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### Th1 Cytokine-Secreting Recombinant Bacillus Calmette-Guérin: Prospective Use in Immunotherapy of Bladder Cancer

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#### 1. Introduction

#### 1.1 Clinical use of BCG in bladder cancer treatment

Urothelial carcinoma of the bladder is the second most common urologic neoplasm after prostate carcinoma in the United States, with an estimated 70,530 new cases and 14,680 deaths in 2010 (Jemal et al., 2010). Global prevalence of bladder cancer is estimated at >1 million and is steadily increasing. At the time of diagnosis, 20-25% of cases are muscle invasive (stage T2 or higher) and are typically treated with surgical resection (radical cystectomy) (Williams et al., 2010). The remainders are nonmuscle invasive bladder cancer (NMIBC) including tumors confined to the epithelial mucosa (Ta), tumors invading the lamina propria (T1), and carcinoma in situ (Tis). Transurethral resection of bladder tumor (TURBT) is the primary treatment for Ta and T1 lesions. Intravesical therapy is used as adjuvant treatment to prevent recurrence and progression of the disese after TURBT and is also the treatment of choice for carcinoma in situ. Intravesical administration of bacillus Calmette-Guérin (BCG), a live attenuated strain of Mycobacterium bovis widely used as a vaccine against tuberculosis, is currently the most common therapy employed for NMIBC. Since its advent in 1976 (Morales et al., 1976), BCG has been extensively used to reduce recurrence and progression of NMIBC in an attempt to preserve the bladder. BCG therapy results in 50-60% effectiveness against small residual tumors and a 70-75% complete response rate for carcinoma in situ. Adjuvant intravesical therapy was noted by the 2007 American Urological Association (AUA) panel to reduce recurrences by 24% and treatment with BCG was recommended by the panel. Unfortunately, a high percentage of patients fail initial BCG therapy and 40-50% of BCG responders develop recurrent tumors within the first 5 years (Williams et al., 2010). In addition, up to 90% of patients experience some sort of side effects including, although rare, life-threatening complications such as sepsis.

According to the AUA's 2007 clinical practice guidelines, BCG therapy should be initiated two to three weeks following TURBT with a classic course consisting of six weekly intravesical installations. Lyophilized powder BCG (81 mg corresponding to 1-5 X 10<sup>8</sup> colony-forming units of viable mycobacteria) is reconstituted in 50 ml of saline and administered via urethral catheter into an empty bladder with a dwell time of 2 hours. Maintenance BCG is more effective in decreasing recurrence as compared to induction therapy alone. Multiple meta-analyses support BCG maintenance and it is now firmly established in clinical practice. The European Association of Urology (EAU) and the AUA

recommend one year of maintenance for high risk patients (Hall et al., 2007; Babjuk et al., 2008). An optimal schedule/duration of therapy has yet to be determined; however, most who use maintenance follow some permutation of the Southwest Oncology Group (SWOG) program, a 3-week "mini" series given at intervals of 3, 6, 12, 18, 24, 30 and 36 months (Lamm et al., 2000). At our own institution, induction (first BCG therapy) is initiated 2 to 3 weeks following TURBT with 6 weekly installations and a 1-2 hour dwell time. For patients with carcinoma in situ, severe dysplasia, Grade 3/high grade or poorly differentiated pathology, and/or stage T1 disease, formal restaging under anesthesia is performed 6 weeks later including obtaining bilateral upper tract cytology, retrograde pyelograms, 4-5 random bladder biopsies, and prostatic urethral biopsies. If this pathology and restaging is negative, maintenance cycles may be initiated in 6 weeks. We classify three maintenance cycles A, B and C. Maintenance A consists of 3 weekly instillations followed by cystoscopy 6 weeks later. Cytology and fluorescence in situ hybridization (FISH) in urine specimens may be obtained at this time. If cystoscopy/cytology is negative, maintenance B may be initiated 6 months after the conclusion of cycle A, again for 3 weekly treatments. Maintenance C is initiated 6 months after the conclusion of cycle B. Following cycle C, cystoscopy/cytology is repeated every 3 months for 2 years from the original diagnosis at which time it is extended to every 6 months for 1 year, and then annually.

#### **1.2 Mechanism of BCG action**

Since its first therapeutic application in 1976, major efforts have been made to decipher the mechanisms through which BCG mediates anti-bladder cancer immunity (Brandau & Suttmann, 2007; Alexandroff et al., 2010). During the past decades, many details of the molecular and cellular mechanisms involved have been discovered although the exact mechanisms of BCG action still remain elusive. It is now accepted that a functional host immune system is a necessary prerequisite to successful BCG immunotherapy. It has also become clear that the effects of intravesical BCG depend on the induction of a complex inflammatory cascade event in the bladder mucosa reflecting activation of multiple types of immune cells and bladder tissue cells (Brandau & Suttmann, 2007; Alexandroff et al., 2010). After instillation, BCG adheres to fibronectin on the urothelial lining through a fibronectin attachment protein (FAP) on BCG (Kavoussi et al., 1990). This interaction between BCG and the urothelium is one of the first and most crucial steps. Attached BCG is then internalized and processed by urothelial cells including urothelial carcinoma cells (UCC), resulting in secretion of an array of proinflammatory cytokines and chemokines such as interleukin (IL)-1, IL-6, IL-8, tumor necrosis factor (TNF)- $\alpha$ , and granulocyte-macrophage colony stimulating factor (GM-CSF) (Becich et al., 1991; Bevers et al., 2004). Following urothelail cell activation, an influx of various leukocyte types into the bladder wall occurs including neutrophils, monocytes/macrophages, lymphocytes, natural killer (NK) cells, and dendritic cells (DC) (aBöhle et al., 1990; Prescott et al., 1992; aSaban et al., 2007). These infiltrating leukocytes are activated and produce a variety of additional proinflammatory cytokines and chemokines and also form BCG-induced granuloma structures in the bladder wall (aBöhle et al., 1990; aSaban et al., 2007). Subsequently, a large number of leukocyte types such as neutrophils, T cells and macrophages are expelled into the bladder lumen and appear in patients' voided urine (a-cDe Boer et al., 1991; Simons et al., 2008). In addition, transient massive cytokines and chemokines can be detected in voided urine including IL-1β, IL-2, IL-6, IL-10, IL-12, IL-18, interferon (IFN)-γ, TNF-α, GM-CSF, macrophage colony-stimulating factor (M-CSF), macrophage-derived chemokine (MDC), monocyte chemoattractant protein (MCP)-1,

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64

macrophage inflammatory protein (MIP)-1 $\alpha$ , interferon-inducible protein (IP)-10, monokine induced by  $\gamma$ -interferon (MIG), and eosinophil chemoattractant activity (Eotaxin) (bBöhle et al., 1990; De Boer et al., 1991; De Reijke et al., 1996; Taniguchi et al., 1999; Saint et al., 2002; Nadler et al., 2003; Luo et al., 2007). The urine of animals treated with intravesical BCG also showed increased IL-1α, IL-1β, IL-2, IL-3, IL-4, IL-5, IL-6, IL-10, IL-12, IL-17, IFN-γ, TNF-α, GM-CSF, M-CSF, MIP-1a, regulated on activation normal T cell expressed and secreted (RANTES), and keratinocyte-derived chemokine (KC) (aSaban et al., 2007). It has been noted that the development of a predominant Th1 cytokine profile (e.g. IFN- $\gamma$ , IL-2 and IL-12) is associated with the therapeutic effects of BCG, whereas the presence of a high level of Th2 cytokines (e.g. IL-10) is associated with BCG failure (De Reijke et al., 1996; Saint et al., 2002; Nadler et al., 2003). Thus, a shift of the cytokines produced towards a Th1 milieu is necessary for succesful BCG immunotherapy of bladder cancer. To support this, it has been observed that both IFN-y and IL-12 but not IL-10 are required for local tumor surveillance in an animal model of bladder cancer (Riemensherger et al., 2002). Mice deficint in IL-10 genetically (IL-10-/-) or functionally via antibody neutralization can also develop enhanced anti-bladder cancer immunity in response to intravesical BCG (Nadler et al., 2003).

Multiple immune cell types participate in the inflammatory response induced by BCG in the bladder. It is well accepted that macrophages, an indispensable cellular component of the innate immune system, serve as the first line of defense in mycobacterial infection. Activation, maturation and cytokine production of macrophages are primarily induced by Toll-like receptor (TLR) 2 ligation (Heldwein et al., 2003). Following BCG instillation, an increased number of macrophages can be observed in bladder cancer infiltrates and the peritumoral bladder wall. Voided urine after BCG instillation also contains an increased number of macrophages and the cytokines and chemokines predominantly produced by macrophages such as TNF-α, IL-6, IL-10, IL-12 and IL-18 (<sup>b</sup>Böhle et al., 1990; <sup>a,c</sup>De Boer et al., 1991; Saint et al., 2002; Nadler et al., 2003; Luo et al., 2007). In addition to presenting BCG antigens, both human and murine macrophages are capable of functioning as tumoricidal cells toward bladder cancer cells upon activation by BCG in vitro (Pryor et al., 1995; Yamada et al., 2000; Luo et al., 2004, 2006, 2010). The killing of bladder cancer cells by macrophages relies on direct cell-to-cell contact and release of various soluble effector factors such as cytotoxic cytokines TNF- $\alpha$  and IFN- $\gamma$  and apoptotic mediators such as nitric oxide (NO) (Jansson et al., 1998; Luo et al., 2004, 2006, 2010). Th1 cytokines (e.g. IFN- $\gamma$ ) enhance the induction of macrophage cytotoxicity whereas Th2 cytokines (e.g. IL-10) inhibit the induction of macrophage cytotoxicity (Luo et al., 2006, 2010).

Neutrophils also compose the early responding cells to BCG instillation of the bladder and can be observed in the bladder wall and urine shortly after BCG instillation (<sup>a,c</sup>De Boer et al., 1991; <sup>a</sup>Saban et al., 2007; Simons et al., 2008). Neutrophils are central mediators of the innate immunity in BCG infection and are activated by signalling through TLR2 and TLR4 in conjunction with the adaptor protein myeloid differentiation factor 88 (MyD88) (Godaly & Young, 2005). In addition to secretion of proinflammatory cytokines and chemokines (e.g. IL-1 $\alpha$ , IL-1 $\beta$ , IL-8, MIP-1 $\alpha$ , MIP-1 $\beta$ , MCP-1, transforming growth factor (TGF)- $\beta$ , and growth-related oncogene (GRO)- $\alpha$ ) that lead to the recruitment of other immune cells (Suttmann et al., 2006), recent studies revealed that neutrophils are the primary source of TNF-related apoptosis-inducing ligand (TRAIL) found in the urine after BCG instillation (Ludwig et al., 2004; Kemp et al., 2005). TRAIL is a member of the TNF family that induces apoptosis in malignant cells but not in normal cells. Studies have indicated that the

neutrophil TRAIL response is specific to BCG stimulation rather than nonspecific immune activation. Studies have also revealed a positive correlation between urinary TRAIL level and the therapeutic effects of BCG, as BCG responders contained a significant higher amount of urinary TRAIL than BCG nonresponders (Ludwig et al., 2004). These observations suggest an important role of neutrophils in BCG-induced anti-bladder cancer immunity. Indeed, it has been observed that depletion of neutrophils resulted in a reduced BCG-induced anti-bladder cancer response in a mouse model of bladder cancer (Suttmann et al., 2006).

Following the activation of macrophages and neutrophils in the bladder wall, driven by chemoattractants, recruitment of other immune cell types including CD4+ T cells, CD8+ T cells, NK cells, and DC takes place (aBöhle et al., 1990; Prescott et al., 1992). As for neutrophils and macrophages, these cell types can be found in the voided urine of patients after BCG instillation (a-cDe Boer et al., 1991). These effector cells produce various cytokines and chemokines to further promote BCG-induced anti-bladder cancer immune responses in the local milieu. In addition, DC, together with macrophages, trigger an anti-BCG specific immune response via antigen presentation to T cells that also amplifies the BCG-induced antitumor immunity. Like neutrophils and macrophages, both T cells and NK cells are cytotoxic toward bladder cancer cells upon activation. They kill target cells via major histocompatibility complex (MHC) restricted (e.g. for cytotoxic T lymphocytes (CTL)) and/or MHC non-restricted pathways (e.g. for NK cells) (Pryor et al., 1995; Suttmann et al., 2004; Liu et al., 2009). Perforin-mediated lysis and apoptosis-associated killing (e.g. via Fas ligand and TRAIL) have been implicated as the major molecular effector mechanisms underlying the eradication of bladder cancer cells. These effector cell types are crucial for BCG immunotherapy of bladder cancer, as depletion of these cell types failed to develop effective anti-bladder cancer responses in vivo and kill bladder cancer cells in vitro (Ratliff et al., 1993; Brandau et al., 2001).

It has been shown that stimulation of human peripheral blood mononuclear cells (PBMC) by viable BCG in vitro leads to the generation of a specialized cell population called BCGactivated killer (BAK) cells (Böhle et al., 1993; aBrandau et al., 2000). BAK cells are a CD3-CD8+CD56+ cell population whose cytotoxicity is MHC non-restricted (a,bBrandau et al., 2000). BAK cells kill bladder cancer cells through the perforin-mediated lysis pathway and are effective on lysing NK cell-resistant bladder cancer cells (Böhle et al., 1993; a,bBrandau et al., 2000). Macrophages and CD4<sup>+</sup> T cells have been found to be indispensable for the induction of BAK cell killing activity but have no such activity by themselves (aBrandau et al., 2000). Th1 cytokines IFN-y and IL-2 have also been found to be required for the induction of BAK cell cytotoxicity, as neutralizing antibodies specific to these cytokines could inhibit BCG-induced cytotoxicity (aBrandau et al., 2000). BAK cells, together with lymphokine-activated killer (LAK) cells, a diverse population with NK or T cell phenotypes that are generated by IL-2 (Jackson et al., 1992; Shemtov et al., 1995), have been suggested to be the major effector cells during intravesical BCG immunotherapy of bladder cancer. Other potential cytotoxic effector cells include CD1 restricted CD8+ T cells (Kawashima et al., 2003), γδ T cells (Higuchi et al., 2009), and natural killer T (NKT) cells (Emoto et al., 1999; Higuchi et al., 2009).

Activation of the innate immune system is a prerequisite for the BCG-induced inflammatory responses and the subsequent eradication of bladder cancer by intravesical BCG. In BCG instillation, TLRs participate in neutrophil, macrophage and DC maturation and activation.

66

Both TLR2 and TLR4 appear to serve important but distinct roles in the induction of host immune responses to BCG or BCG cell-wall skeleton (Heldwein et al., 2003). Like other microbes, BCG has surface components called pathogen-associated molecular patterns (PAMPs) that are recognized by cells of the innate immune system through TLRs during infection (Aderem & Ulevitch, 2000). It is this interaction between TLRs and PAMPs that activates the cells of the innate immune system, leading to BCG-induced inflammatory responses and subsequent eradication of bladder cancer. It is known that the antitumor effect of intravesical BCG depends on its proper induction of a localized Th1 immune response. However, a systemic immune response also appears involved in intravesical BCG therapy. It has been reported that purified protein derivative (PPD) skin test often converts from negative to positive after BCG instillation and the effective treatment is associated with the development of delayed-type hypersensitivity (DTH) reaction to PPD (Bilen et al., 2003). Animal studies have also demonstrated the importance of DTH in the antitumor activity of intravesical BCG therapy (Nadler et al., 2003). Moreover, studies have shown increased levels of cytokines and chemokines in the serum (e.g. IL-2, IFN-γ, MCP-1 and RANTES), along with production of these cytokines and chemokines in the urine and/or bladder, during the course of BCG instillation (Taniguchi et al., 1999; Reale et al., 2002). Furthermore, studies have also shown an increase in PBMC cytotoxicity against UCC after BCG instilation (Taniguchi et al., 1999).

In addition to the ability of BCG to elicit host immune responses, evidence supports a direct effect of BCG on the biology of UCC. In vitro studies have shown that BCG is antiproliferative and even cytotoxic to UCC (Pryor et al., 1995; Pook et al., 2007). However, this direct cytocidal effect of BCG is not convincing under physiological conditions in vivo, as intravesical BCG showed no therapeutic effect on bladder cancer in animal models with different immunodeficiencies (Ratliff et al., 1993; Brandau et al., 2001; Suttmann et al., 2006). In vitro studies have also shown that BCG can induce UCC expression of cytokines and chemokines (e.g. IL-1β, IL-6, IL-8, TNF-α and GM-CSF) (Bevers et al., 2004), antigenpresenting molecules (e.g. MHC class II, CD1 and B7-1) (Ikeda et al., 2002), and intercellular adhesion molecules (e.g. ICAM-1) (Ikeda et al., 2002). Analysis of tumor biopsy specimens from bladder cancer patients who underwent intravesical BCG therapy further supported the ability of BCG to induce UCC expression of these molecules in vivo (Prescott et al., 1992). Moreover, the bladder urothelium of animals treated with intravesical BCG showed upregulation of HLA antigens (e.g. MHC class I and II) and IFN-γ-induced small GTPase families (e.g. GBPs and p47GTPases) as well as activation of canonical signaling pathways (e.g. nuclear factor (NF) kB, axonal guidance, aryl hydrocarbon receptor, Wnt/β-catenin, and cAMP) (<sup>b</sup>Saban et al., 2007). However, intravesical BCG treatment also down-regulated urothelial expression of certain molecules (e.g. single-spanning uroplakins, SPRR2G, GSTM5, and RSP 19) (bSaban et al., 2007). Recent studies have revealed that by cross-linking  $\alpha 5\beta 1$  integrin receptors BCG exerts its direct biological effects on UCC, including activation of the signal transduction pathways invovling activator protein (AP) 1, NFκB and CCAAT-enhancer-binding protein (C/EBP) (Chen et al., 2002), upregulation of gene expressions such as IL-6 and cyclin dependant kinase inhibitor p21 (Chen et al., 2002; Zhang et al., 2007), and cell cycle arrest at the G1/S transition (Chen et al., 2005). Although some studies showed the ability of BCG to induce apoptosis in UCC (Ping et al., 2010), other studies demonstrated that BCG induced no apoptosis or even caused apoptotic resistance in UCC (See et al., 2009).

Further studies revealed that BCG induced UCC death in a caspase-independent manner (See et al., 2009) and that p21 played an important role in modulating the direct effects of BCG on UCC (See et al., 2010). During the past decade, studies have also demonstrated that peroxisome proliferator-activated receptor gamma (PPAR $\gamma$ ), a member of the steroid receptor superfamily of ligand-activated transcription factors, is involved in the pathogenesis of bladder cancer (Mylona et al., 2009). PPAR $\gamma$  is a key regulator of adipogenic differentiation and its ligands have been found to induce terminal differentiation or growth inhibition of various cancer cell types including bladder cancer (Mansure et al., 2009). Although there is a discrepancy with regard to its actual role in bladder cancer, it appears that the lack of PPAR $\gamma$  expression is associated with bladder cancer progression. Recent studies have demonstrated that BCG could directly induce PPAR $\gamma$  in UCC both *in vitro* and *in vivo*, which may contribute to the antitumor activity of BCG (Lodillinsky et al., 2006).

#### 1.3 Combination of BCG with Th1 cytokines for bladder cancer treatment

The proper induction of Th1 immunity is required for successful BCG immunotherapy of bladder cancer. Since a high percentage of patients do not respond to BCG and the effect of BCG is associated with significant toxicity, strategies to combine BCG with recombinant (r) Th1 cytokines to enhance BCG therapeutic efficacy while reducing BCG toxicity have been employed and studied. Among Th1 cytokines, rIFN-a is most extensively studied and has been shown to be safe and tolerable when used intravesically, alone or in combination with BCG, in many controlled studies (O'Donnell et al., 2001; Lam et al., 2003; Joudi et al., 2006; Nepple et al., 2010; Bazarbashi et al., 2011). The side-effect profile of combination therapy is similar to BCG monotherapy including lower urinary tract symptoms such as frequency, urgency, dysuria, bladder spasm and hematuria. Systemic fever, flu-like symptoms, and myalgias were found in <25% of patients and were self-limited. Benefits have been seen in patients with BCG failures (O'Donnell et al., 2001; Lam et al., 2003; Joudi et al., 2006). Treatment with low-dose BCG (1/3 or 1/10 the standard dose) combined with rIFN-a resulted in 45-53% of patients who had failed prior BCG monotherapy to remain disease free at 24-month median follow-up (O'Donnell et al., 2001; Joudi et al., 2006). The benefit in naïve patients is currently in question with recent studies showing mixed results. A Phase III study suggested no benefit in BCG naïve patients (Nepple et al., 2010). However, no subgroup analysis was performed for carcinoma in situ or high risk patients. Therefore, it can still be concluded that the BCG-rIFN-a combination therapy may provide a benefit to patients with high risk disease or carcinoma in situ. Data since the release of the Phase III study supports the combination therapy with BCG and rIFN-a in BCG naïve patients (Bazarbashi et al., 2011). Thus, more studies are needed to formally determine the effect of the combination therapy for BCG naive patients. To date, a combination therapy with BCG and rIFN-a2B has been employed, particularly for patients with previous BCG failures, those with carcinoma in situ, and the elderly (Joudi et al., 2006). Optimal dose and schedule have yet to be defined in controlled trials and debate continues on the subject. At our institution, we use the standard dose of TICE BCG plus 50 million units (MU) of rIFN-a2B intravesically as induction therapy for BCG naïve patients. For BCG exposed patients, 1/3 the standard dose of BCG plus 50 MU of rIFN-a2B is utilized. The dose may be lowered for those patients experiencing lower urinary tract symptoms or low grade fever. For

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68

maintenance cycle A, we adjust the BCG dose for week 1 consisting of 1/3 the standard dose of BCG plus 50 MU of rIFN- $\alpha$ 2B. For weeks 2 and 3, the BCG dose is lowered to 1/10 the standard dose plus 50 MU of rIFN- $\alpha$ 2B. Maintenance cycles B and C utilize similar dosing.

Other cytokines that have been used intravesically include rIL-2, rIL-12, rIFN-y and rGM-CSF. A study demonstrated that intravesical rIL-2 was beneficial for patients with T1 papillary bladder carcinoma after TURBT showing regression of marker lesions and lack of major toxic effects (Den Otter et al., 1998). Other studies also demonstrated intravesical rIL-2 to be feasible, safe and effective in patients with NMIBC who were untreated or had failed prior intravesical therapy with other agents (Tubaro et al., 1995; Ferlazzo et al., 1996). A study demonstrated that intravesical rIL-12 was well tolerated by patients with recurrent NMIBC but showed no clinically relevant antitumor and immunologic effects (Weiss et al., 2003). However, the maximum tolerated dose of rIL-12 was not reached in the study. Different from human studies, animal studies showed encouraging results. A survival advantage of intravesical rIL-12 was observed in a mouse orthotopic bladder cancer model (Zaharoff et al., 2009). Further studies for intravesical rIL-12 use are warranted. For intravesical rIFN-y, a study showed the absence of major toxicity and the therapeutic effect superior to mitomycin C for patients with NMIBC who underwent TURBT (Giannopoulos et al., 2003). In addition, populations of leukocytes in the urothelium were significantly increased in rIFN-y treated patients confirming its induction of localized cellular immune responses. Other studies also supported the safety and antitumor activity of intravesical rIFN-γ monotherapy (Stavropoulos et al., 2002). Studies also demonstrated that intravesical rGM-CSF was effective as a prophylactic therapy for patients with NMIBC after TURBT (Stravoravdi et al., 1999; Theano et al., 2002). In correlation with regression of marker lesions, intravesical rGM-CSF induced leukocyte migration and activation in the bladder mucosa. Despite all these observations, however, single cytokine therapy has only been evaluated in small numbers of patients and has not yet shown compelling results in general. Indeed, *in vitro* studies have demonstrated that cytokines IL-2, IL-12 and TNF-α, like IFN-α, can enhance BCG for the induction of Th1 immune responses in human PBMC (Luo et al., 1999, 2003; O'Donnell et al., 1999). Thus, addition of these cytokines to BCG may provide benefits for BCG therapy particularly for BCG nonresponders or relapsers. Studies are absolutely needed to exame the combination of BCG with these cytokines for the treatment of bladder cancer.

#### 2. Advances in genetic engineering of BCG for cytokine delivery

#### 2.1 BCG as a heterologous gene delivery vehicle

Because of its unique characteristics, such as adjuvant potential, low toxicity and potent immunogenecity, BCG has long been considered to be an attractive live vaccine delivery vehicle with which to deliver protective antigens of multiple pathogens. During the past 2 decades, with advances in knowledge of mycobacterial genetics and molecular biology, a wide range of recombinant BCG (rBCG) vaccine candidates expressing bacterial, viral, parasitic antigens have been developed including those for *Mycobacterium tuberculosis* (*M.tb*), human immunodeficiency virus (HIV), and hepatitis B and C viruses (Bastos et al., 2009). As early as in the 1980s, studies showed that mycobacteria were capable of delivering foreign genes that were introduced into the microbes (Jacobs et al., 1987; Snapper et al., 1988). In the early 1990s, vectors carrying strong promoters from the mycobacterial major

heat-shock protein genes (e.g. hsp60 and hsp70) and unique cloning sites, which allowed extrachromosomal or integrative expression of foreign antigens, were developed (Stover et al., 1991; Lee et al., 1991). Using these expression vectors, BCG was further demonstrated to be an effective live delivery vehicle for foreign antigens (Stover et al., 1991, 1993; Aldovini & Young, 1991; Connell et al., 1993; a,bLangermann et al., 1994). These rBCG strains constitutively expressed foreign antigens and elicited long-lasting specific humoral and/or cellular immune responses in mice. Some of these rBCG strains even generated protective immunity against respective pathogens whose antigens were expressed by mycobacteria such as the outer surface protein A (OspA, Borrelia burgdorferi) (Stover et al., 1993), surface proteinase gp63 (Leishmania spp) (Connell et al., 1993), and surface protein A (Streptococcus pneumoniae) (aLangermann et al., 1994). Since the vectors used contained no signal sequence, the foreign antigens were expressed in the cytoplasm of mycobacteria. During that time period, vectors permitting surface expression of foreign antigens in mycobacteria or secretion from mycobacteria were developed (Matsuo et al., 1990; Stover et al., 1993). Infection with these rBCG strains led to enhanced immune responses to some antigens in mice (Stover et al., 1993; aLangermann et al., 1994; Grode et al., 2002). Meanwhile, vectors with various mycobacterial gene promoters, such as  $\alpha$ -antigen, P<sub>AN</sub>, ag85b, 18kDa and furA (among many others), were also developed and demonstrated to be effective to elicit specific immune responses and/or protective immunity in different animal species including mouse, guinea pig, hamster, pig, sheep, rabbit, and monkey (Matsuo et al., 1990; Murray et al., 1992; Honda et al., 1995; Horwitz et al., 2000; Bastos et al., 2009). In addition, progress has continued in the refinement of the safety and efficacy of the rBCG vaccine vehicles. To date, numerous improved systems employed to express heterologous genes in BCG are available. Among them are vectors with limited replication or auxotrophic complementation for safe use in HIV-infected individuals, capability to replicate at a high-copy number for increased antigen delivery, dual expression cassettes for multivalent antigen delivery, capability to integrate into the genome at multiple sites for differential antigen expression, inducible elements for controlled gene expression, and expression of perfringolysin or listeriolysin (with or without urease C gene deletion) for increased CD8<sup>+</sup> T cell stimulation. Although clinical use of rBCG vaccines is still in an early stage, studies have already demonstrated that rBCG is safe and effective in humans such as those expressing OspA and M.tb antigen 85B (Ag85B). In the years to come, more rBCG vaccines will be evaluated clinically and their usefulness in preventing human infectious dieases will become clear. In addition to a wide range of bacterial, viral and parasitic antigens, BCG has also been engineered to deliver tumor-associated antigens. For example, BCG expressing prostate specific molecules such as prostate specific antigen (PSA) and prostate specific membrane antigen (PSMA) have been developed. Mice immunized with the rBCG-PSA or rBCG-PSMA strain developed antigen-specific immune responses, primarily a cellular immune response (Geliebter, 2010). We also independently developed a rBCG strain that secretes the fulllength PSA. We observed that mice immunized with the rBCG-PSA strain, but not a control BCG strain carrying an empty vector, developed a potent specific CTL activity against PSA expressing RM11psa cells (our unpublished observations). In addition, we further observed that mice primed with the rBCG-PSA strain and boosted with Ad-PSA, a replicationdefective adenoviral vector carrying the full-length PSA coding sequence (Elzey et al., 2001), developed enhanced PSA-specific CTL activity and IFN-γ expressing CD4<sup>+</sup> and CD8<sup>+</sup> T cells (our unpublished observations). Several studies including ours have also demonstrated that BCG could be engineered to express mucin-1 (MUC1), a candidade tumor-associated

antigen for breast cancer and other epithelial adenocarcinomas, in a manner of multiple tandem repeats with coexpression of IL-2, GM-CSF or CD80 (He et al., 2002; Chung et al., 2003; <sup>a,b</sup>Yuan et al., 2009, 2010). Severe combined immunodeficient (SCID) mice reconstituted with human peripheral blood lymphocytes (PBL) followed by immunization with these MUC1 expressing rBCG strains developed specific protective immunity against MUC1-positive human breast cancer xenografts. These observations warrant further studies in rBCG delivering tumor antigens for the treatment of malignant diseases.

Studies have shown that BCG delivery of certain biologically active molecules can induce enhanced immune responses. A study demonstrated that a rBCG strain secreting cathepsin S, a cysteine endoprotease involving in MHC class II antigen presentation, could restore intracellular cathepsin S activity and improve the capacity of BCG-infected macrophages to stimulate CD4+ T cells (Soualhine et al., 2007). A study also demonstrated that mice simultaneously immunized with intraperitoneal ovalbumin (OVA) and intranasal rBCG secreting the assembled pentameric cholera toxin B subunit developed a long-lasting OVAspecific mucosal IgA response as well as a systemic IgG response (Biet et al., 2003). Remarkably, a rBCG strain expressing the genetically detoxified S1 subunit of pertussis toxin (S1PT) showed enhanced BCG adjuvant potential and, when administered intravesically, resulted in bladder weight reduction and increased survival time in a mouse syngeneic orthotopic tumor model (Chade et al., 2008; Andrade et al., 2010). Moreover, BCG has also been engineered to express the model antigen OVA for studies of the mechanisms underlying BCG induction of antigen-specific immune responses (van Faassen et al., 2004). These studies revealed that the ability of BCG to induce a delayed but persistent immune response was due to its chronicity in infection that led to a long effector phase and reduced immune cell attrition compared to Listeria monocytogenes (an acute pathogen). Furthermore, we and others have also engineered BCG to express green fluorescent protein (GFP), either alone or in combination with antigenic molecules (e.g. OVA) or cytokines (e.g. IL-2), for the studies of BCG trafficking, antigen deliver, and anti-mycobacterial infection (Luo et al., 1996, 2000; Hulseberg et al., 2010).

#### 2.2 Th1 cytokine-secreting rBCG

In our early studies, we developed a panel of rBCG strains that secreted mouse IL-2 or rat IL-2 under the control of the mycobacterial *hsp60* promoter and  $\alpha$ -antigen signal sequence (O'Donnell et al., 1994). We demonstrated that the IL-2 secreting rBCG strains induced enhanced IFN- $\gamma$  production by mouse splenocytes *in vitro* compared to wild-type BCG. Since then, numerous rBCG strains secreting different mouse and human cytokines, primarily Th1 cytokines (e.g. IL-2, IL-18, IFN- $\gamma$  and IFN- $\alpha$ ), have been developed (Table 1). In addition, rBCG strains secreting other cytokines or chemokines (e.g. GM-CSF, IL-15, TNF- $\alpha$  and MCP-3) have also emerged. Most of these cytokine- and chemokine-secreting rBCG strains showed their abilities to enhance BCG-induced cellular immune responses including Th1 cytokine production, cellular cytotoxicity, DC activation, and anti-BCG or anti-*M.tb* infection. Some of them even showed their antitumor effects in animal models of melanoma (Duda et al., 1995), breast cancer (Chung et al., 2003; <sup>a</sup>Yuan et al., 2009, Yuan et al., 2010), and bladder cancer (Arnold et al., 2004). Certain cytokine-secreting rBCG strains also induced humoral immune responses and Th2 cytokine production other than cellular immune responses *in vitro* and *in vivo*.

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<u>Strain</u>	<u>Cytokine</u>	<u>Species</u>	Immunological Effect	<u>Reference</u>
IL-2 BCG (RBD)	IL-2	m	Th1 cyt prod, Antitumor, Cytotoxicity	O'Donnell et al., 1994; Duda et al., 1995; Luo et al., 2006
IL-2 BCG (MAO)	IL-2	r	Th1 cyt prod	O'Donnell et al., 1994
BCG-CI	IL-2	h	Anti-BCG	Kong & Kunimoto 1995
BCG-CII	IL-2	h	Anti-BCG	Kong & Kunimoto 1995
BCG-IL-2	IL-2	m	CI, Th1 & Th2 cyt prod	Murray et al., 1996
BCG-GM-CSF	GM-CSF	$\mathcal{P}^{m}$	CI, Th1 & Th2 cyt prod, DC act, Anti- <i>M.tb</i>	Murray et al., 1996; ªRyan et al., 2007
BCG-IFN-γ	IFN-y	m	CI, Th1 & Th2 cyt prod, Anti-BCG	Murray et al., 1996; Wangoo et al., 2000
rBCG/IL-2	IL-2	m	CI, Th1 cyt prod, Anti- BCG	Slobbe et al., 1999; <sup>a,b</sup> Young et al., 2002
rBCG-IL-2/GFP	IL-2	m	CI, Th1 cyt prod, Anti- BCG	Luo et al., 2000
rBCG(α-Ag-IL-2)	IL-2	m	Th1 cyt prod, Cytotoxicity	Yamada et al., 2000
BCG-IFN-γ	IFN-γ	m	Th1 cyt prod, Anti-BCG	Moreira et al., 2000
rBCG-IFN- α	IFN-a 2B	h	Th1 cyt prod, Cytotoxicity	Luo et al., 2001; Chen et al., 2007; Liu et al., 2009
rBCG/IL-18	IL-18	m	no clear effect	<sup>b</sup> Young et al., 2002
BCG IL-18	IL-18	m	Th1 & Th2 cyt prod	Biet et al., 2002; Biet et al., 2005
BCG-hIL2MUC1	IL-2	h	CI, Th1 cyt prod, Antitumor	He et al., 2002; Chung et al., 2003
rBCG-IFN- γ	IFN-γ	m	CI, Th1 cyt prod, Antitumor	Arnold et al., 2004
rBCG-IL-18	IL-18	m	Th1 cyt prod, Anti-BCG, Cytotoxicity	Luo et al., 2004; Luo et al., 2006
rBCG-huIL-2-ESAT6	IL-2	h	CI, Th1 cyt prod, Cytotoxicity, HI	Fan et al., 2006
rBCG-IL-2	IL-2	h	Th1 cyt prod	Chen et al., 2007
ВСС мср-3	MCP-3	m	CI, Anti-BCG	<sup>b</sup> Ryan et al., 2007
rBCG-AEI	IFN-γ	m	CI, HI, Anti- <i>M.tb</i>	Xu et al., 2007
rBCG-Ag85B-IL15	IL-15	m	CI, Th1 cyt prod, Anti- <i>M.tb</i>	Tang et al., 2008
rBCG-MVNTR4-CSF	GM-CSF	h	CI, Th1 cyt prod, Antitumor	<sup>a</sup> Yuan et al., 2009; Yuan et al., 2010
rBCG-MVNTR8-CSF	GM-CSF	h	CI, Th1 cyt prod, Antitumor	<sup>a</sup> Yuan et al., 2009; Yuan et al., 2010
rBCG-Ag85B-Esat6-TNF- α	TNF-α	m	CI, HI	Shen et al., 2010

Table 1. Cytokine- and chemokine-expressing rBCG strains (Anti-BCG: anti-BCG infection; Anti-*M.tb*: anti-*Mycobacterium tuberculosis* infection; CI: cellular immunity; DC act: dendritic cell activation; h: human; HI: humoral immunity; m: mouse; r: rat; Th1 cyt prod: T helper type 1 cytokine production; Th2 cyt prod: T helper type 2 cytokine production)

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72

#### 3. Application of Th1 cytokine-secreting rBCG

#### 3.1 Anti-tuberculosis studies

Tuberculosis (TB), an airborne transmitted disease caused by *M.tb*, remains a leading cause of mortality and morbidity worldwide. Currently, BCG is the only available vaccine for prophylaxis against TB. Evidence indicates that BCG vaccine is effective for childhood disseminated TB but shows variable efficacy in adult pulmonary TB, which accounts for most TB worldwide. Additional challenges to TB control include the recent emergences of multidrug-resistant TB (MDR-TB) and extensively drug-resistant TB (XDR-TB) as well as TB co-infection with HIV. Therefore, a new and more effective vaccine against TB is urgently needed. Studies have demonstrated that cellular immunity rather than humoral immunity is critical for TB protection (Flynn & Chan, 2001; Mittrücker et al., 2007). CD4<sup>+</sup> T cells of Th1 type, which is a major source of IFN- $\gamma$ , are crucial for protection against TB. In addition, CD8<sup>+</sup> T cells also play an indispensable role in control of TB, particularly as the infection progresses. With the advances in mycobacterial genome research, many strategies have become available for improving BCG's safety, immunogenecity and vaccine efficacy. Of them, genetic manipulation of BCG has gained a great momentum. To date, BCG has been engineered to express *M.tb* antigens, such as the early secreted antigen target-6 kDa (ESAT-6), Ag85B and culture filtrate protein (CFP), to evoke anti-TB specific immune responses (Horwitz et al., 2000; Pym et al., 2003). In addition, rBCG strains secreting cytokines (e.g. IL-2, IL-15, IL-18, GM-CSF and IFN-γ) or chemokines (e.g. MCP-3), alone or in combination with *M.tb* antigens, have also been developed (Table 1). These cytokine- and chemokinesecreting rBCG strains can induce a more rapid and more effective mobilization of T cells as well as other immune cell types at the site of bacterial multiplication and thus may reduce the risk of developing active TB.

Several studies including ours demostrated that mice infected intravenously with rBCG strains secreting mouse IL-2 exhibited significantly reduced bacterial growth in vivo compared to control mice infected with non-cytokine secreting BCG strains (Luo et al., 2000; <sup>b</sup>Young et al., 2002). This anti-mycobacterial infection was correlated with the induction of enhanced Th1 immune responses in the former mice. Mice vaccinated subcutaneously with a mouse IL-2 secreting rBCG strain also showed significantly increased clearance of BCG delivered intranasally compared to control mice vaccinated with wild-type BCG (bYoung et al., 2002). The same rBCG strain also induced a Th1 biased immune response in deer; however, it failed to induce enhanced protection against BCG infection in this animal species (Slobbe et al., 1999). Interestingly, human IL-2 secreting rBCG strains are also capable of inducing protective immunity against BCG infection in mice, as mice infected with the rBCG strains exhibited increased bacterial clearance in vivo (Kong & Kunimoto, 1995; Fan et al., 2006). In addition, mice infected with a rBCG strain coexpressing human IL-2 and M.tb antigen ESAT-6 developed enhanced antigen-specific Th1 immune responses including CTL activity against ESAT-6 expressing target cells (Fan et al., 2006). The same rBCG-infected mice also developed increased ESAT-6 specific antibody titer. Similar to IL-2 secreting rBCG strains, mouse IFN-y secreting rBCG strains showed their abilities to induce enhanced protective immunity in rBCG-infected mice compared to non-cytokine secreting BCG (Wangoo et al., 2000; Moreira et al., 2000). Studies also demonstrated that infection of IFN- $\gamma$  gene disrupted mice (IFN- $\gamma$ - $\gamma$ -) via aerosol with a mouse IFN- $\gamma$  secreting rBCG strain could result in reduced bacterial load and better differentiated granulomas as well as reduced levels of IL-10 mRNA (Moreira et al., 2000). However, the same rBCG-infected IFN-

 $\gamma$ -/-mice failed to develop effective protection against subsequent aerosol challenge with *M.tb*. Similarly, a rBCG strain secreting mouse MCP-3 also offorded effective protection against BCG infection in both immunocompetent and recombinase-activating gene-1 deficient (RAG-1-/-) mice but failed to provide protection against subsequent aerosol challenge with *M.tb* (bRyan et al., 2007). There is a discrepancy with regard to the effect of mouse IL-18 secreting rBCG starins on the induction of protective immunity against BCG infection. Although studies including ours have demonstrated that mouse IL-18 secreting rBCG strains could induce enhanced Th1 immune responses (Biet et al., 2002, 2005; Luo et al., 2004, 2006), their ability to induce protection against BCG infection was not consistent among the studies (Biet et al., 2002, 2005; <sup>b</sup>Young et al., 2002; Luo et al., 2004).

Studies have demonstrated that certain cytokine-secreting rBCG strains can provide enhanced protection against dissemination of *M.tb* infection in mice including those secreting mouse GM-CSF (aRyan et al., 2007), mouse IFN-y fused to M.tb antigens Ag85B-ESAT-6 (Xu et al., 2007), and mouse IL-15 fused to M.tb antigen Ag85B (Tang et al., 2008). In correlation with enhanced protection against aerosol challenge with *M.tb*, mice vaccinated with the mouse GM-CSF secreting rBCG strain showed increased activation of antigenpresenting cells (e.g. DC) and frequency of anti-mycobacterial IFN-y producing T cells compared to control mice vaccinated with non-cytokine secreting BCG (aRyan et al., 2007). Mice vaccinated with the rBCG strain coexpressing mouse IFN-y and the highly immunogenic antigens Ag85B and ESAT-6 of *M.tb* developed significantly increased both cellular and humoral immune responses as well as enhanced protection against intravenous challenge of *M.tb* compared to control mice vaccinated with rBCG strains expressing Ag85B and/or ESAT-6 alone (Xu et al., 2007). Similarly, mice vaccinated with the rBCG strain coexpressing mouse IL-15 and Ag85B showed increased induction of antigen-specific CD4+ and CD8<sup>+</sup> T cells as well as enhanced protection against intratracheal challenge of M.tb compared to control mice vaccinated with a rBCG strain expressing Ag85B alone (Tang et al., 2008). These cytokine-secreting rBCG strains merit further appraisal as vaccine candidates for the control of TB in humans.

#### 3.2 Anti-tumor studies

BCG is a potent immunoadjuvant and induces a Th1 predominant immune response that is required for effective tumor eradication in most cancer types. Genetic manipulation of BCG to secrete Th1-stimulating cytokines with simultaneous coexpression of tumor-associated antigens may therefore potentiate the induction of specific antitumor immune responses. This strategy has been approached since the emergence of cytokine-secreting rBCG strains in the 1990s. Early studies demonstrated that mouse IL-2 secreting rBCG was at least equally effective to wild-type BCG when used as an intratumoral injection or a vaccine therapy in conjunction with irradiated tumor cells in a mouse melanoma model (Duda et al., 1995). However, it was not until recently that the potential of rBCG for treating cancer has gained further appreciation. We and others have developed rBCG strains that deliver the breast cancer-associated antigen MUC1 in a form of multiple tandem repeats with coexpression of human IL-2 or human GM-CSF (He et al., 2002; Chung et al., 2003; aYuan et al., 2009, 2010). SCID mice reconstituted with human PBL followed by immunization with the rBCG strains developed MUC1-specific cellular immune respnses and enhanced protection against MUC1-positive human breast cancer xenografts compared to control mice reconstituted with human PBL and immunized with non-cytokine secreting BCG. Studies have also

74

demonstrated that the antitumor effects of the rBCG strains were correlated with the number of MUC1 tandem repeats delivered by BCG (<sup>a</sup>Yuan et al., 2009, 2010). These results suggest that these MUC1 rBCG strains coexpressing Th1-stimulating cytokines are promising candidates as breast cancer vaccines and thus deserve further investigation.

#### 3.3 Anti-bladder cancer studies

Intravesical BCG is currently the treatment of choice for NMIBC. As for most other cancer types, the proper induction of a cellular immune response is required for successful BCG immunotherapy of bladder cancer. As described in sections 3.1 and 3.2, Th1 cytokine-secreting rBCG strains can induce enhanced cellular immune responses, leading to effective protection against mycobacterial infection (e.g. *M.tb*) and tumor progression (e.g. breast cancer) in various animal models. Unfortunately, studies on rBCG for treating bladder cancer are currently underdeveloped and, up to date, only a few reports have been available. However, studies have demonstrated that Th1 cytokine-secreting rBCG strains are superior to non-cytokine secreting BCG for the induction of anti-bladder cancer immune responses *in vitro* and *in vivo*.

#### 3.3.1 In vitro studies

It has been known that BCG stimulation of human PBMC leads to the generation of effector cells cytotoxic to bladder cancer cells *in vitro* (Böhle et al., 1993; <sup>a</sup>Brandau et al., 2000). We recently demonstrated that stimulation of human PBMC with rBCG-IFN- $\alpha$ , a rBCG strain secreting human IFN- $\alpha$ 2B (Luo et al., 2001), *in vitro* for 7 days induced enhanced PBMC cytotoxicity toward human bladder cancer cell lines T24, J82, 5637, TCCSUP and UMUC-3 by up to 2-fold compared to control BCG carrying an empty vector (Liu et al., 2009). This induction of enhanced PBMC cytotoxicity was correlated with increased production of IFN- $\gamma$  and IL-2 by rBCG-stimulated PBMC. Studies further revealed that this enhancement in PBMC cytotoxicity was dependent on BCG secreted rIFN- $\alpha$  as well as endogenously expressed IFN- $\gamma$  and IL-2, as blockage of IFN- $\alpha$ , IFN- $\gamma$  or IL-2 by neutralizing antibodies during BCG stimulation reduced or abolished the induction of this enhanced PBMC cytotoxicity. Studies using NK and CD8+ T cells isolated from human PBMC revealed that both cell types were responsible for the enhanced PBMC cytotoxicity induced by rBCG-IFN- $\alpha$  with the former cell type being more predominant.

An early study demonstrated that human peripheral monocytes/macrophages were capable of functioning as tumoricidal cells toward bladder cancer UCRU-BL-17 cells upon activation by BCG *in vitro* (Pryor et al., 1995). It was observed that the cytotoxic activity of human monocytes/macrophages was significantly enhanced after BCG stimulation, while the naïve cells exhibited only minimum cytotoxicity. Later, more studies including ours further demonstrated that mouse macrophages could also function as tumoricidal cells toward bladder cancer cells upon activation by BCG *in vitro* (Yamada et al., 2000; Luo et al., 2004, 2006, 2010). Stimulation of thioglycollate-elicited peritoneal macrophages by BCG for 24 hour resulted in macrophage-mediated killing of bladder cancer MBT-2 (C3H background) and MB49 (C57BL/6 background) cells in a dose-dependent manner (Luo et al., 2006, 2010). Studies also revealed that endogenous Th1 cytokines (e.g. IL-12, IL-18, IFN- $\gamma$  and TNF- $\alpha$ ) played an important role in BCG-induced macrophage cytotoxicity, as blockage of these cytokines during BCG stimulation led to substantially reduced macrophage cytotoxicity toward bladder cancer cells (Luo et al., 2006). In contrast, supplementation of BCG with Th1

cytokines (e.g. rIL-2, rIL-12 or rIL-18) increased macrophage cytotoxicity by approximately 2-fold. Consistent with these observations, rBCG strains secreting mouse IL-2 or mouse IL-18 showed enhanced macrophage-mediated killing on bladder cancer MBT-2 cells, which was correlated with increased expression of IFN- $\gamma$ , TNF- $\alpha$  and IL-6 by rBCG-stimulated macrophages (Luo et al., 2006). The effect of mouse IL-2 secreting rBCG strain on the induction of macrophage cytotoxicity toward bladder cancer MBT-2 cells was also demonstrated by a separate study (Yamada et al., 2000).

#### 3.3.2 In vivo studies

Although the in vitro studies have suggested the potential usefulness of Th1 cytokinesecreting rBCG strains for the treatment of bladder cancer, the effect of rBCG on treating bladder cancer in vivo has not well been studied. Up to date, only an rBCG strain secreting mouse IFN- $\gamma$  (rBCG-IFN- $\gamma$ ) has been studied in a mouse MB49 syngeneic orthotopic tumor model (Arnold et al., 2004). This study showed that, with a low-dose treatment regimen, intravesical administration of rBCG-IFN-γ significantly prolonged animal survival compared to medium-treated controls, whereas BCG carrying an empty vector only slightly increased survival. In a similar experiment using the MB49 syngeneic orthotopic tumor model in IFN-y knockout mice, intravesical treatment with rBCG-IFN-y failed to prolong survival of mice, indicating that rBCG-derived IFN-γ had no measurable antitumor effect in the absence of endogenous IFN-y. Studies also provided the mechanisms underlying the effect of rBCG-IFN-γ on treating bladder cancer. As demonstrated, this rBCG-IFN-γ strain could specifically upregulate the expression of MHC class I molecules on MB49 cells in vitro compared to control BCG, as the MHC class I upregulation could be blocked by an inhibitory antibody to IFN-y. This rBCG strain also enhanced recruitment of CD4+ T cells into the bladder and further induced the local expression of IL-2 and IL-4 mRNA compared to control BCG. In addition, we have also evaluated the effects of rBCG strains secreting mouse IL-2 or mouse IP-10 (a Th1 chemokine) on treating bladder cancer in the MB49 syngeneic orthotopic tumor model and observed survival benefits of these rBCG strains (our unpublished observations). All these observations suggest that rBCG strains secreting Th1 cytokines or chemokines possess improved antitumor properties and may offer new opportunities for the treatment of bladder cancer.

Supporting Th1 cytokine-secreting rBCG, *Mycobacterium smegmatis* (*M. smegmatis*), a closely related non-pathogenic mycobacterial organism, has been engineered to secrete mouse TNF- $\alpha$  (*M. smegmatis*/TNF- $\alpha$ ) and tested in a transplantable MB49 tumor model (Young et al., 2004). Studies demonstrated that lymphocytes from tumor-bearing mice vaccinated with *M. smegmatis*/TNF- $\alpha$  produced elevated and prolonged IFN- $\gamma$  but no IL-10 in response to mycobacterial antigen or tumor lysate stimulation *in vitro*. Histopathology revealed significantly increased infiltrating CD3<sup>+</sup> lymphocytes in the tumor nodules of mice receiving the recombinant vaccine compared to those of mice receiving wild-type bacteria. These observations indicated that *M. smegmatis*/TNF- $\alpha$  induced cell-mediated immunity. Importantly, mice implanted subcutaneously with MB49 tumor and treated at an adjacent site with the recombinant vaccine exhibited significantly reduced tumor growth with a 70% durable tumor-free survival compared to those treated with wild-type bacteria or BCG (a 10-20% long-term survival). Interestingly, treatment with *M. smegmatis*/TNF- $\alpha$  also resulted in similar tumor growth inhibition in NK cell-deficient Beige mice. These observations

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76

indicated that NK cells contribute to the antitumor effect of *M. smegmatis*/TNF- $\alpha$  but are not solely responsible for the eradication of tumor. Like immunocompetent mice, Beige mice also developed tumor specific memory after treatment with *M. smegmatis*/TNF- $\alpha$ . A study also demonstrated enhanced immunotherapeutic potential of a human TNF- $\alpha$  secreting recombinant *M. smegmatis* for treating bladder cancer (Haley et al., 1999). The ability to deliver immunomodulatory cytokines with no pathogenic effects makes *M. smegmatis* attractive as an alternative intravesical mycobacterial agent for bladder cancer treatment.

#### 4. Future perspectives

Numerous rBCG strains secreting Th1 cytokines (e.g. IL-2, IL-18, IFN- $\gamma$  and IFN- $\alpha$ ) have been developed and studied. Most of them have been shown to be capable of enhancing BCG-induced cellular immune responses, leading to effective protection against mycobacterial infection in animal models. Some of them have also been shown to induce enhanced antitumor immunity in animal models including bladder cancer. However, up to date, studies on rBCG for bladder cancer treatment are limited and have not well been developed. Currently, a number of bladder cancer models simulating human NMIBC are available and have been used in anti-bladder cancer studies including BCG immunotherapy. These animal models provide very useful tools for the evaluation of Th1 cytokine-secreting rBCG strains. Since intravesical administration of IFN- $\gamma$  secreting rBCG strain has been demonstrated to prolong survival of animals bearing bladder orthotopic tumor, other Th1 cytokine-secreting rBCG strains are also likely effective on treating bladder cancer and should be evaluated in the animal models. Clinically relevant therapeutic and prophylactic effects of the rBCG strains relative to each other should be determined through analysis of the induction of antitumor responses in both effector and memory phases. The rBCG dosing should be optimized and the treatment schedule refined for each rBCG strain. Application of multiple rBCG strains for treatment should be tested and the toxic effects evaluated. Moreover, development of new rBCG strains will continue. We have been constructing BCG to secrete IL-12 (p35/p40 heterodimer) or mutant IL-10 with an intention to develop more potent rBCG strains for bladder cancer treatment. Furthermore, the mechanisms underlying rBCG actions need to be explored. In addition to classical effector cells, influence of the rBCG strains on Th17 and regulatory T (Treg) cells should be evaluated as the importance of these cell types in bladder cancer has being emerged. All these efforts will afford us a better understanding of Th1 cytokine-secreting rBCG strains and the steps necessary for use of these rBCG strains for treating bladder cancer. The pace of this research must be maintained if we are to improve this gold standard therapy for bladder cancer.

#### 5. Conclusion

Intravesical administration of live BCG for superficial bladder cancer is the most successful immunotherapy for solid malignancy. However, BCG therapy is associated with significant toxicity and is ineffective in approximately 30-40% of cases. During the past 2 decades, the advances in mycobacterial genetics and molecular biology have offered unprecedented opportunities for the development of genetically modified BCG strains that possess improved safety profile, immunogenecity, and protective efficacy. Of them, manipulation of BCG to secrete Th1 cytokines, alone or in combination with coexpression of bacterial or tumor antigens, represents one of the most attractive strategies for the development of

improved vaccines. This type of rBCG strains has shown their potential to induce enhanced cellular immunity, leading to effective protection against mycobacterial infection (e.g. *M.tb*) and tumor progression (e.g. breast cancer) in various animal models. In bladder cancer treatment, BCG is administered intravesically; therefore, rBCG strains secreting Th1 cytokines can augment a localized cellular immune response that is crucial for effective BCG immunotherapy of bladder cancer. Since intravesical BCG in combination with local administration of Th1 cytokines such as rIFN- $\alpha$  has already been used in humans and demonstrated to be beneficial for bladder cancer patients, Th1 cytokine-secreting rBCG strains have been demonstrated to be capable of inducing anti-bladder cancer immune responses both *in vitro* and *in vivo* in animal studies. Because of their enhanced immunogenecity, Th1 cytokine-secreting rBCG strains can be used at a low dose, causing reduced side effects. These rBCG strains merit further appraisal as improved BCG immunotherapeutic agents for the treatment of bladder cancer.

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Th1 Cytokine-Secreting Recombinant Bacillus Calmette-Guérin: Prospective Use in Immunotherapy of Bladder Cancer

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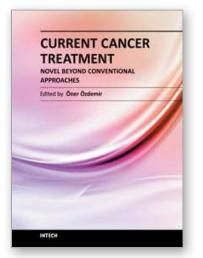
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