

We are IntechOpen, the world's leading publisher of Open Access books Built by scientists, for scientists

6,900

Open access books available

186,000

International authors and editors

200M

Downloads

Our authors are among the

154

Countries delivered to

TOP 1%

most cited scientists

12.2%

Contributors from top 500 universities



WEB OF SCIENCE™

Selection of our books indexed in the Book Citation Index
in Web of Science™ Core Collection (BKCI)

Interested in publishing with us?
Contact book.department@intechopen.com

Numbers displayed above are based on latest data collected.
For more information visit www.intechopen.com



Family of the PID Controllers

Ilan Rusnak

*RAFAEL, Advanced Defense Systems, Haifa
Israel*

1. Introduction

The PID controllers (P, PD, PI, PID) are very widely used, very well and successfully applied controllers to many applications, for many years, almost from the beginning of controls applications (D'Azzo & Houpis, 1988)(Franklin et al., 1994). (The facts of their successful application, good performance, easiness of tuning are speaking for themselves and are sufficient rational for their use, although their structure is justified by heuristics: "These ... controls - called proportional-integral-derivative (PID) control - constitute the heuristic approach to controller design that has found wide acceptance in the process industries." (Franklin et al., 1994, pp. 168)).

In this chapter we state a problem whose solution leads to the PID controller architecture and structure, thus avoiding heuristics, giving a systematic approach for explanation of the excellent performance of the PID controllers and gives insight why there are cases the PID controllers do not work well. Namely, by the use of Linear Quadratic Tracking (LQT) theory (Kwakernaak & Sivan, 1972)(Anderson & Moore, 1989) control-tracking problems are formulated and those cases when their solution gives the PID controllers are shown.

Further, problem of controlling-tracking high order polynomial inputs and rejecting high order polynomial disturbances is formulated. By applying the LQT theory extended family of PID controllers – the family of generalized PID controllers denoted $PI^m D^{n-1}$ is derived. This provides tool for application of optimal controllers for those systems that the conventional PID controllers are not satisfactory, for generalization and derivation of further results. The notation of generalized PID controllers, $PI^m D^{n-1}$, is consistent with the notation of controllers for fractional order systems (Podlubny, 1999).

The present work is strongly motivated by problems-question tackled by the author during a continuous work on high performance servo and motion control applications. Some of the theoretical results that have had motivated and led to the present work have been documented in (Rusnak, 1998, 1999, 2000a, 2000b). Some of the presented architectures appear and are recommended for use in (Leonhard, 1996, pp. 80, 347) without rigorous rationale and were partial trigger for the presented approach.

By Architecture we mean, loosely, the connections between the outputs/sensors and the inputs/actuators; Structure deals with the specific realization of the controllers' blocks; and Configuration is a specific combination of architecture and structure. These issues fall within the control and feedback organization theory that have been reviewed and presented in a concise form in (Rusnak, 2002, 2005) and in a widened form in (Rusnak, 2006, 2008). It is beyond the scope of this chapter. It is used here as a basis at a system theoretic level to

enable formulation of the control-feedback loops organization problem that leads to the family of generalized PID controllers. This article does not deal with the numerical values of the controllers' filters coefficients/gains; rather it concentrates in organization of the control loop and structure of the filters. This is the way the optimal LQT theory is used.

The LQT theory requires a reference trajectory generator. The reference trajectory is generated by a system that reflects the nominal behavior of the plant. The differences are the initial conditions, the input to the reference trajectory generator and the deviation of the actual plant from the nominal one. The zero steady state error is imposed by integral action of a required order on the state tracking error.

The generalized controllers derived by the presented methodology have been applied to high performance motion control in (Nanomotion, 2009a, 2009b) and to high performance missile autopilot in (Rusnak and Weiss, 2011).

The novelty of the results in this approach is that it shows for what problems a controller from the family of PID controllers is the optimal controller and for which it is not.

The importance of this result is:

1. From theoretical point of view it is important to know that widely used control architecture can be derived from an optimal control/tracking problem.
2. The solution shows for what kind of systems the PID controller is optimal and for which systems it is not, thus showing why a PID controller does not perform well for all systems. This will enable to forecast what control designs not to apply a PID controller.
3. For those systems that the PID is not the controller architecture derived from the optimal control approach shows what is the optimal controller architecture and structure, thus achieving generalization.
4. The present approach advises how to design PID controller on finite time interval, i.e. when the gains are time varying.
5. The generalization can be used in deriving generalized PID controllers for high order SISO systems, for SIMO and MIMO systems (Rusnak, 1999, 2000a), for time-varying and non-linear systems; thus enabling a systematic generalization of the PID controller paradigm.
6. The design procedures of PID controllers are assuming noise free environment. The presented approach advises how to generalize the PID controller configuration in presence of noises by the use of the Linear Quadratic Gaussian Tracking-LQGT theory (Rusnak, 2000b).
7. The conventional PID paradigm introduces integral action in order to drive the steady state tracking errors in presence of constant reference trajectory or disturbance. The present approach enables to systematically generalize the controller to drive the steady state tracking errors to zero for high order polynomial inputs and disturbances.
8. Choosing the optimal generalized PID controller reduces the quantity of controller parameters-gains that are required for tuning, Thus saving time during the design process.
9. The LQT motivated architecture enables separate treatment of the transient, by the trajectory generator, and the steady state performance by introducing integrators into the controllers (Rusnak and Weiss, 2011).

The results on the architecture and structure of the PID controllers' family for 1st and 2nd order are rederived in the article. Specifically, it is shown that the classical one block PID controller is optimal for Linear Quadratic Tracking problem of a 2nd order minimum phase plant. For plants with non-minimum phase zero the family of PID controllers is only suboptimal. Multi output single input architectures are proposed that are optimal.

Throughout this chapter the same notation for time domain and Laplace domain is used, and the explicit Laplace variable (s) is stated to avoid confusion wherever necessary.

2. The optimal tracking problem

The optimal tracking problem is introduced in (Kwakernaak & Sivan, 1972) (Anderson & Moore, 1989). The n^{th} order system is

$$\begin{aligned}\dot{x} &= Ax + Bu; \quad x(t_0) = x_o, \\ y &= Cx\end{aligned}\quad (1)$$

where x is the state; u is the input and y is the measured output, x_o is a zero mean random vector.

The v^{th} order reference trajectory generator is

$$\begin{aligned}\dot{x}_r &= A_r x_r + B_r w_r; \quad x_r(t_0) = x_{r0}, \\ y_r &= C_r x_r\end{aligned}\quad (2)$$

where x_r is the state; w_r is the input and y_r is the reference output; w_r is a zero mean stochastic process, x_{r0} is zero mean random vector. Further it is assumed that $n=v$. The case $n \neq v$ is beyond the scope of this chapter.

The integral action is introduced into the control in order to “force” zero tracking errors for polynomial inputs, and to attenuate disturbances (Kwakernaak & Sivan, 1972)(Anderson & Moore, 1989). This is done by introducing the auxiliary variables, integrals of the tracking error. This way the generalized PID controller, denoted $\text{PI}^m\text{D}^{n-1}$, is created. That is, the state is augmented by

$$\begin{aligned}e_x &= x_r - x \\ \dot{\eta}_1 &= C_{e1} [x_r - x] = C_{e1} e_x \\ \dot{\eta}_2 &= C_{e2} \eta_1; \\ &\vdots\end{aligned}\quad (3)$$

$$\begin{aligned}\dot{\eta}_m &= C_{em} \eta_{m-1} \\ \eta &= \begin{bmatrix} \eta_1 \\ \eta_2 \\ \vdots \\ \eta_m \end{bmatrix}; \quad \eta(t_0) = \eta_o,\end{aligned}\quad (4)$$

where (m) is the number of integrators that are introduced on the tracking error. The control objective is

$$J = \frac{1}{2} E \left\{ \begin{aligned} &\left[y_r(t_f) - y(t_f) \right]^T G_1 \left[y_r(t_f) - y(t_f) \right] + \eta(t_f)^T G_2 \eta(t_f) \\ &+ \int_{t_0}^{t_f} \left[\left[y_r(t) - y(t) \right]^T Q_1 \left[y_r(t) - y(t) \right] + \eta(t)^T Q_2 \eta(t) + u(t)^T R u(t) \right] dt \end{aligned} \right\} \quad (5)$$

The optimal tracking problem (Kwakernaak & Sivan, 1972) is to find an admissible input $u(t)$ such that the tracking objective (5) is minimized subject to the dynamic constraints (1-4).

All vectors and matrices are of the proper dimension.

3. Solution of the optimal tracking problem

In order to solve the Optimal Tracking Problem we augment the state variables (Kwakernaak & Sivan, 1972) and further assume that $A=A_r$, $B=B_r$ and $C=C_r$. This assumption states that the nominal values of the plant's parameters are known. The case $A \neq A_r$, $B \neq B_r$ and $C \neq C_r$ is beyond the scope of this chapter.

We have the error system

$$\dot{e}_x = Ae_x + Bw_r - Bu; \quad e_x(t_0) = x_{r0} - x_o, \quad (6)$$

$$X = \begin{bmatrix} e_x \\ \eta_1 \\ \eta_2 \\ \vdots \\ \eta_m \end{bmatrix}; \quad \bar{A} = \left[\begin{array}{c|cccc} A & 0 & 0 & \cdots & 0 \\ \hline \bar{C}_{e1} & 0 & 0 & & 0 \\ 0 & C_{e2} & 0 & & 0 \\ \vdots & & \ddots & & \vdots \\ 0 & 0 & \cdots & C_{em} & 0 \end{array} \right]; \quad \bar{B} = \begin{bmatrix} -B \\ 0 \\ 0 \\ \vdots \\ 0 \end{bmatrix}; \quad \bar{C} = [C \quad 0 \quad 0 \quad \cdots \quad 0] \quad (7)$$

then the problem is minimization of (5) subject to (1-4) is the problem of minimization of

$$J = \frac{1}{2} E \{ X(t_f)^T G X(t_f) + \int_{t_0}^{t_f} [X(t)^T Q X(t) + u(t)^T R u(t)] dt \} \quad (8)$$

subject to

$$\dot{\bar{X}} = \bar{A}\bar{X} + \bar{B}u - \bar{B}\bar{w}_r, \quad \bar{x}(t_0) = \bar{x}_o, \quad (9)$$

where

$$Q = \bar{C}^T Q_1 \bar{C} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} Q_2 \begin{bmatrix} 0 & 1 \end{bmatrix}, \quad G = \bar{C}^T G_1 \bar{C} + \begin{bmatrix} 0 \\ 1 \end{bmatrix} G_2 \begin{bmatrix} 0 & 1 \end{bmatrix}. \quad (10)$$

The solution is (Kwakernaak & Sivan, 1972) (Bryson & Ho, 1969)

$$u = -R^{-1} \bar{B}^T P X \\ -\dot{P} = P \bar{A} + \bar{A}^T P + Q - P \bar{B} R^{-1} \bar{B}^T P, \quad P(t_f) = G. \quad (11)$$

If we appropriately partition P , then

$$u = R^{-1} \bar{B}^T \begin{bmatrix} P_{11} & P_{12} \end{bmatrix} \begin{bmatrix} e_x \\ \eta \end{bmatrix} = \begin{bmatrix} K_1 & K_2 \end{bmatrix} \begin{bmatrix} e_x \\ \eta \end{bmatrix} \quad (12)$$

Notice that the solution is independent of the reference trajectory generator input, \bar{w}_r .

4. Architectures

As stated in the introduction Architecture deals with the connections between the outputs/sensors and the inputs/actuators; Structure deals with the specific realization of the controllers' blocks; and Configuration is a specific combination of architecture and structure. These issues fall within the of control and feedback organization theory (Rusnak, 2006, 2008), and are beyond the scope of this chapter.

In this chapter we deal with three specific architectures. These are:

1. Parallel controller architecture;
2. Cascade controller architecture;
3. One block controller architecture.

4.1 Parallel controller architecture

This control architecture is directly derived from the Solution of the Optimal Tracking Problem as derived in (Asseo, 1970) and in (12). The parallel controller can be written directly from (12) in Laplace domain as

$$\begin{aligned} u(s) &= \sum_{i=1}^n C_i(s) (x_{ri}(s) - x_i(s)) = \sum_{i=1}^n C_i(s) e_i(s) \\ &= C_1(s) (x_{r1}(s) - x_1(s)) + \dots + C_n(s) (x_{rn}(s) - x_n(s)) \end{aligned} \quad (13)$$

For 2nd order system the parallel controller architecture takes the form.

$$u(s) = C_1(s) (x_{r1}(s) - x_1(s)) + C_2(s) (x_{r2}(s) - x_2(s)) \quad (14)$$

Figure 1 presents the block diagram of the parallel controller architecture for a 2nd order system.

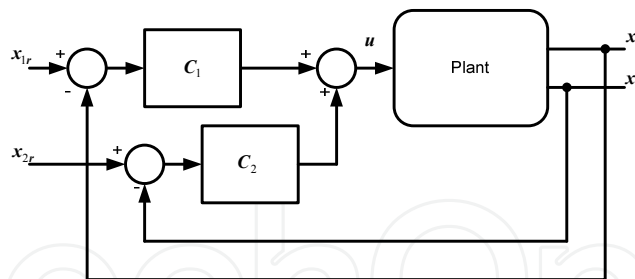


Fig. 1. Parallel controller architecture for 2nd order system.

4.2 Cascade controller architecture

By elementary block operation (13) can be written as

$$\begin{aligned} u(s) &= C_n(s) \left\{ (x_{rn}(s) - x_n(s)) + \frac{C_{n-1}(s)}{C_n(s)} [(x_{r_{n-1}}(s) - x_{n-1}(s)) \right. \\ &\quad + \frac{C_{n-2}(s)}{C_{n-1}(s)} [(x_{r_{n-2}}(s) - x_{n-2}(s)) + \frac{C_{n-3}(s)}{C_{n-2}(s)} [(x_{r_{n-3}}(s) - x_{n-3}(s)) + \dots \\ &\quad \left. \left. + \frac{C_1(s)}{C_2(s)} (x_{r_1}(s) - x_1(s))] \dots \right] \right\} \end{aligned} \quad (15)$$

This is the cascade controller architecture. For 2nd order system the cascade controller architecture takes the form.

$$u(s) = C_2 \left\{ (x_{r2} - x_2) + \frac{C_1}{C_2} (x_{r1} - x_1) \right\} = C_v \{ (x_{r2} - x_2) + C_p (x_{r1} - x_1) \}$$

(16)

Figure 2 presents the block diagram of the cascade controller architecture for a 2nd order system. The rationale for the notation of C_p (position) and C_v (velocity) will be presented in the sequel.

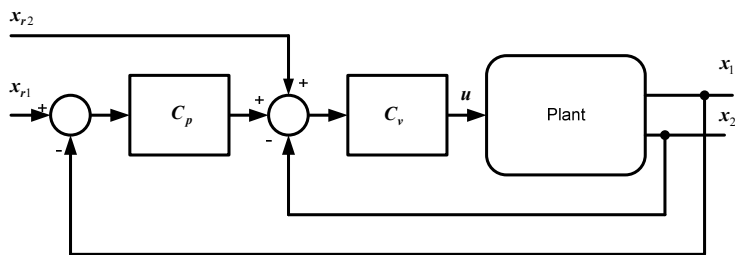


Fig. 2. Cascade controller architecture for 2nd order system.

4.3 One block controller architecture

By elementary operation on (13), and exploiting the relations between the state space variables, the one block controller architecture can be written as

$$u(s) = C(s) (x_{1r}(s) - x_1(s))$$

(17)

Figure 3 presents the block diagram of the one block controller architecture.

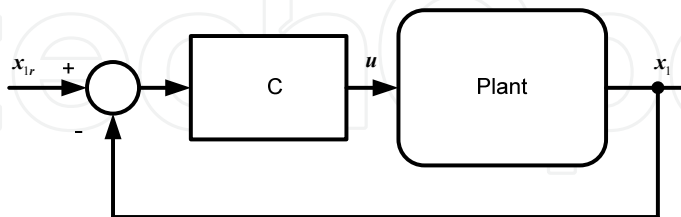


Fig. 3. One block controller architecture.

4.4 Discussion

Although from input-output transfer function point-of-view, there is no formal difference between the different architectures, there is difference with respect to the response to initial conditions, effects of saturation and nonlinearities, robustness, and more.

5. Controllers for first order system

As a first order system is considered, this leads to the one block controller architecture only.

5.1 P controller

Here we have

$$u = k_1 e_x = k_1 (x_r - x) \quad (18)$$

This is the proportional - P controller.

$$C(s) = k_1 \quad (19)$$

5.2 PI controller

Here we have

$$\begin{aligned} \dot{\eta}_1 &= e_x \\ u &= R^{-1} B^T \begin{bmatrix} P_{11} & P_{12} \end{bmatrix} \begin{bmatrix} e_x \\ \eta \end{bmatrix} = \begin{bmatrix} k_1 & k_2 \end{bmatrix} \begin{bmatrix} e_x \\ \eta_1 \end{bmatrix} = k_1 e_x + k_2 \int e_x dt \end{aligned} \quad (20)$$

This is the proportional + Integral - PI controller.

$$C(s) = k_1 + \frac{k_2}{s} = \frac{k_1 s + k_2}{s} \quad (21)$$

5.3 PI² controller

Here we have

$$\begin{aligned} \dot{\eta}_1 &= e_x \\ \dot{\eta}_2 &= \eta_1, \text{ or } \ddot{\eta}_2 = e_x \end{aligned} \quad (22)$$

$$u = R^{-1} B^T \begin{bmatrix} P_{11} & P_{12} \end{bmatrix} \begin{bmatrix} e_x \\ \eta \end{bmatrix} = \begin{bmatrix} k_1 & k_{21} & k_{22} \end{bmatrix} \begin{bmatrix} e_x \\ \eta_1 \\ \eta_2 \end{bmatrix} = k_1 e_x + k_{21} \int e_x dt + k_{22} \iint e_x dt$$

This is the proportional + double integrator - PI² controller.

$$C(s) = k_1 + \frac{k_{21}}{s} + \frac{k_{22}}{s^2} = \frac{k_1 s^2 + k_{21} s + k_{22}}{s^2} \quad (23)$$

5.4 PI^m controller

Here we have

$$\begin{aligned} \dot{\eta}_1 &= e_x \\ \dot{\eta}_2 &= \eta_1, \text{ or } \ddot{\eta}_2 = e_x, \\ &\vdots \\ \dot{\eta}_m &= \eta_{m-1}, \text{ or } \eta_m^{(m)} = e_x \end{aligned} \quad (24)$$

$$u = R^{-1}B^T \begin{bmatrix} P_{11} & P_{12} \end{bmatrix} \begin{bmatrix} e_x \\ \eta \end{bmatrix} = \begin{bmatrix} k_1 & k_{21} & k_{22} & \cdots & k_{2m} \end{bmatrix} \begin{bmatrix} e_x \\ \eta_1 \\ \eta_2 \\ \vdots \\ \eta_m \end{bmatrix}$$

(25)

$$= k_1 e_x + k_{21} \int e_x d\tau + \dots + k_{2m} \int \dots \int e_x d\tau_1 \dots d\tau_m$$

This is the proportional + (m) integrators - PI^m controller.

$$C(s) = k_1 + \frac{k_{21}}{s} + \dots + \frac{k_{2m}}{s^m} = \frac{k_1 s^m + k_{21} s^{m-1} + \dots + k_{2m}}{s^m}$$

(26)

Table 1 summarizes the one block generalized PID controller structure for first order system.

controller	
P	k_1
PI	$\frac{k_1 s + k_2}{s}$
PI ²	$\frac{k_1 s^2 + k_{21} s + k_{22}}{s^2}$
PI ^m	$\frac{k_1 s^m + k_{21} s^{m-1} + \dots + k_{2m}}{s^m}$

Table 1. One block generalized PID controllers for 1st order system.

6. Controllers for second order system

Second order plant and the trajectory generator are assumed and are represented in the companion form

$$A = A_r = \begin{bmatrix} 0 & 1 \\ -a_2 & -a_1 \end{bmatrix}, B = \begin{bmatrix} b_1 \\ b_2 \end{bmatrix}, C = C_r = \begin{bmatrix} 1 & 0 \end{bmatrix},$$

(27)

and we have

$$H(s) = H_r(s) = \frac{x_1}{u} = \frac{y}{u} = \frac{b_1 s + (b_2 + a_1 b_1)}{s^2 + a_1 s + a_2},$$

(28)

$$\frac{x_2}{u} = \frac{b_2 s - a_2 b_1}{s^2 + a_1 s + a_2}$$

(29)

$$\frac{x_2}{x_1} = \frac{b_2 s - a_2 b}{b_1 s + (b_2 + a_1 b_1)}$$

(30)

The plant's and trajectory's state generator are denoted

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} y \\ \dot{y} \end{bmatrix}; \quad \begin{bmatrix} x_{r1} \\ x_{r2} \end{bmatrix} = \begin{bmatrix} y_r \\ \dot{y}_r \end{bmatrix} \quad (31)$$

The reason for selecting the state space representation (27) is that plant without zero, i.e. $b_1 = 0$, is a case that is often met in motion control with electrical and PZT motors (Rusnak, 2000a). For plant without zero $x_2 = \dot{y}$, so that

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} y \\ \dot{y} \end{bmatrix}; \quad \begin{bmatrix} x_{r1} \\ x_{r2} \end{bmatrix} = \begin{bmatrix} y_r \\ \dot{y}_r \end{bmatrix} \quad (32)$$

and one can deal with position feedback, feedback on y , and velocity feedback, feedback on \dot{y} . For this reason in this chapter we will call, with slight abuse of nomenclature, the feedback loop on y the position loop and the feedback loop on $x_2, (\dot{y})$, the velocity loop.

6.1 PD controller

Feedback without integral action is implemented. The tracking errors are

$$\begin{aligned} e_{x1} &= y_r - y = x_{1r} - x_1 = e \\ e_{x2} &= x_{2r} - x_2 \end{aligned} \quad (33)$$

The controller is

$$u = \begin{bmatrix} k_1 & k_2 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \end{bmatrix} = k_1 e + k_2 (x_{2r} - x_2) \quad (34)$$

6.1.1 Parallel controller

$$u = k_1 (y_r - y) + k_2 (x_{2r} - x_2) \quad (35)$$

6.1.2 Cascade controller

$$u = k_2 \left[(x_{2r} - x_2) + \frac{k_1}{k_2} (y_r - y) \right] \quad (36)$$

6.1.3 One block controller

To get the one block controller we substitute (30) and get (in Laplace domain)

$$\begin{aligned} u(s) &= k_1 e + k_2 (x_{2r} - x_2) = k_1 e + \frac{k_2}{s} \frac{x_2}{x_1} (x_{1r} - x_1) \\ C(s) &= \frac{u(s)}{e(s)} = k_1 + k_2 \frac{b_2 s - a_2 b}{b_1 s + (b_2 + a_1 b_1)} = k_p + \frac{k_D s}{s \tau_D + 1} \end{aligned} \quad (37)$$

This is the PD controller.

6.1.4 Discussion

1. We used the assumption that $x_2(s)/x_1(s) = x_{2r}(s)/x_{1r}(s)$ and ignored the response to initial conditions.
2. For 2nd order plant with a stable zero the optimal controller is a proper PD controller, i.e. no direct derivative is required.
3. The pole/filter of the derivative in (37) cancels out the zero of the plant (28). This is optimal/correct for deterministic (noiseless) systems. For systems with noisy measurements this cancelation is no more optimal (Rusnak, 2000b).
4. The cancellation of the plant's zero by the optimal controller creates an uncontrollable system. This may work (although is not good practice) for stable zero. However, when the plant has non-minimum phase (unstable) zero the optimal PD controller induces uncontrollable unstable mode, which means that the Optimal PD controller cannot/should not be implemented in the one block controller architecture.
5. As for a plant with unstable zero the optimal one block PID controller cannot be realized, then measurement of the two states, or an observer is required if one wishes to build the optimal controller.
6. If stable controller is required it is possible to implement the optimal PD one block architecture controller only for minimum phase plants!
7. For 2nd order system without zero the deterministic optimal controller is not proper, i.e. requires pure derivative.

6.2 PID controller

Zero steady state tracking error on the output is required. The tracking errors are

$$\begin{aligned} e_{x1} &= y_r - y_r = x_{1r} - x_1 = e \\ e_{x2} &= x_{2r} - x_2 \\ \dot{\eta}_1 &= e_{x1} \end{aligned} \quad (38)$$

The controller is

$$u = \begin{bmatrix} k_1 & k_2 & k_3 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \eta_1 \end{bmatrix} = k_1 e + k_2 (x_{2r} - x_2) + k_3 \int e dt \quad (39)$$

and the controller in Laplace domain

$$u(s) = k_1 e + k_2 (x_{2r} - x_2) + \frac{k_3}{s} e \quad (40)$$

6.2.1 Parallel controller

$$u = \left(k_1 + \frac{k_3}{s} \right) (y_r - y) + k_2 (x_{2r} - x_2) \quad (41)$$

6.2.2 Cascade controller

$$u(s) = k_2 \left[(x_{2r} - x_2) + \frac{k_1 s + k_3}{k_2 s} (y_r - y) \right] \quad (42)$$

6.2.3 One block controller

To get the one block output controller derive we substitute (30) and get (in Laplace domain)

$$\begin{aligned} u(s) &= k_1 e + k_2 (x_{2r} - x_2) + \frac{k_3}{s} e = k_1 e + \frac{k_2 x_2}{s x_1} (x_{1r} - x_1) + \frac{k_3}{s} e \\ C(s) &= \frac{u(s)}{e(s)} = k_1 + k_2 \frac{b_2 s - a_2 b}{b_1 s + (b_2 + a_1 b_1)} + \frac{k_3}{s} = k_P + \frac{k_I}{s} + \frac{k_D s}{s \tau_D + 1} \end{aligned} \quad (43)$$

This is the PID controller.

6.2.4 Discussion

1. Remarks in section 6.1.4 apply here mutatis mutandis.
2. For 2nd order plant with a stable zero, the optimal controller with one integrator is a stable proper PID controller, i.e. no direct derivative is required.

6.3 PID controller in PIV configuration

Zero steady state tracking error on the output and the second state (velocity) is required. The tracking errors are

$$\dot{\eta}_1 = \begin{bmatrix} x_{1r} - x_1 \\ x_{2r} - x_2 \end{bmatrix} = \begin{bmatrix} e_{x1} \\ e_{x2} \end{bmatrix}, \quad \eta_1 = \begin{bmatrix} \int e_{x1} dt \\ \int e_{x2} dt \end{bmatrix} \quad (44)$$

The controller is

$$u = \begin{bmatrix} k_1 & k_2 & k_3 & k_4 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \int e_{x1} dt \\ \int e_{x2} dt \end{bmatrix} = k_1 e_{x1} + k_2 e_{x2} + k_3 \int e_{x1} dt + k_4 \int e_{x2} dt \quad (45)$$

and in Laplace domain

$$u(s) = k_1 e_{x1} + k_2 e_{x2} + \frac{k_3}{s} e_{x1} + \frac{k_4}{s} e_{x2} \quad (46)$$

6.3.1 Parallel controller

$$u(s) = \left(k_1 + \frac{k_3}{s} \right) (y_r - y) + \left(k_2 + \frac{k_4}{s} \right) (x_{2r} - x_2) \quad (47)$$

6.3.2 Cascade controller - the PIV configuration

$$u(s) = \left(k_2 + \frac{k_4}{s} \right) \left[(x_{2r} - x_2) + \frac{k_1 s + k_3}{k_2 s + k_4} (y_r - y) \right] \quad (48)$$

This is called the PIV configuration (Proportional feedback in position loop and proportional+integral feedback in the velocity loop) (configuration=combination of architecture and structure) as there is almost proportional feedback (Lead-Lag) on the position x_1 and then in the velocity loop on x_2 there is proportional and one integral feedback.

6.3.3 One block output controller

To get the one block controller we substitute (30) and get (in Laplace domain)

$$\begin{aligned} u(s) &= \left(k_1 + \frac{k_3}{s} \right) e_{x1} + \left(k_2 + \frac{k_4}{s} \right) e_{x2} \\ C(s) &= \frac{u(s)}{e(s)} = \left(k_1 + \frac{k_3}{s} \right) + \left(k_2 + \frac{k_4}{s} \right) \frac{x_2}{x_1} = k_p + \frac{k_I}{s} + \frac{k_D}{s\tau_D + 1} \end{aligned} \quad (49)$$

This is the PID controller.

6.3.4 Discussion

1. Remarks in section 6.1.4 apply here mutatis mutandis.
2. Two different tracking problems (38, 44) lead to the same one block controller.
3. In the parallel architecture there is a PI controller in each of the errors (47).
4. Although formally the cascade architecture controller requires the tuning of six parameters in (48), the deterministic optimal PIV controller needs the tuning of four parameters only, as can be deduced from (46).

6.4 PI²D controller

Zero steady state tracking error on the output for ramp input or disturbance is required. The tracking errors are

$$\begin{aligned} \dot{\eta}_1 &= e_{x1} = y_r - y = x_{1r} - x_1 = e \\ e_{x2} &= x_{2r} - x_2 \\ \dot{\eta}_2 &= \eta_1 \end{aligned} \quad (50)$$

The controller is

$$\begin{aligned} u &= \begin{bmatrix} k_1 & k_2 & k_3 & k_4 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \eta_1 \\ \eta_2 \end{bmatrix} = \begin{bmatrix} k_1 & k_2 & k_3 & k_4 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \int e_{x1} dt \\ \iint e_{x1} dt \end{bmatrix} \\ &= k_1 e_{x1} + k_2 e_{x2} + k_3 \int e_{x1} dt + k_4 \iint e_{x1} dt \end{aligned} \quad (51)$$

and in Laplace domain

$$u(s) = k_1 e + k_2 (x_{2r} - x_2) + \frac{k_3}{s} e + \frac{k_4}{s^2} e \quad (52)$$

6.4.1 Parallel controller

$$u = \left(k_1 + \frac{k_3}{s} + \frac{k_4}{s^2} \right) (y_r - y) + k_2 (x_{2r} - x_2) \quad (53)$$

6.4.2 Cascade controller

$$u(s) = k_2 \left[(x_{2r} - x_2) + \frac{(k_1 s^2 + k_3 s + k_4)}{k_2 s^2} (y_r - y) \right] \quad (54)$$

Two integrators in the position loop and proportional feedback in the velocity loop.

6.4.3 One block output controller

To get the one block controller we substitute (30) and get (in Laplace domain)

$$u(s) = \left(k_1 + \frac{k_3}{s} + \frac{k_4}{s^2} \right) (x_{1r} - x_1) + k_2 (x_{2r} - x_2) = \left(k_1 + \frac{k_3}{s} + \frac{k_4}{s^2} \right) (x_{1r} - x_1) + k_2 \frac{x_2}{x_1} (x_{1r} - x_1) \quad (55)$$

$$C(s) = \frac{u(s)}{e(s)} = k_1 + k_2 \frac{b_2 s - a_2 b}{b_1 s + (b_2 + a_1 b_1)} + \frac{k_3}{s} + \frac{k_4}{s^2} = k_p + \frac{k_I}{s} + \frac{k_{I2}}{s^2} + \frac{k_D s}{s \tau_D + 1}$$

This is the PI²D controller.

6.4.4 Discussion

1. Remarks in section 6.1.4 apply here mutatis mutandis.

6.5 PI²D controller in IPIV configuration

Here we want to force zero steady state tracking error on the second state, as well, however in different configuration, i.e.

$$\dot{\eta}_1 = \begin{bmatrix} x_{1r} - x_1 \\ x_{2r} - x_2 \end{bmatrix} = \begin{bmatrix} e_{x1} \\ e_{x2} \end{bmatrix}, \quad \eta_1 = \begin{bmatrix} \int e \\ \int (x_{2r} - x_2) \end{bmatrix}, \quad \dot{\eta}_2 = \begin{bmatrix} 1 & 0 \end{bmatrix} \eta_1, \text{ or } \dot{\eta}_2 = \dot{\eta}_1 = e_{x1} = e \quad (56)$$

The controller is

$$u = \begin{bmatrix} k_1 & k_2 & k_3 & k_4 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \eta_1 \\ \eta_2 \end{bmatrix} = \begin{bmatrix} k_1 & k_2 & k_3 & k_4 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \int e_{x1} dt \\ \iint e_{x1} dt \end{bmatrix} \quad (57)$$

$$= k_1 e_{x1} + k_2 e_{x2} + k_3 \int e_{x1} dt + k_4 \iint e_{x1} dt$$

and in Laplace domain

$$u(s) = k_1 e + k_2 (x_{2r} - x_2) + \frac{k_3}{s} e + \frac{k_4}{s} (x_{2r} - x_2) + \frac{k_5}{s^2} e \quad (58)$$

6.5.1 Parallel implementation

$$u = \left(k_1 + \frac{k_3}{s} + \frac{k_5}{s^2} \right) (y_r - y) + \left(\frac{k_4}{s} + \frac{k_2}{s} \right) (x_{2r} - x_2) \quad (59)$$

6.5.2 Cascade controller – the IPIV configuration

$$u(s) = \frac{k_2 s + k_4}{s} \left[(x_{2r} - x_2) + \frac{k_1 s^2 + k_3 s + k_5}{s(k_2 s + k_4)} (y_r - y) \right] \quad (60)$$

This is called the IPIV configuration (Proportional +integral feedback in position loop and proportional +integral feedback in the velocity loop) as there is almost proportional feedback on the position loop, y , and then in the velocity loop on, x_2 , there is proportional and one integral feedback.

6.5.3 One block output controller

To get the one block output controller we substitute (30) and get (in Laplace domain)

$$u(s) = k_1 e + k_2 (x_{2r} - x_2) + \frac{k_3}{s} e + \frac{k_5}{s^2} e + \frac{k_4}{s} (x_{2r} - x_2) \quad (61)$$

$$C(s) = \frac{u(s)}{e(s)} = k_1 + k_2 \frac{x_2}{x_1} + \frac{k_3}{s} + \frac{k_5}{s^2} + \frac{k_4}{s} \frac{x_2}{x_1} = k_p + \frac{k_{I1}}{s} + \frac{k_{I2}}{s^2} + \frac{k_D s}{s\tau_D + 1}$$

This is the PI²D controller.

6.5.4 Discussion

1. Remarks in section 6.1.4 apply here mutatis mutandis.

6.6 PI²D controller in PI²V configuration

Here we want to force zero steady state tracking error on the rate of the output as well, and

$$\dot{\eta}_1 = \begin{bmatrix} x_1 - x_{1r} \\ x_2 - x_{2r} \end{bmatrix} = \begin{bmatrix} e_{x1} \\ e_{x2} \end{bmatrix}, \quad \eta_1 = \begin{bmatrix} \int e_{x1} dt \\ \int e_{x2} dt \end{bmatrix},$$

$$\dot{\eta}_2 = \eta_1 \quad (62)$$

The controller is

$$u = \begin{bmatrix} k_1 & k_2 & k_3 & k_4 & k_5 & k_6 \end{bmatrix} \begin{bmatrix} e_{x1} \\ e_{x2} \\ \int e_{x1} dt \\ \int e_{x2} dt \\ \iint e_{x1} dt \\ \iint e_{x2} dt \end{bmatrix} \quad (63)$$

and in Laplace domain

$$u(s) = k_1 e + k_2 (x_{2r} - x_2) + \frac{k_3}{s} e + \frac{k_4}{s} (x_{2r} - x_2) + \frac{k_5}{s^2} e + \frac{k_6}{s^2} (x_{2r} - x_2) \quad (64)$$

6.6.1 Parallel controller

$$u = \left(k_1 + \frac{k_3}{s} + \frac{k_5}{s^2} \right) (y_r - y) + \left(k_2 + \frac{k_4}{s} + \frac{k_6}{s^2} \right) (x_{2r} - x_2) \quad (65)$$

6.6.2 Cascade controller – the PI²V configuration

$$u = \frac{k_2 s^2 + k_4 s + k_6}{s^2} \left[(x_{2r} - x_2) + \frac{k_1 s^2 + k_3 s + k_5}{k_2 s^2 + k_4 s + k_6} (y_r - y) \right] \quad (66)$$

This is called the PI²V configuration (Proportional feedback in position loop and proportional +double integral feedback in the velocity loop) as there is almost proportional feedback (Lead-Lag) in the position loop, on y , and then in the velocity loop, on x_2 , there is proportional and two integrals feedback.

6.6.3 One block output controller

$$\begin{aligned} u(s) &= \left(k_1 + \frac{k_3}{s} + \frac{k_5}{s^2} \right) (y_r - y) + \left(k_2 + \frac{k_4}{s} + \frac{k_6}{s^2} \right) (x_{2r} - x_2) \\ C(s) &= \frac{u(s)}{e(s)} = \left(k_1 + \frac{k_3}{s} + \frac{k_5}{s^2} \right) + \left(k_2 + \frac{k_4}{s} + \frac{k_6}{s^2} \right) \frac{b_2 s - a_2 b}{b_1 s + (b_2 + a_1 b_1)} \\ &= k_p + \frac{k_{I1}}{s} + \frac{k_{I2}}{s^2} + \frac{k_D s}{s\tau_D + 1} \end{aligned} \quad (67)$$

This is the PI²D controller.

6.6.4 Discussion

1. Remarks in section 6.1.4 apply here mutatis mutandis.

6.7 Summary

This section presented the family of the generalized PID controllers for 2nd order systems. The following tables summarize the structure of the controllers in the different architectures.

Table 2 presents the family of generalized PID controllers for 2nd order systems in the parallel architecture that are able to drive the tracking error to zero for up to constant acceleration input and disturbance. Formally, if all possible parallel configurations are enumerated then there are three more parallel structures as detailed in Table 3. However these additional structures are equivalent to the respective structures in Table 2 as detailed in the rightmost column. Therefore these configurations are not considered in the following.

Generalized PID controller - Parallel architecture (Figure 1)			
	C_{x1}	C_{x2}	§
PD	k_1	k_2	6.1
PID	k_1+k_3/s	k_2	6.2
PID- PIV	k_1+k_3/s	k_2+k_4/s	6.3
PI ² D	$k_1+k_3/s+k_4/s^2$	k_2	6.4
PI ² D-IPIV	$k_1+k_3/s+k_5/s^2$	k_2+k_4/s	6.5
PI ² D- PI ² V	$k_1+k_3/s+k_5/s^2$	$k_2+k_4/s+k_6/s^2$	6.6

Table 2. The structure of the parallel architecture controllers for 2nd order plant.

Generalized PID controller - Parallel architecture (Figure 1)			
	C_{x1}	C_{x2}	§
PID	k_1	k_2+k_4/s	6.2
PI ² D	k_1	$k_2+k_4/s+k_6/s^2$	6.4
PI ² D-IPIV	k_1+k_3/s	$k_2+k_4/s+k_6/s^2$	6.5

Table 3. The structure of the parallel architecture controllers for 2nd order plant.

Tables 4 and 5 present the family of generalized PID controllers for 2nd order systems in the cascade architecture and in the one block controller architecture, respectively, that are able to drive the tracking error to zero for up to constant acceleration input and disturbance.

Generalized PID controller - Cascade architecture (Figure 2)			
	C_p (position-outer loop)	C_v (velocity-inner loop)	§
PD	k_1	k_1/k_2	6.1
PID	$(k_1s+k_3)/k_2/s$	k_2	6.2
PIV	$(k_1s+k_3)/(k_2s+k_4)$	$(k_2s+k_4)/s$	6.3
PI ² D	$(k_1s^2+k_3s+k_4)/k_2/s^2$	k_2	6.4
IPIV	$(k_1s^2+k_3s+k_5)/s(k_2s+k_4)$	$(k_2s+k_4)/s$	6.5
PI ² V	$(k_1s^2+k_3s+k_5)/(k_2s^2+k_4s+k_6)$	$(k_2s^2+k_2s+k_6)/s^2$	6.6

Table 4. The structure of the cascade architecture controllers for 2nd order plant.

One block PD, PID and generalized PID controller (Figure 3)				
Controller type		plant	integral action(m)	§
PD	$k_P+k_D s$	no zero	0	6.1
PD	$k_P+k_D s/(s \tau_D+1)$	zero	0	6.1
PID	$k_P + k_I/s+k_D s$	no zero	1	6.2,3
PID	$k_P+ k_I/s+k_D s/(s \tau_D+1)$	zero	1	6.2,3
PI ² D	$k_P + k_{I1}/s+k_{I2}/s^2+k_D s$	no zero	2	6.4,5,6
PI ² D	$k_P+k_I/s+k_{I1}/s+k_{I2}/s^2+k_D s/(s \tau_D+1)$	zero	2	6.4,5,6

Table 5. The structure of one block generalized PID controller for 2nd order plant with and without minimum phase zero.

7. Reference trajectory generator

The reference trajectory generator encapsulates the required closed loop behavior as stated by the system specification-requirements. There can be two cases: the trajectory is either unknown or known in advance. The former case gives the well known pre-filter that creates the feed-forward as well. In the second case, for example, minimum time trajectories for limited acceleration or jerk, minimum acceleration or jerk energy trajectories, or any other profile can be required. Both cases are presented in (Leonhard, 1996, pp. 80, 347, 363-364, 367) and in many other publications.

8. Discussion

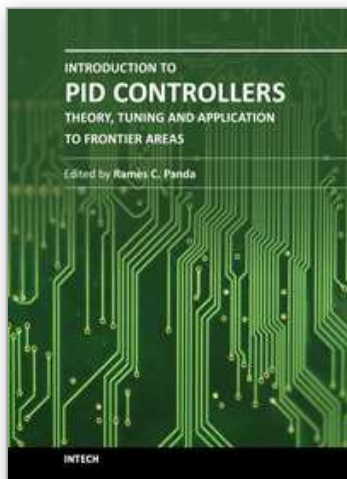
In this chapter the generalized PID controllers for 1st and 2nd order system that are able to drive the tracking error to zero for up to second order polynomials inputs and disturbances have been derived. This presented in detail a methodology to derive additional members of the family of generalized PID controllers for high order system (Rusnak, 1999) and high order polynomial inputs and disturbances. These are the PI^mDⁿ⁻¹ controllers. Following the theory and the author's experience the full state feedback, especially the cascade architecture, Figure 2, is preferable over the one block controller, Figure 3. This may come at the expense of higher cost. However in modern digital control loop that are using absolute or incremental encoders the position and velocity information is derived at the same cost. The motion control engineers prefer the cascade controller because of implementation and tuning easiness. The most important feature is that in the cascade architecture the feedback loop can be tuned sequentially. That is, start with the velocity-inner loop, that is usually high bandwidth, and then to proceed to the position-outer loop. The same apply to higher order generalized PID controllers.

9. Conclusions

By the use of LQR theory we formulated a control-tracking problem and showed those cases when their solution gives members of the PI^mD^{m-1} family of controllers. This way heuristics are avoided and a systematic approach to explanation for the excellent performance of the PID controllers is given. The well known one block PID controller architecture is optimal for Linear Quadratic Tracking problem of 2nd order systems with no zero or stable zero.

10. References

- Anderson, B.D.O. and Moore, J.B. (1989). *Optimal Control: Linear Quadratic Methods*, Prentice-Hall. ISBN 0-13-638651-3
- Asseo, S.J. (1970). Application of Optimal Control to Perfect Model Following, *Journal of Aircraft*, Vol. 7, No. 4, July-August, pp.308-313.
- Bryson, A.E. and Ho, Y.C. (1969). *Applied Optimal Control*, Ginn and Company. ISBN 0891162283
- D'Azzo, J.J. and Houpis, C.H. (1988). *Linear Control Systems Analysis And Design: Conventional and Modern*, McGraw-Hill Book Company. ISBN 978-0824740382
- Franklin, G.F., Powell, J.D. and Emani-Naeini, A. (1994). *Feedback Control of Dynamic Systems*, 3rd Edition, Addison-Wesley Publishing Company, Inc. ISBN 0-201-52747-2
- Kwakernaak, H. and Sivan, R. (1972). *Linear Optimal Control*, John Wiley & Sons, Inc. ISBN 0-471-51110-2
- Leonhard, W. (1996). *Control of Electric Drives*, 2nd edition, Springer-Verlag. ISBN 3-540-59380-2
- Nanomotion. (2009a). A Johnson Electric Company, *FlexDC, User Manual*, July, Available from <http://www.nanomotion.com>
- Nanomotion. (2009b). A Johnson Electric Company, *FlexDC, Software User Manual*, July, Available from <http://www.nanomotion.com>
- Podlubny, I. (1999). Fractional order systems and $PI^{\lambda}D^{\mu}$ controllers, *IEEE Transactions on Automatic Control*, Vol.44, No.1, pp.208-214.]
- Rusnak, I. (1998). The Optimality of PID Controllers, *The 9th Mediterranean Electrotechnical Conference, MELECON '98*, May 18-20, Tel-Aviv, Israel.
- Rusnak, I. (1999). Generalized PID Controller, *The 7th IEEE Mediterranean Conference on Control & Automation, MED 99*, 28-29 June, Haifa, Israel.
- Rusnak, I. (2000a). The Generalized PID Controller and its Application to Control of Ultrasonic and Electric Motors, *IFAC Workshop on Digital Control, Past, Present and Future of PID Control, PID' 2000*, Tarrasa, Spain, 5-7 April.
- Rusnak, I. (2000b). Generalized PID Controller for Stochastic Systems, *The 21st Convention of IEEE in Israel*, April 11-12, Tel-Aviv, Israel. (Invited paper).
- Rusnak, I. (2002). On Feedback Loops Organization, *The 22nd Convention of IEEE in Israel*, December, Tel-Aviv, Israel. (Abstract)
- Rusnak, I. (2005). Organization of the Feedback for Gantry System, *The 30th Israel Conference on Mechanical Engineering*, Tel-Aviv, Israel, May 29-30. (Extended abstract)
- Rusnak, I. (2006). On Control and Feedback Organization, *The 24nd IEEE Convention of IEEE in Israel*, November, Eilat, Israel.
- Rusnak, I. (2008). Control Organization – Survey and Application, *The 9th Biennial ASME Conference on Engineering Systems Design and Analysis, ESDA 2008*, July 7-9, Haifa, Israel.
- Rusnak, I. and Weiss, H. (2011). New Control Architecture for High Performance Autopilot, *The 51th Israel Annual Conference on Aerospace Science*, February 23-24, Israel.



Introduction to PID Controllers - Theory, Tuning and Application to Frontier Areas

Edited by Prof. Ramesh C. Panda

ISBN 978-953-307-927-1

Hard cover, 258 pages

Publisher InTech

Published online 29, February, 2012

Published in print edition February, 2012

This book discusses the theory, application, and practice of PID control technology. It is designed for engineers, researchers, students of process control, and industry professionals. It will also be of interest for those seeking an overview of the subject of green automation who need to procure single loop and multi-loop PID controllers and who aim for an exceptional, stable, and robust closed-loop performance through process automation. Process modeling, controller design, and analyses using conventional and heuristic schemes are explained through different applications here. The readers should have primary knowledge of transfer functions, poles, zeros, regulation concepts, and background. The following sections are covered: The Theory of PID Controllers and their Design Methods, Tuning Criteria, Multivariable Systems: Automatic Tuning and Adaptation, Intelligent PID Control, Discrete, Intelligent PID Controller, Fractional Order PID Controllers, Extended Applications of PID, and Practical Applications. A wide variety of researchers and engineers seeking methods of designing and analyzing controllers will create a heavy demand for this book: interdisciplinary researchers, real time process developers, control engineers, instrument technicians, and many more entities that are recognizing the value of shifting to PID controller procurement.

How to reference

In order to correctly reference this scholarly work, feel free to copy and paste the following:

Ilan Rusnak (2012). Family of the PID Controllers, Introduction to PID Controllers - Theory, Tuning and Application to Frontier Areas, Prof. Ramesh C. Panda (Ed.), ISBN: 978-953-307-927-1, InTech, Available from: <http://www.intechopen.com/books/introduction-to-pid-controllers-theory-tuning-and-application-to-frontier-areas/the-family-of-pid-controllers>

INTECH
open science | open minds

InTech Europe

University Campus STeP Ri
Slavka Krautzeka 83/A
51000 Rijeka, Croatia
Phone: +385 (51) 770 447
Fax: +385 (51) 686 166
www.intechopen.com

InTech China

Unit 405, Office Block, Hotel Equatorial Shanghai
No.65, Yan An Road (West), Shanghai, 200040, China
中国上海市延安西路65号上海国际贵都大饭店办公楼405单元
Phone: +86-21-62489820
Fax: +86-21-62489821

© 2012 The Author(s). Licensee IntechOpen. This is an open access article distributed under the terms of the [Creative Commons Attribution 3.0 License](https://creativecommons.org/licenses/by/3.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

IntechOpen

IntechOpen