampling points were selected diagonally in the field and fixed via handheld GPS (GARMIN VISTA). The locations of the sampling points were selected in close cooperation with the farmers in order to gather ground truth data from areas in the field that exhibited differences in plant development and yield over recent years.

At the sampling points weekly measurements were conducted between April and harvest (beginning of August) including phenological stage, plant height and DM (separated into stem, leave and fruit fraction). Measurements of leaf area were conducted using a LI-COR LAI 2000 plant canopy analyzer. Leaf chlorophyll was measured from April to the beginning of ripening. After sampling the leaves were frozen immediately in liquid nitrogen and taken to the laboratory, where the chlorophyll analysis was conducted according to the procedure described by Porra et al. (1989). The resulting chlorophyll concentration per weighted portion was multiplied with the leaf dry matter resulting in the total chlorophyll which is stored in the leaves within a square metre on ground [mg m^{-2}]. Field spectrometer measurements (Ocean Optics SD-2000 combined with SD-2000 NIR) were conducted concurrent to the AVIS overpasses to validate AVIS processing results (Oppelt, 2010).

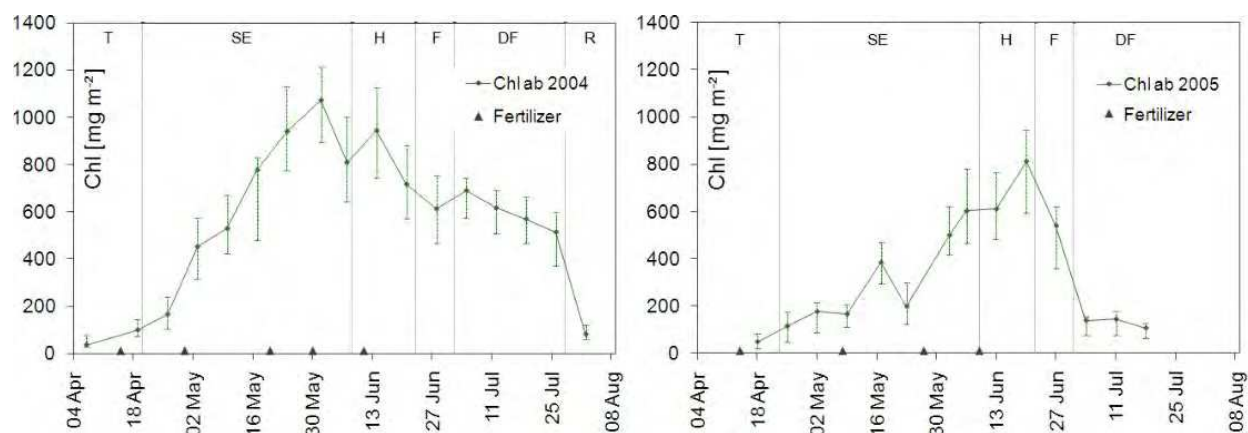


Fig. 3. Results of total chlorophyll measurements during the growing seasons 2004 and 2005; the rhombuses correspond to the mean field values during the phenological stages (T = tillering; SE = stem elongation; H = heading; F = flowering; DF = development of fruit; R = ripening); the error bars mark the minimum and maximum values measured at the sampling points indicating the scattering of the chlorophyll measurements

Figure 3 presents the results of the in situ chlorophyll measurements. Despite the nearly parallel phenological development, the chlorophyll content varies considerably in both amount and chronology. In 2004, canopy leaf chlorophyll develops with a general increase during the vegetative growth to 1100 mg m⁻² chlorophyll and a decrease during the generative growing phase. This pattern is obviously superimposed by the development of leaf biomass, which increases during spring and decreases after flowering. Figure 3 also indicates that fertilization affects the general course of canopy chlorophyll; chlorophyll content increases after the application of fertilizer. The error bars indicate the variability of chlorophyll within a vegetation stand. As anticipated, the heterogeneity within the field generally is high during vegetative growth while during ripening the canopy reveals a more homogeneous chlorophyll distribution.

In 2005, the canopy chlorophyll increases with a relatively soft increase until May, where a notable decrease can be observed. Late frost events led to a reduced metabolic activity resulting in decreased chlorophyll contents (Oppelt, 2010). After the frost events the wheat canopy exhibits a strong increase in canopy chlorophyll to 682 mg m⁻², which was promoted by high temperatures and a high amount of incoming radiation in June. Due to the wet conditions in July, the plants began to mould, which led to a considerable reduced yield in 2004 (average of 7.01 t ha⁻¹ in comparison to 8.3 t ha⁻¹ in 2005 (Oppelt, 2010)). The decay of the plants is accompanied by a rapid decomposition of chlorophyll during anthesis. These results underline that a general assumption of the canopy chlorophyll can be deceptive for the assessment of vegetation photosynthesis. Variations in crop chronology due to different weather conditions as well as existing spatial heterogeneities may distort an expected universal course of the metabolic activity even at a single crop stand.

3.5 Indices for the derivation of canopy chlorophyll

A large variety of approaches has been developed for estimating chlorophyll content. Modelling studies provided good evidence that reflectance is more sensitive to high pigment concentrations at wavelengths where pigment absorption is low. Contrary, spectral regions with high absorption are more sensitive to low pigment concentrations (Blackburn, 2006;

Jacquemoud & Baret, 1990). Empirical studies have confirmed this statement and demonstrated that reflectance at wavelengths corresponding to the lower and upper flanks of the major chlorophyll absorption feature in the Red is most sensitive to the normal range pigment concentrations in most leaves (Carter & Knapp, 2001) and canopies (Filella et al., 1995; Yoder & Pettrigrew-Crosby, 1995). In young and senescent leaves and canopies bands at the centre of absorption features are most sensitive to low pigment concentrations (Sari et al., 2005).

To deal with the difficulties in relating reflectance to individual bands due to variations in the controlling factors on canopy reflectance, many approaches use reflectance in multiple bands. Most of them have employed ratios of narrow bands in spectral regions that are sensitive to pigments and those areas that are not sensitive. They were proposed as a means of solving the problems of overlapping absorptions of different pigments (Chappelle et al., 1992) and the effects of leaf and canopy structure (Peñuelas et al., 1995). Many indices have been derived for chlorophyll quantification and are based on ratios of bands in the VIS and NIR (Filella et al., 1995; Sims & Gamon, 2002), in the Red (Vogelman et al., 1993), or in the NIR and red edge region (Gitelson & Merzlyak, 1997).

As mentioned previously, canopy reflectance results from a complex interaction between pigment concentrations, canopy structure, background signal and illumination conditions (sun-sensor-target geometry). Moreover, vegetation indices that are insensitive to soil optical properties seem to be relatively insensitive to chlorophyll variations. Conversely, most indices sensitive to chlorophyll content variability are strongly affected by the differences in the canopy vegetation cover (Haboudane et al., 2002). Various indices have been developed to be both sensitive to a broad range of chlorophyll content and robust towards different types of noise. Four approaches, which represent different types of indices, are discussed in this paper, i.e. a hyperspectral derivative of the Normalized Vegetation Difference Index, the Optimized Soil Adjusted Vegetation Index, the Photochemical Reflectance Index and the Chlorophyll Absorption Integral.

3.5.1 Normalized Difference Vegetation Index

Rouse and colleagues (1974) published the probably best common well-known index, the Normalized Difference Vegetation Index (*NDVI*) (Equation 1). The *NDVI* belongs to the first generation of indices which were based on empirical methods designs for a specific sensor, i.e. Landsat MSS.

$$NDVI = \frac{(R_{NIR} - R_{Red})}{(R_{NIR} + R_{Red})} \quad (1)$$

This index is still used for studying the state of vegetation with various sensors in regional to global applications (Prince & Tucker, 1996; Hame et al., 1997). However, several studies note that its usefulness depends strongly on noise associated with view angle differences, soil background influences, clouds and cloud shadow, atmospheric influences and topographic effects (Carlson & Ripley, 1997; Huete et al., 1997; Kim et al., 2010). In addition, saturation of the vegetation index in high biomass conditions or pigment concentrations limits quantitative vegetation assessments (Kim et al., 2010; Oppelt & Mauser, 2004). Nevertheless, the *NDVI* is still one of the most common indices. A hyperspectral variant the *NDVI* was used in this study:

$$hNDVI = \frac{(R_{827} - R_{668})}{(R_{827} + R_{668})} \quad (2)$$

where R_{827} and R_{668} correspond to the centre wavelength of the respective AVIS bands. The $hNDVI$ showed high correlations for wheat canopies with medium chlorophyll content, but it becomes insensitive to chlorophyll contents at canopies with low LAI and dense vegetation (Oppelt & Mauser, 2004).

3.5.2 Optimized Soil Adjusted Vegetation Index OSAVI

$OSAVI$ is a derivative of the $NDVI$ and, as indicated by the name, includes a soil adjustment factor. To compensate for the effects of background and soil reflectance, particularly for sparse vegetation cover Rondeaux et al. (1996) introduced the $OSAVI$:

$$OSAVI = \frac{(R_{800} - R_{668})}{(R_{800} + R_{668} + 0.16)} \quad (3)$$

where R_{800} and R_{668} correspond to the centre wavelength of the respective AVIS bands. $OSAVI$ was proposed to reduce the background reflectance contributions and to enhance sensitivity to leaf chlorophyll variability. Its determination requires no knowledge of soil properties resulting in an easy application in the context of operational observations. However, some studies note that $OSAVI$ also becomes insensitive to high chlorophyll contents (Oppelt & Mauser, 2004; Wu et al., 2008).

3.5.3 Photochemical Reflectance Index

The Photochemical Reflectance Index (PRI) was developed by Gamon et al. (1992) to minimize the effects of xanthophylls signal overlapping the chlorophyll spectral features due to sun angle variation. As many other indices the PRI was based on measurements in the laboratory and was then successfully applied and tested on field, air and spaceborne imaging spectrometers (e.g. Gamon & Qiu, 1999; Penuelas et al., 1997; Sims & Gamon, 2002; Styliniski et al., 2002; Thenot et al., 2002; Trotter et al., 2002; Weng et al., 2006).

The PRI is calculated as follows (Gamon et al., 1997)

$$PRI = \frac{(R_{531} - R_{570})}{(R_{531} + R_{570})} \quad (4)$$

where R corresponds to the reflectance at the wavelength considered. The 531nm waveband is sensitive to pigment concentration while the 570nm waveband is used as a reference.

The PRI provides an easy measurement of chlorophyll content or LUE (Gamon et al., 1992). Moreover, it can be used for a wide range of species (Gamon et al., 1997). One problematic feature is its high sensitivity to soil reflectance, which has to be taken into account in areas or times with low vegetation cover (Mänd et al., 2010).

3.5.4 Chlorophyll Absorption Integral

The Chlorophyll Absorption Integral (CAI) is an approach based on the measurement of the chlorophyll absorption feature depth obtained by fitting a continuum to vegetation reflectance. Kokaly & Clark (1999) first described this method to assess nitrogen, lignin and cellulose for leaves of different tree and crop species. They used linear segments to

approximate the continuum. Once the continuum is established, the continuum-removed spectra are calculated by dividing the original reflectance values by the corresponding values of the continuum line. From the continuum-removed reflectance R' [%], the depth D [%] in the absorption feature is computed with a uniform interval of 0.1 nm:

$$D = 1 - R' \quad (5)$$

The small interval for calculating the continuum removal was used to overcome difficulties with varying band settings of different sensors which affect CAI values for the same target on the ground (Oppelt, 2008). To minimize the influence of extraneous factors such as atmosphere, soil or topography, the absorption depths are normalized (D_n in Equation 6) (Curran et al., 2001; Kokaly & Clark, 1999). This is calculated by dividing the absorption depth of each band by the absorption depth at the centre of the absorption D_c .

$$D_n = \frac{D}{D_c} \quad (6)$$

Kokaly & Clark (1999) demonstrated that the normalized index exhibits a low sensitivity to background effects due to atmosphere, soil and topography. These results were confirmed at the leaf level by Curran et al. (2001) as well as by Oppelt & Mauser (2004) for canopy chlorophyll.

The start and end point of the continuum can be chosen according to the band setting of the instrument. The AVIS CAI extends from the Red (600 nm) to the NIR (740 nm), whereby the former includes the chlorophyll *a* absorptions and the latter is an area insensitive to chlorophyll (Gitelson & Merzlyak, 1997). Another advantage of CAI is that it includes both the lower and upper flanks of the chlorophyll absorption in the Red as well as the central absorption. Thus it includes wavelengths sensitive to a wide range of chlorophyll contents (Oppelt, 2002, 2010).

4. Chlorophyll assessment

The results of the chlorophyll assessment are summarized in Figure 5. As mentioned previously, indices become saturated at high chlorophyll contents. While indices such as the $hNDVI$ and $OSAVI$ saturate at chlorophyll *a* contents at about 1.0 g m⁻², the CAI is known to saturate at chlorophyll contents higher than 1.5 g m⁻² (Oppelt 2002). With increasing chlorophyll content its absorption feature at 680 nm flattens and narrows. $OSAVI$ and $hNDVI$ are directly affected by reflectance in the Red and tend to saturate by an increase in this spectral region. The high correlations between the CAI and chlorophyll can be ascribed to the fact that the CAI is based on an integrated measurement and therefore is less affected by an increase of reflectance in single wavelengths. The CAI becomes insensitive when the narrowing of the absorption feature leads to a shift of the red edge position towards the Blue (Oppelt, 2002).

The effect of saturation indicates a non-linear relationship, thus an exponential relationship should be expected. However, the chlorophyll contents monitored are below the saturation levels and thus the results can be approximated assuming linear relationships. The regression equations indicate that they do not cross the ordinate at zero, but show an offset, which is caused by the range of chlorophyll contents measured. Hence, the valid range of the chlo-

rophyll estimation using the equations given in Figure 5 strictly is limited for chlorophyll contents between 200 mg m⁻² and 800 mg m⁻².

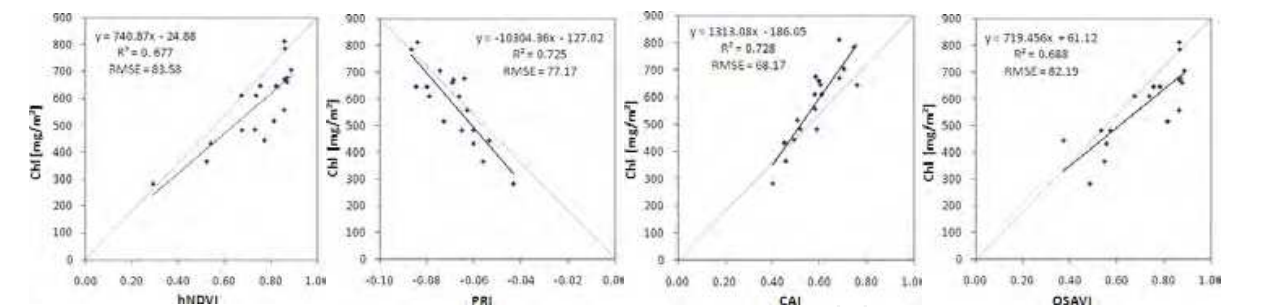


Fig. 5. Linear relationships between vegetation indices and measured canopy chlorophyll; the regression equations are given as well as the coefficient of determination (R²) and the root mean square error (rmse).

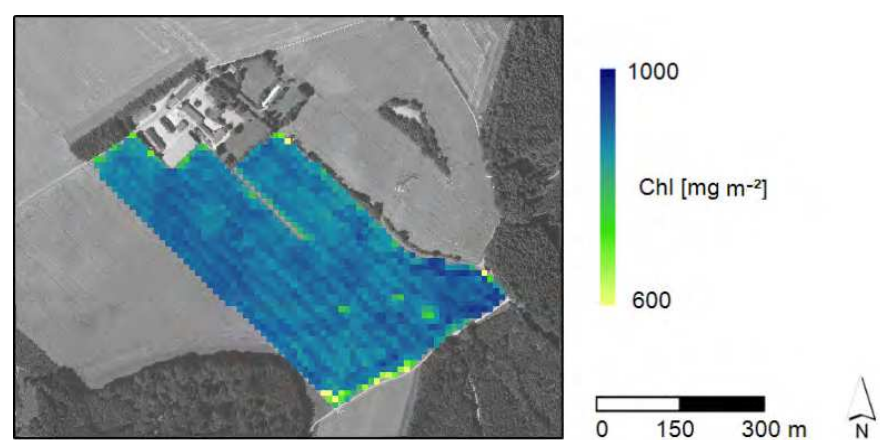


Fig. 6. CAI derived distribution of total canopy chlorophyll as monitored with the AVIS sensor on May 25 2004

However, the results presented in Figure 5 indicate that *hNDVI* and *OSAVI* already saturate at chlorophyll contents above 600 mg m⁻², while *PRI* and *CAI* are not affected by saturation. *PRI* shows a significant negative correlation with the canopy chlorophyll while *CAI* is highly positively correlated. The coefficient of determination (R²) is relatively high for both *CAI* and *PRI* with *CAI* reveals best results with the lowest root mean square error. Moreover, *PRI* seems to be not affected by the low leaf area during the AVIS March data. The low saturation level of *hNDVI* and *OSAVI* apparently prevents higher coefficients of determination for the crop stands investigated. Figure 6 presents the spatial distribution of canopy chlorophyll content using the regression equation of *CAI* given in Figure 5 exemplarily for one AVIS acquisition. The Figure shows the existing heterogeneity in the wheat stand at May 25 at the end of stem elongation. The dominant structural pattern is given by the tractor lanes. However, specific areas with similar chlorophyll contents also become visible. Zones of high chlorophyll in the western part as well as in the very east of the field are apparent. The northern and southern field margins are characterized by low chlorophyll contents. A fertilization window is visible in the south-eastern part of the field. This area is not fertilized and therefore is characterized by low chlorophyll contents.

5. Estimation of photosynthesis and primary productivity

Canopy photosynthesis is defined to equal the integrated sum of photosynthesis by leaves in a canopy (Amthor et al., 2001). Jarvis (1993) defined three classes of canopy photosynthesis models; two of them are so-called “big-leave” models which define the canopy as a single layer of vegetation covering the soil. The third model class divides the canopy into multiple layers, which underlie different microclimates and simulate the impact of spatial gradients within the canopy (Baldocchi & Amthor, 2001).

The terms Gross Primary Production (GPP) and Net Primary Production (NPP) are the most important parameters related to the photosynthetic activity from plant to canopy level. Most crop-growth as well as biome-related models therefore deal with these parameters. GPP is the total amount of carbon fixed by plants through photosynthesis. NPP is the net amount of carbon fixed by plants after the costs of respiration are included (McGuire et al., 1993).

5.1 Big-leave gross primary productivity

The simplest big-leaf model assesses canopy GPP based the assumption that photosynthetic assimilation or NPP is proportional to the amount of solar radiation intercepted by vegetation (Monteith, 1972). Thus, canopy photosynthesis can be calculated as a linear function of the photosynthetically active radiation absorbed by the canopy (*APAR*). The slope of the equation is *LUE* (Monteith & Moss, 1977; Ruimy et al., 1995).

$$GPP = LUE * APAR \quad (7)$$

APAR is the product of incoming *PAR* and the fraction absorbed by the canopy (*fAPAR*). The measures of *APAR* integrate the geographic and seasonal variability of day length and potential incident radiation with daily cloud cover and aerosol attenuation. In addition, *APAR* implicitly quantifies the amount of leave canopy that is displayed to absorb radiation (Running et al., 2004). This model approach is very simple and enables the estimation of GPP with a very limited number of parameters.

Time and space variability of *LUE* and *APAR* can directly be derived using remote sensing and meteorological data (Hilker et al., 2008; McCallum et al., 2009). *LUE* is influenced by many factors and thus varies in space and time. It is known to vary among crops (Gosse et al., 1986, Prince, 1991) and nutrient status (Balakrishnan et al. 2001; Oppelt, 2002; Penuelas & Filella, 1995); however, *LUE* often is assumed to be constant when growth is not limited by water or nutrient shortage or climate conditions (Ruimy et al., 1995). Some authors propagate the use of *PRI* as a proxy for *LUE* (Gamon et al., 1992; Nichol et al. 2000), but Gitelson et al. (2006) stated that *PRI* is most sensitive to *LAI* and therefore is difficult to apply on a canopy or even on global scales. Thus, the assessment of *LUE* differs between authors and application; advantages and disadvantages of the different approaches have to be considered when using vegetation indices as proxies for *LUE*.

Monteith's logic is fundamental on a suite of operational remote sensing products, e.g. the MODIS GPP, NPP and photosynthesis (PSN) products. Running et al. (2004) described the MODIS algorithms in detail; a simple model based on look-up tables for different biomes is combined with meteorological data. *APAR* is estimated using the *NDVI* through Equation 8 (Myneni et al., 1999).

$$fAPAR = \frac{APAR}{PAR} \propto NDVI \quad (8)$$

This expression is based on results of several studies which found that under specified canopy reflectance properties *APAR* can be estimated using the *NDVI* (Asrar et al., 1992; Sellers et al. 1987; Sellers et al., 1992). Myneni et al. (1999) demonstrated that *fAPAR* is proportional to *NDVI* if soil background is ideally black and therefore introduced a factor of proportionality which accounts for soil contribution. The linear relationship has been discussed in literature; Ruimy et al. (1995) demonstrated that linearity between *APAR* and *NDVI* is only valid during vegetative growth. Moreover, comparison with modelled *APAR* unveiled that the *NDVI*-related *APAR* is significantly lower than independently modelled *APAR* (Ruimy et al., 1999). A likely explanation is the saturation of the *NDVI* to high chlorophyll or *fAPAR*, which also can be observed in Figure 5. Therefore, one of the main problems of GPP assessment using remote sensing data is caused by the uncertainty of a linear *NDVI/fAPAR* relationship (Gitelson et al., 2006). Besides the controversial discussion, *NDVI* still is fundamental to MODIS products.

The GPP product is used to calculate net photosynthesis *PSN*, which is computed as

$$PSN_{net} = GPP - R \quad (8)$$

where *R* is an estimate of daily respiration of leaves and roots (Running et al., 2004). MODIS *LAI* is used to estimate the biomass for the purpose of estimating *R* (Myneni et al., 1997, 1999). The *PSN* and GPP products have an 8-day temporal resolution while *NPP* is an annual value. Figure 6 presents a series of *PSN_{net}* products where the test area is located. Each *PSN* image covers an area of 10° degrees in latitude and longitude and enables the monitoring of large scale photosynthesis and carbon uptake.

However, with a spatial resolution of 1km the MODIS products are suitable rather for large and global scale issues. To gather primary productivity on a smaller scale, crop growth models can be applied.

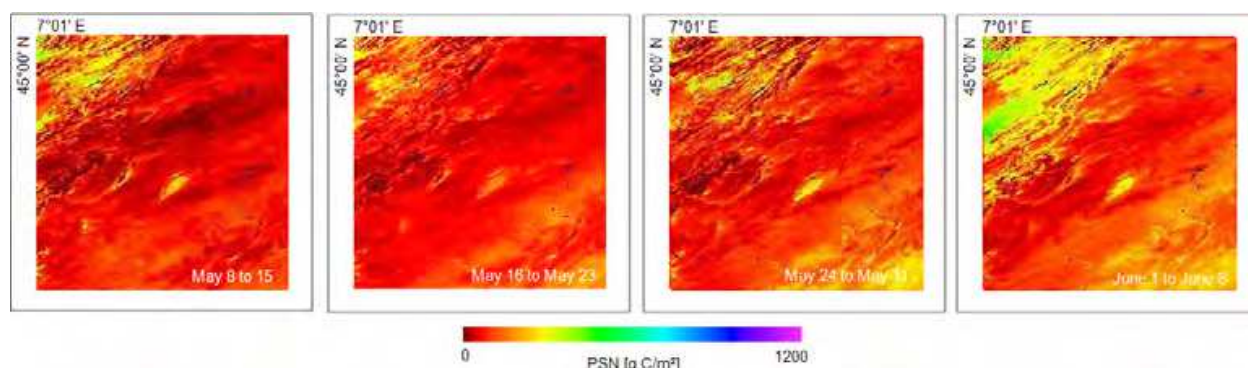


Fig. 6. Series of MODIS 8-day *PSN* (GSD = 1 km) composites from Mai to June 2004 (data source: US Geological Survey, Earth Observation and Science Center)

5.2 Derivation of *NPP* using a Vegetation Growth Model Approach

Multi-layer models are able to consider the impacts of nonlinear physiological and physical processes on canopy photosynthesis (Baldocchi et al., 2001; Wang & Jarvis, 1990). Yin and Struik (2009) provided an overview of photosynthesis models available for *C₃* and *C₄* crop modelling. The approach used for this study is the advanced biological sub-model of the process of radiation mass and energy transfer model *PROMET* (Mauser & Bach, 2009).

The core model is based on eight components (meteorology; land surface energy and mass balance; vegetation; snow and ice; soil hydraulics and soil temperature; ground water; channel flow; man-made hydraulic structures (Figure 7) to simulate the water and energy fluxes for variable time steps.

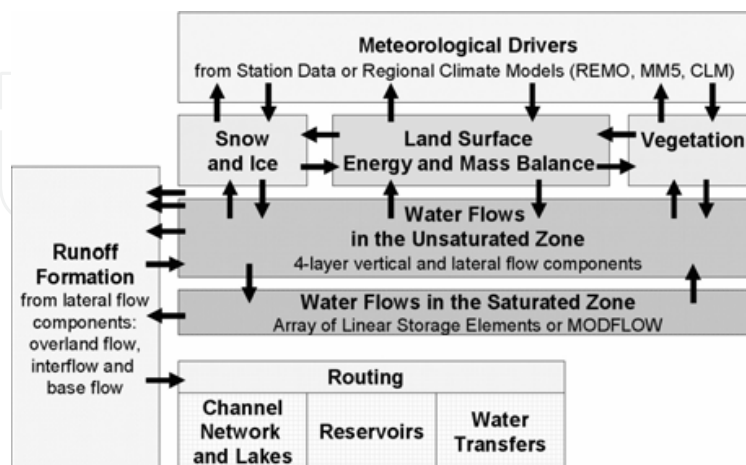


Fig. 7. PROMET core components (Mauser & Bach, 2009)

5.2.1 The biological sub-model of PROMET

The modular structure of PROMET facilitates improvements in particular sub-models. The biological sub-model calculates photosynthesis according to a biochemical approach introduced by Farquhar, von Caemmerer and Berry (1980). NPP is modelled on the basis of the temperature-dependent rate of Ribulose-1.5-Biphosphate (RuBP) reproduction and the availability of Ribulose-1.5-Biphosphate-Carboxylase-Oxygenase (Rubisco), i.e. by simulating the Calvin cycle. The availability and transport of CO_2 is regulated by the stomatal resistance of the leaf described by Ball and colleagues (1987). The rate of leaf photosynthesis is modelled in dependence of *APAR* and the temperature dependent rate of RuBP regeneration. All processes are modelled in two vegetation layers, i.e. a sunlit and a shade layer. PROMET calculates an optimum photosynthesis under given environmental conditions (Hank et al., 2007). The model is able to reproduce effects on plant development that are caused mainly by variations in radiation regime. Effects due to relief, exposition and differences in the soil type or texture can also be modelled well (Hank, 2008; Oppelt, 2010).

The Farquhar and von Caemmerer approach does not require chlorophyll contents but leaf absorptance (*abs*), which is directly related to *CAI* (Oppelt, 2010). *Abs* is dimensionless and refers to the mean relative quantum yield in the range of *PAR*. The quantum yield is the CO_2 assimilation in the absence of photorespiration and represents the maximum efficiency with which light can be converted to chemical energy by photosynthesis (Farquhar & von Caemmerer, 1982). In the model, *abs* usually is used as a constant value, which now can be dynamised using the *CAI-abs* remote sensing product. Then, *DM* is modelled using the constant value ($abs_{const} = 0.83$, Oppelt (2010)) until a remotely sensed *abs* (abs_{RS}) map is available. Then on, the abs_{RS} is used to calculate *DM*.

Lack of remote sensing data or large time gaps between two acquisition dates lead to *abs* values being inadequate for the specific growing period. These problems can be avoided if the abs_{RS} values are traced back to abs_{const} after a certain period of time. Assuming average growing conditions, the nitrogen in the fertilizer is metabolized within 21 to 30 days

(Döhler, 2007). Thus the abs_{RS} is used for a time period of three weeks before abs_{const} is set again, but is replaced again if an additional abs_{RS} map is available for a later day.

To fit the grid size of the other input data of the model (i.e. the limiting resolution of the digital elevation model) the data were resampled to a resolution of 10 m using a nearest neighbour approach. The resulting root mean square errors (rmse) from the ground control points were less than 0.5 pixels along track and 0.6 pixels across track.

To provide appropriate soil moisture conditions at the beginning of the measurement periods, the simulation run was started about four months prior to the sowing date of the crops (i.e. August 2003 and 2004 respectively).

5.2.2 Model results and discussion

NPP is defined as the rate at which vegetation fixes carbon from the atmosphere minus the plant respiration (McGuire et al., 1993); therefore NPP demonstrates its link to DM development during plant growth (Gitelson et al., 2006; Wu et al., 2010). Box et al. (1989) described the relationship between NPP and biomass as follows

$$NPP = LF + \Delta DM + H \quad (9)$$

where LF represents the biomass discarded periodically (e.g. litter or dead leaves), ΔDM is the increment of dry matter and H represents the dry matter lost to herbivores or harvest. For a precision farming managed crop canopy, the loss of biomass due to herbivores and decay of plant material are assumed to be negligible. Therefore, in this study aboveground dry biomass is used as proxy for NPP, but it has to be mentioned that, due to the lack of root biomass measures, it is restricted to above ground biomass and above ground NPP.

Figure 8 presents the average field values of modelled and measured DM in 2004 and 2005. In 2004, PROMET reproduces the field average plant development well, but tends to overestimate DM when used with the constant abs value. The general course of DM is based on a standardized development of canopy leaf area. The LAI measurements conducted during the growing season were used to adapt the standardized canopy leaf area. They are mainly responsible for the excellent results even when PROMET was run without remote sensing data. The modelling of optimum photosynthesis results in increased DM at the early stages of plant growth. The integration of CAI_{abs} results in a decrease of the modelled average DM at the beginning of tillering (due to March 31 AVIS data) and a slower increase during anthesis and ripening (due to the May 25 and June 8 data). However, only a slight increase in model performance could be observed when looking at the field average.

The results for 2005 clearly demonstrate the limitation of crop modelling. Results shown in Figure 6 demonstrate that PROMET cannot correctly reproduce average DM when factors, which are not driven by radiation regime, influence plant growth and health. PROMET was able to trace the reduced development of leaf area, but could not reproduce the moldering and decay. Unfortunately, the AVIS acquisition in July was far too late to adjust plant development to a more realistic level. The modelled DM is on a lower level compared to 2004, which is due to the influence of the LAI based modelling of the canopy. However, the resulting model performance was poor with high deviations up to 0.46 kg m⁻² (Figure 9).

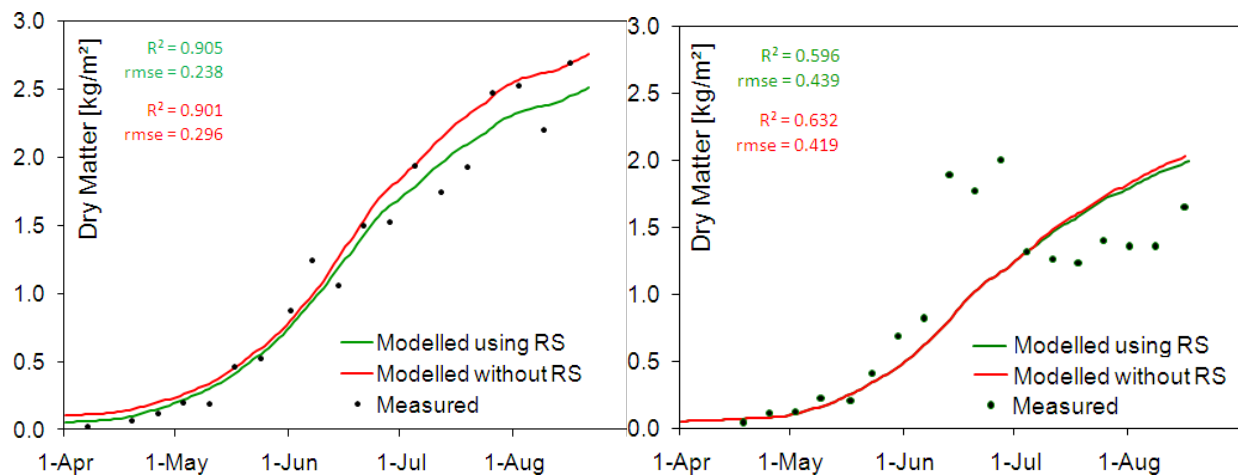


Fig. 8. Comparison of modelled and measured mean field values of DM with or without the integration of remote sensing (RS) data for the growing season in 2004 (left hand side) and 2005 (right hand side)

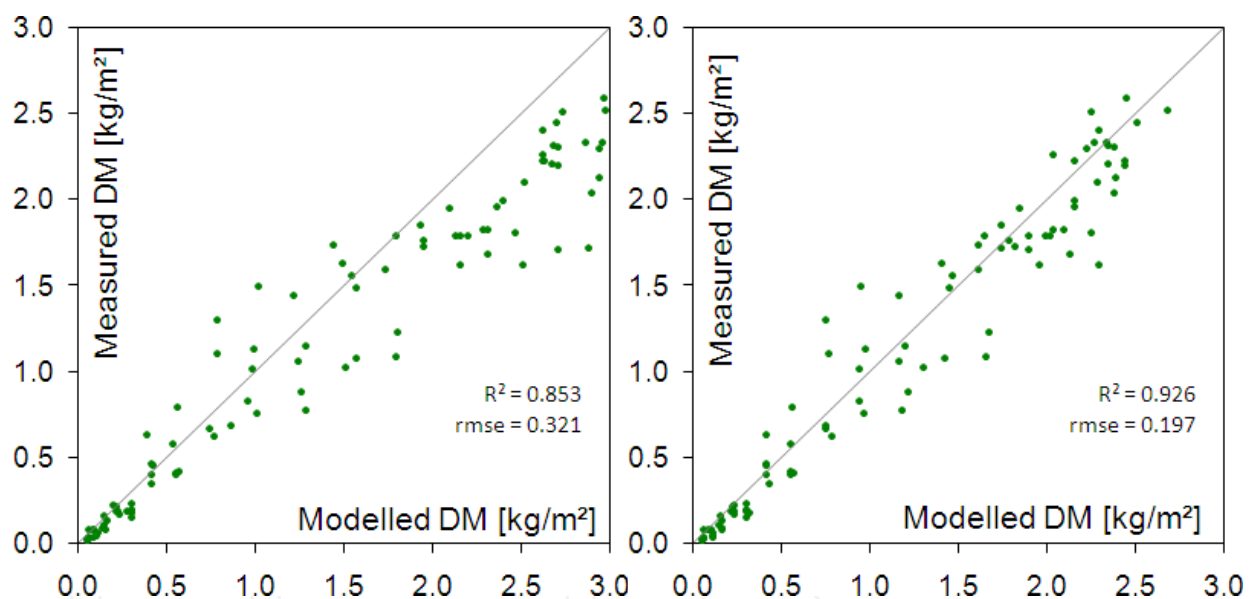


Fig. 9. Results of modelled and measured above-ground DM in 2004 when PROMET is used stand alone (left hand side) and with integration of AVIS data regulating the amount and spatial distribution of chlorophyll (right hand side)

The potential of integrating remote sensing data becomes obvious when looking at the results for the field sampling points (Figure 8). Plant development at the different sampling points can be reproduced more realistically when abs_{RS} is used instead of abs_{const} . The implementation of AVIS data results in a slightly higher coefficient of determination, but rmse was reduced by approximately 30%.

The use of a dynamic abs enables a more realistic modelling of dry matter, i.e. DM production is lowered. However, the results depend strongly – as could have been expected – on the time (or developmental stage of the plants) when remote sensing data are available. The time when a remotely sensed abs distribution can be integrated is crucial for two reasons: firstly, if spatially distributed abs is available at the beginning of the vegetation period, this

period can be modelled more realistically, because in the early growth stages the abs values turned out to be much lower than the constant value. With progressive plant development the chlorophyll content and therefore the absorptance increase, resulting in a low modelled DM.

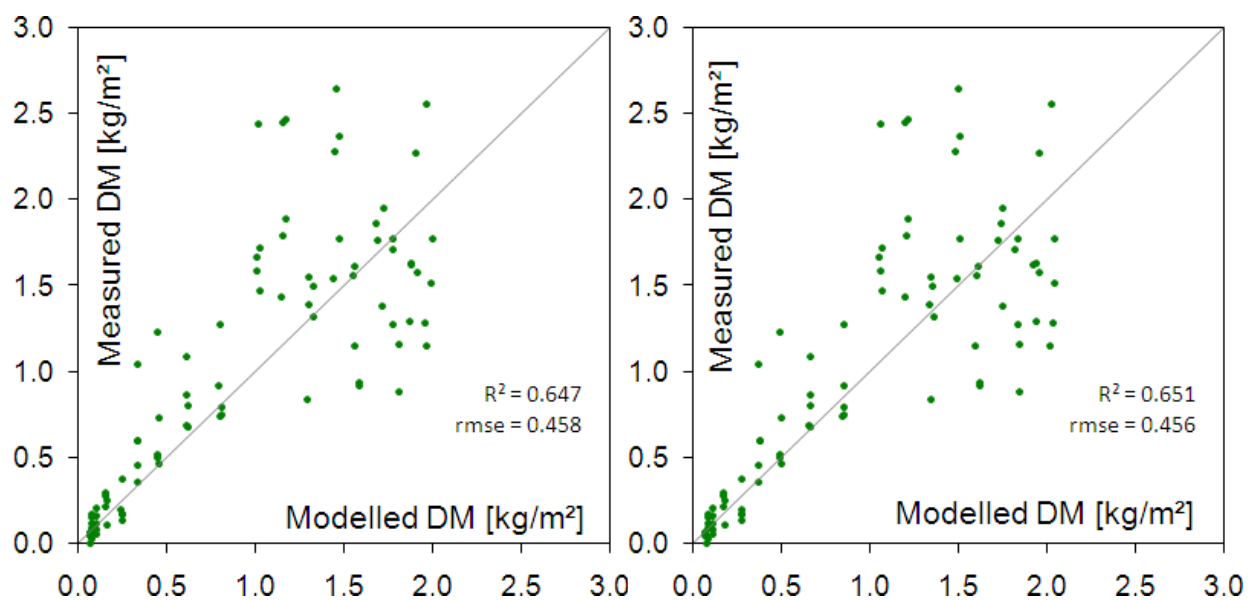


Fig. 9. Results of modelled and measured above-ground DM in 2005 when PROMET is used stand alone (left hand side) and with AVIS data acquired on 5 July (right hand side)

The importance of the phenological stage at which abs_{RS} is introduced is obvious. The advantage of implementing additional remote sensing data is the adjustment of the canopy absorptance properties, which would generally result in a decrease of DM. Thus model results improve at most when remote sensing data during the early growing stages are available. The AVIS acquisition in 2005 was too late for a proper characterization of the stand, because nearly the whole plant development was modelled with abs_{const} .

6. Conclusions

Remote sensing can provide information about parameters directly or indirectly connected to the photosynthetic activity of a plant or a vegetation canopy. Different types of vegetation indices were applied to estimate total chlorophyll of wheat canopies using airborne hyperspectral data. Validation with field measurements showed that $OSAVI$ and $hNDVI$ tend to saturate at chlorophyll contents above 600 mg m^{-2} while PRI and CAI were not affected by saturation. PRI showed the highest degree of correlation ($R^2 = 0.725$), but CAI proved the most precise estimation ($rmse = 81.1 \text{ mg m}^{-2}$).

Vegetation indices can be used as input parameter for calculating photosynthesis from small to global scale. MODIS PSN, GPP and NPP products are based on $NDVI$ measurements and provide information with a spatial resolution of 1 km. Examples of MODIS PSN provide valuable information of photosynthesis at regional to large scales.

To provide NPP on a field scale, the Farquhar - based biological sub-model of PROMET was used as vegetation growth model. PROMET integrates CAI derived leaf absorptance values as input parameter to calculate canopy photosynthesis. To validate model results, canopy

dry matter was used as a proxy for NPP. Under standard growing conditions, PROMET reproduced average field biomass development well, even without integration of remote sensing data ($R^2 = 0.91$). The model calculates optimum photosynthesis under given meteorological conditions and therefore tends to overestimate DM. The integration of remote sensing data adapts varying chlorophyll condition occurring in the field to the model. The results show a general decrease of modelled average DM. However, the heterogeneities in the wheat canopies could be reproduced better when a CAI based absorptance was integrated in PROMET; the resulting degree of correlation increased ($R^2 = 0.93$ compared to $R^2 = 0.85$) while the prediction error decreased by 30%.

The advantage of implementing additional remote sensing data lies in the adjustment of the canopy absorptance properties, e.g. on a deficit in nutrient supply, mechanical inflictions or plant diseases or moulding. Still, the acquisition time is a crucial task for the enhancement of crop growth modelling. If remote sensing data were not available directly after a mechanical inflict or the appearance of diseases, the model is not able to reproduce the changing plant metabolism ($R^2 = 0.65$).

This paper demonstrates that the use of remote sensing data to adapt “real conditions” to models of photosynthesis is very promising, both at field and coarse scale. The success and progress of photosynthetic related MODIS products and the model results emphasize the need for space-borne instruments to enable an operational monitoring with regular acquisitions on a regional and local scale. The advent of the EnMAP instrument in 2015 will hopefully close this gap. In addition, sun induced chlorophyll fluorescence becomes increasingly important in the monitoring of photosynthetic processes. Instruments that measure sun induced fluorescence such as FLEX (candidate for the Earth Explorer mission of the European Space Agency) will contribute significantly to the remote sensing based research in the field of photosynthesis.

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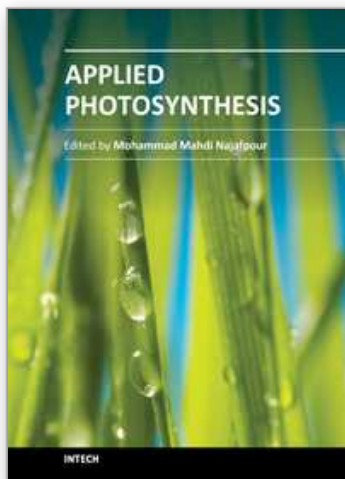
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Photosynthesis is one of the most important reactions on Earth, and it is a scientific field that is intrinsically interdisciplinary, with many research groups examining it. This book is aimed at providing applied aspects of photosynthesis. Different research groups have collected their valuable results from the study of this interesting process. In this book, there are two sections: Fundamental and Applied aspects. All sections have been written by experts in their fields. The book chapters present different and new subjects, from photosynthetic inhibitors, to interaction between flowering initiation and photosynthesis.

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