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## Cardiac Dyssynchrony as a Pathophysiologic Factor of Functional Mitral Regurgitation: Role of Cardiac Resynchronization Therapy

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#### Abstract

Functional mitral regurgitation, a common problem in patients with left ventricular systolic dysfunction, has a strong negative impact on prognosis. Beneficial effects of surgical treatment in functional mitral regurgitation are still a matter of debate. Thus, cardiac dyssynchrony, a factor involved in functional mitral regurgitation pathophysiology, may become a therapeutic target in patients with this condition. This part of the book presents the pathophysiology of functional mitral regurgitation as a dynamic process, with particular emphasis on cardiac dyssynchrony, as both a contributor to functional mitral regurgitation and a target for cardiac resynchronization therapy. The underlying mechanisms of success and failure in the resynchronization therapy are discussed, along with therapeutic approaches to symptomatic patients with severe left ventricular dysfunction and significant persistent functional mitral regurgitation.

**Keywords:** functional mitral regurgitation, left ventricular remodeling, cardiac dyssynchrony, cardiac resynchronization therapy

## 1. Introduction

Secondary (functional) mitral regurgitation (MR) is a common finding in patients with global left ventricular (LV) dilatation and dysfunction, in both ischemic and non-ischemic cardiomyopathy. This functional disorder of the mitral valve is more common and far more complex than organic MR. In secondary MR, mitral valve is structurally normal (or almost normal) but its geometry and function are disrupted due to an imbalance between closing and tethering

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forces secondary to alterations in the geometry and function of the left ventricle. Functional MR can promote progressive LV remodeling. This results in a vicious circle, with both LV dilatation and functional MR acting as self-perpetuating processes. While prognosis is affected only in severe organic MR, even a mild functional MR may significantly worsen the outcome. However, it is unclear whether the unfavorable impact of functional MR on prognosis is independent of underlying LV dysfunction. We still do not know if mitral valve surgery, effective in the treatment of organic diseases of the mitral valve, can be equally beneficial in functional MR, since the surgical correction of valve dysfunction does not reverse the underlying LV pathology. Thus, indications for mitral valve surgery in heart failure (HF) patients with functional MR are not well defined in any currently available guidelines. Also the role of other treatment modalities, such as medical and interventional therapies, still raises controversies because of their limited effectiveness in functional MR management. This is related to both the underlying heart disease and the complexity of functional MR phenomenon. Also, adequate assessment of functional MR mechanisms and severity by means of imaging studies prior to making any therapeutic decisions constitute a challenge for clinicians. Cardiac dyssynchrony is a mechanism that provides a pathophysiologic basis for potential improvement of functional MR after the use of cardiac resynchronization therapy (CRT).

This part of the book presents the pathomechanism of functional MR with particular emphasis on the influence of cardiac dyssynchrony on mitral valve function, as well as the mechanisms of MR improvement after implantation of a CRT device, and prognostic value of both functional MR and its regression in response to CRT in patients with chronic heart failure.

# 2. Incidence and importance of functional mitral regurgitation in left ventricular dysfunction

Functional MR is a common, but often 'silent,' finding in heart failure patients [1–6]. Reported prevalence of functional MR varies depending on a diagnostic method (angiography, color Doppler echocardiography) and heart failure etiology (ischemic, non-ischemic) [1–5]. The incidence of functional MR after myocardial infarction varies from 20 to 50% but exceeds 50% in patients with non-ischemic dilated cardiomyopathy [4–6]. According to general estimates, nearly a half of heart failure patients may have a functional MR of some degree, and approximately one-third of them may suffer from moderate or severe functional MR [1, 3, 7].

Functional MR is an independent predictor of worse prognosis in patients with either ischemic or non-ischemic etiology of heart failure [3]. In patients with non-ischemic LV dysfunction, functional MR is associated with a two- to three-fold increased risk of heart failure episodes and cardiac mortality [4]. After myocardial infarction the presence of at least moderate functional MR is associated with a 3-fold increased risk of heart failure and a 1.6-fold increased risk of death at the 5-year follow-up [2]. Assessment of mitral valve function is included in routine risk stratification after myocardial infarction.

Functional MR is present in a large proportion of patients eligible for cardiac resynchronization therapy, with the incidence varying slightly from population to population and depending

on the evaluation method. Significant—that is, at least moderate—functional MR is present in about 40% of patients qualified to CRT [8–10]. Nowadays, functional MR no longer disqualifies patients from resynchronization therapy if such treatment is indicated. Furthermore, a decrease in functional MR severity is a determinant of response to CRT [10–12].

# 3. Difficulties in echocardiographic quantification of functional mitral regurgitation

Adequate evaluation of functional MR requires detailed clinical information (including functional NYHA class), physical examination, electrocardiography, and imaging studies. Echocardiography is essential for the evaluation of mitral valve anatomy and quantification of MR severity [13]. Transthoracic and transesophageal echocardiography may provide complementary clinically useful information, especially in the context of surgical or transcatheter repair feasibility. Usually, transesophageal echocardiography is more suitable for the evaluation of underlying anatomical conditions and identification of functional MR mechanism. However, due to changes in LV loading conditions during transesophageal evaluation (vasodilatory effect of sedation, hypovolemia, or anesthesia), the severity of functional MR may be underestimated; this favors transthoracic echocardiography as a method to quantify mitral regurgitation. Moreover, transthoracic examination is more suitable for the evaluation of other important parameters, such as LV volume, function and sphericity, left atrial size, pulmonary artery pressure, and function of the right ventricle and tricuspid valve. Threedimensional (3D) echocardiography (either transesophageal or transthoracic) may provide additional information about MR severity, especially with regard to noncircular orifice geometry in functional MR [14]. 3D echocardiography overcomes some limitations of two-dimensional (2D) imaging; for example, it can be used for direct planimetric measurement of vena contracta area, a parameter which corresponds directly to the effective regurgitant orifice area (EROA) irrespective of the orifice shape or number of jets. However, both 3D and 2D color Doppler flows tend to overestimate the jet area (volume) due to their known bias in correct assessment of a turbulent stream. Considering all the difficulties previously mentioned, it needs to be stressed that no single parameter (also "quantitative") is sufficient to adequately assess the severity of functional MR and thus, this condition should be evaluated with multiple methods [14].

In practical terms, echocardiographic severity of MR can be graded as mild, moderate or severe. Since available evidence suggests that functional MR of lesser severity may have similar or greater impact on mortality than primary MR [2], distinguishing between moderate and severe MR becomes of vital importance [15]. While severe primary MR is defined as EROA  $\geq$  40 mm<sup>2</sup> and regurgitant volume  $\geq$  60 mL, in line with current guidelines, severe secondary MR should be diagnosed whenever EROA  $\geq$  20 mm<sup>2</sup> and regurgitant volume  $\geq$  30 mL [13, 15] (**Table 1**) [16]. However, adequate evaluation of functional MR severity in a clinical setting is far more challenging. In functional MR, both regurgitant orifice and jet area depend strongly on the mechanism of mitral regurgitation. Functional MR severity may be overestimated if determined based on the jet size on color Doppler imaging, or underestimated if

Primary (organic) MR	Secondary (functional) MR
≥0.4 cm <sup>2</sup>	≥0.2 cm <sup>2</sup>
≥60 mL	≥30 mL
≥50%	≥50%
≥0.7 cm	_
Central jet >40% LA or holosystolic eccentric jet	
	≥0.4 cm <sup>2</sup> ≥60 mL ≥50% ≥0.7 cm

Table 1. Quantitative echocardiographic criteria for severe MR in primary and secondary disease of the mitral valve [16].

assessed using other traditional measures of mitral regurgitation such as proximal isovelocity surface area (PISA) and *vena contracta* width [14]. Also the limitations of the volumetric method in the assessment of regurgitant volume and fraction are well-known issues [14]. Furthermore, low inter- and intra-observer agreement between cardiologists reviewing the same dataset was documented [17]. It is now known that due to the limitations inherent to each available method, no single parameter is accurate enough to quantify the degree of MR. Therefore, current guidelines recommend an integrative approach including multiple qualitative and quantitative parameters, along with certain signs and measures of MR severity, such as left ventricular size and function, left atrial size, mitral valve leaflet morphology and motion, mitral filling pattern, pulmonary venous flow pattern and others [13, 16]. If during the first attempt MR is not unequivocally defined as mild or severe, the integrative approach should be used to exclude the severe character of mitral regurgitation.

Functional MR is an evidently dynamic phenomenon. A typical phasic variation in regurgitant volume and orifice, with the maximum values observed in early and late systole and minimum ones in mid-systole (at peak transmitral pressure gradient generated by LV), is documented [18]. This intra-beat variability (referred to as the "loitering pattern") hinders functional MR assessment, which is traditionally carried out in mid-systole. The severity of functional MR may also show a beat-to-beat variability depending on changes in loading conditions and hemodynamic parameters (e.g., during arrhythmia). The dynamic nature of functional MR has particular practical meanings in two situations: during physical exertion and intraoperative assessment. Induction of anesthesia and inotropic agents may significantly reduce MR and thus, may directly affect intraoperative decisions regarding its repair. Owing the dynamic nature of functional MR, in patients whose symptoms at rest are inadequate to assess the severity of mitral regurgitation, more accurate information may be obtained during exercise echocardiography. Exercises contribute to a greater cardiac load and thus, may also trigger dynamic geometric changes in the LV and mitral valve apparatus (even despite the lack of provoked ischemia), which may eventually result in acute "flash pulmonary edema" [19]. This may be a reason behind worse prognosis associated with even a mild functional MR. An exercise-induced increase in EROA by at least 13 mm<sup>2</sup> was shown to correlate with higher morbidity and mortality [20]. Exercise echocardiography may also unmask increasing pulmonary artery pressure and the lack of LV contractile reserve, both being important predictors of the outcome [19, 20]. Finally, exercises may reveal or trigger greater LV dyssynchrony with increased functional MR [21]. Despite some caveats of this approach, current guidelines recommend echocardiographic quantification of secondary MR during exercises, as this may

provide prognostically important information about dynamic characteristics of this condition [13]. Owing to documented limitations of echocardiography in this setting, newer imaging techniques, such as cardiac magnetic resonance and multidetector-row computed tomography, play an increasing role in the evaluation of patients with heart failure and functional MR. Both these techniques provide complementary data, such as true volumetric measures of cardiac chamber size and function, and can be used to assess myocardial viability and scars.

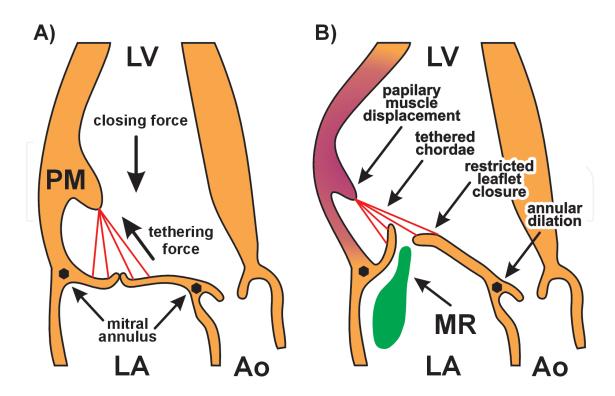
## 4. Pathophysiology of functional mitral regurgitation

The term "functional mitral regurgitation" refers to a dysfunction of the valve without its primary organic damage. Optimal function of the mitral valve provides nonrestrictive blood flow during diastole and leak tightness during systole. This diastolic and systolic competence is possible due to a synchronous coordination of all components of the mitral valve apparatus, acting under a balanced influence of closing and opening forces. Mitral valve apparatus is an integrated unit consisting of mitral valve itself (formed by two leaflets and mitral annulus) and subvalvular components (chordae tendineae, two papillary muscles, left ventricle and posterior left atrial wall). An effective function of the mitral valve is determined not only by the compatible cooperation between its components but also by their appropriate structural and spatial relations.

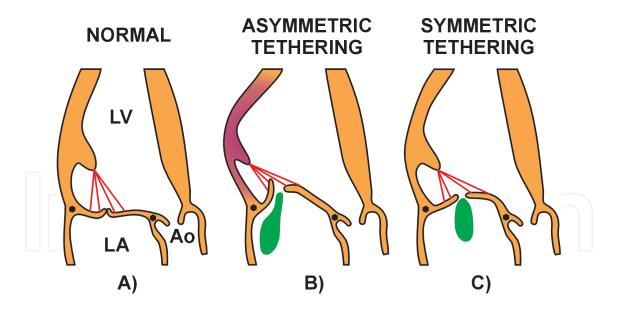
# 4.1. Left ventricular remodeling and dysfunction as a mechanism of functional mitral regurgitation

All changes in LV function and geometry affect functioning of mitral valve through opposing strength vectors: the tethering force (created by displacement of papillary muscles) and the LV-generated leaflet closing force (created by effective contraction causing transmitral pressure gradient) (**Figure 1**) [22]. Global LV dilation results in incomplete mitral leaflet closure and mitral regurgitation, which correlates with LV dysfunction. Local or global dilation of the LV is a prerequisite for incomplete mitral leaflet closure [22]. However, functional MR does not result from LV dilatation per se but from an increase in LV sphericity and resultant posterolateral displacement of the papillary muscles [23, 24]. If functional MR has an ischemic etiology, it does not necessarily need to be preceded by global systolic dysfunction [25]. Regional abnormalities in cardiac wall motion after inferior myocardial infarction may contribute to mitral valve tethering (with systolic tenting of the leaflets), which is strong enough to cause severe mitral regurgitation despite preserved LV ejection fraction (LVEF) [25].

Two main patterns of leaflet tethering can be distinguished in functional mitral regurgitation (**Figure 2**) [26, 27]. The symmetric pattern is characterized by global LV dilation with increased sphericity and predominant apical displacement of both leaflets with central regurgitant jet direction. Also the mitral valve annulus dilates symmetrically, primarily in the septal-lateral direction [26, 27]. This configuration is typical for non-ischemic functional MR (Carpentier type III B symmetric) [27, 28]. The asymmetric pattern is typically resulted from local remodeling of the posterior papillary muscle-bearing wall segment, with posterior tenting of both leaflets and a posteriorly directed asymmetric regurgitant jet (Carpentier type III B asymmetric) [25–28]. While the displacement of posterior papillary muscle is similar regardless of the leaflet tethering pattern, symmetric tethering is characterized by greater posterior and lateral displacement of



**Figure 1.** Mechanism of functional mitral regurgitation. (A) Balance of closing and tethering forces acting on mitral leaflets during systole. (B) Disrupted balance of closing and tethering forces due to local LV remodeling (dark shading). LA: left atrium; LV: left ventricle; PM: papillary muscle; Ao: aorta; ME: mitral regurgitation.



**Figure 2.** Patterns of leaflet tenting in functional mitral regurgitation. (A) Normal coaptation of mitral leaflets. (B) Asymmetric pattern—predominant posterior displacement of both leaflets with prevent restriction of posterior leaflet and large eccentric regurgitant jet. (C) Symmetric pattern—predominant apical displacement of both leaflets with central regurgitant jet.

anterior papillary muscle and longer inter-papillary distance [27]. Both patterns of leaflet tethering can be observed in ischemic MR [25–27]. The occurrence of the symmetric pattern in ischemic MR is associated with more advanced systolic dysfunction, global remodeling and increased LV sphericity [23, 24, 27]. This pattern is typical for non-ischemic dilated cardiomyopathy [26]. While geometric changes of LV are an essential component of functional MR pathomechanism, it is the tethering distance between the tip of posterior papillary muscle and the anterior pole of mitral annulus ("posterior papillary-fibrosa distance"), which constitutes the final common pathway determining the plane of leaflet coaptation [22, 29]. Mitral annular dilation typically occurs at late stage of ischemic MR [30, 31]. Isolated dilation and flattening of mitral valve annulus are occasionally the cause of severe mitral regurgitation, representing type I in Carpentier's classification [28, 30, 31]. Although atrial fibrillation constitutes a quite frequent cause of functional MR, it rarely results in severe valve dysfunction. Isolated enlargement of the left atrium, with concomitant atrial fibrillation or without, leads to dilatation of mitral annulus and reduced leaflet coaptation [32]. Diastolic MR results from a reversal of atrioventricular pressure gradient during diastole. This form of MR occurs in patients with atrioventricular block, cardiomyopathy and aortic regurgitation, as well as in individuals with long filling periods in atrial tachyarrhythmia [31].

# **4.2.** Cardiac dyssynchrony as a pathophysiologic factor of functional mitral regurgitation

Dyssynchrony, defined as an uncoordinated regional myocardial contraction [33, 34], may manifest as (1) "primary electrical dyssynchrony" (i.e., electrical conduction delay which causes non-uniform timing of myocyte depolarization), (2) abnormalities in excitation-contraction coupling (a surrogate for regional electromechanical coupling is the interval between the onset of QRS complex in ECG and the onset of systolic velocity in spectral pulsed-wave tissue Doppler imaging), or (3) "primary mechanical dyssynchrony" (i.e., a regional delay in onset shortening and in time to peak shortening of LV segments) [34]. Primary electrical dyssynchrony is typical for left bundle branch block (LBBB) and primary mechanical dyssynchrony-for regional ischemia or fibrosis [33, 34]. Currently, QRS duration remains the only clinically significant surrogate for the timing of myocardial contraction and the only criterion amenable for CRT [35, 36]. Clinical significance of "clear" primary mechanical dyssynchrony remains ambiguous [37, 38]. CRT does not provide any benefit (and may be even harmful) in heart failure patients with mechanical dyssynchrony without QRS widening (<130 ms) [38]. Various echocardiographic measures of dyssynchrony turned out not to be a superior selection criterion for CRT [39, 40]. Moreover, unacceptable variability, poor reproducibility and limited practical predictive value of the most echocardiographic parameters of dyssynchrony are documented [41]. Thus, although the predictive value of prolonged QRS also varies from study to study [42, 43], qualification to CRT is still based primarily on this parameter [35, 36].

Functional MR correlates strongly with QRS duration. Left bundle branch block and right ventricular pacing (which produce a conduction abnormality similar to LBBB), but not right bundle branch block or left anterior hemi-block, are strongly associated with functional MR [44]. The relationship between right ventricular pacing and mitral regurgitation indicates that the key determinant of functional MR is a conduction abnormality, rather than the underlying disease causing LBBB [44]. Those findings have important implications for biventricular pacing as a treatment option in heart failure patients with functional MR.

LV dyssynchrony is a less important determinant of functional MR than systolic valvular tenting, which is the strongest predictor of EROA [45]. However, the impact of systolic valvular tenting on functional MR in different subsets of patients with LV dysfunction varies. Mitral valve tenting and local LV remodeling (in the papillary muscle-bearing wall segments), but not regional dyssynchrony, are independent predictors of functional MR degree in ischemic LV dysfunction; these local changes result directly from ischemic lesions. In non-ischemic LV dysfunction, regional dyssynchrony exacerbates functional MR independently of LV geometry but as a factor of lesser importance [45].

# 5. Effect of cardiac resynchronization therapy on functional mitral regurgitation

Cardiac resynchronization therapy is an established treatment option for patients with advanced chronic heart failure and prolonged QRS duration [35, 36]. The benefits of CRT are attributed mainly to increased efficiency of LV filling and ejection, resulting from the improvement in atrioventricular coupling, intra- and interventricular synchronization [46–48]. CRT can attenuate heart failure symptoms and improve exercise capacity and survival in patients with heart failure and prolonged QRS duration [49–51]. In line with current ESC guidelines, cardiac resynchronization therapy is recommended (class I recommendation) in symptomatic (despite optimal medical therapy) patients with heart failure in sinus rhythm, with LBBB and QRS duration of at least 130 ms, with LVEF  $\leq$  35%, and in individuals with LV dysfunction (regardless of the NYHA class) who have an indication for ventricular pacing and high degree atrioventricular block [36]. The outcome of cardiac resynchronization therapy is determined by a number of clinical factors, and improvement of functional mitral regurgitation is currently considered as one of the mechanisms underlying the beneficial effect of the treatment.

Echocardiographic studies demonstrate that cardiac resynchronization therapy may correct the pathophysiologic determinants of functional MR. The following mechanisms are considered to mediate the clinical efficacy of CRT.

- **1.** Restoration of the LV and papillary muscle synchronous contraction improves spatial relations and function of the subvalvular apparatus and the mitral valve itself (a decrease in mitral valve tethering force); the effect is specific for this therapeutic method [52, 53].
- **2.** Improved coordination of LV wall contraction and resultant improvement of LV ejection function contribute to an increase in transmitral pressure gradient (greater mitral valve closing force); the effect is specific for this therapeutic method [54, 55].
- **3.** Remote reverse remodeling of the LV with the reduction of LV volume and sphericity induce favorable changes in the geometry of mitral valve apparatus (a decrease in tethering force) and contribute to further improvement in LV systolic function (an increase in mitral valve closing force); the effect is not specific for this therapeutic method and particularly pronounced at the later phase of CRT [53, 55–57].
- **4.** The effect of cardiac resynchronization therapy on mitral valve annular size and function (through the recoordination of contraction in LV basal segments and then through reverse remodeling of the LV) is uncertain [52, 53].

**5.** Optimization of atrioventricular delay with the restoration of proper timing for atrioventricular synchrony/atrioventricular relaxation contributes to generation of an adequate transmitral pressure gradient during the cardiac cycle and eliminates diastolic MR (if present); this effect is only partially specific for this therapeutic method [58].

## 5.1. Biphasic response of functional mitral regurgitation to cardiac resynchronization therapy

The improvement of functional MR after the use of cardiac resynchronization therapy has two phases:

- 1. Immediate, short-term functional MR reduction occurring directly after the implantation of a CRT device. The effect manifests as better-coordinated contraction of the papillary muscle-bearing segment (diminished tethering force) and improvement of LV ejection function (an increase in transmitral pressure gradient—LV dP/dt, which represents the mitral valve closing force) [52–54]. CRT contributes primarily to a decrease in early-systolic MR [59, 60].
- **2.** Late, long-term functional MR reduction occurring weeks to months after the implantation of a CRT device. This phase manifests primarily as reverse remodeling of the LV. A decrease in LV volume and sphericity induces favorable geometric changes in mitral valve apparatus, with the reduction of tethering force. The improvement of LV systolic function is reflected by an increase in closing force [53, 55, 57]. Attenuation of both resting and exercise-induced functional MR usually can be observed in this phase, along with the improvement of cardiopulmonary performance [61].

In fact, the two phases of functional MR improvement may be less distinct. LV reverse remodeling can occur relatively early [10]. Subacute improvement in systolic shape of the LV (lesser sphericity) and subvalvular traction after CRT implementation are also probably related to an increase in LV longitudinal function [56].

Effective CRT reduces the transmitral regurgitant volume in about 40% of patients immediately and in the next 20% of them at a later stage [53]. The sequence of functional MR improvement may depend on a pattern of baseline dyssynchrony. Early and late responders may show a similar extent of LV dyssynchrony; however, the site of latest activation in early responders is mostly inferior or posterior (adjacent to the posterior papillary muscle), whereas in late responders, the latest activation occurs primarily in the lateral wall [53]. Late responders may also show acute improvement in LV end-systolic volume, presumably as an effect of recoordinated and, hence, more effective LV contraction. Acting through the mechanisms described above, CRT can attenuate moderate-to-severe functional mitral regurgitation to a clinically nonsignificant MR in about one-third of heart failure patients. Published data about the association between baseline functional MR and response to CRT are ambiguous [8, 62–64]. The post-CRT improvement of functional MR may be relatively more frequent in patients with greater severity of mitral regurgitation at the baseline. Moreover, an acute or subacute attenuation of functional MR is a predictor of further improvement [8]. Lack of improvement in functional MR in response to CRT is associated with worse prognosis (unfavorable profile of clinical evolution, higher incidence of arrhythmic events and lesser occurrence of LV reverse remodeling [62, 65]). This raises a question about the predictors of functional MR response to CRT.

# 5.2. Predictors of functional mitral regurgitation improvement in response to cardiac resynchronization therapy

Despite appropriate selection of candidates for CRT, not all of them respond to the treatment. The mechanisms responsible for post-CRT improvement in functional MR are complex. Although QRS duration is the main determinant of primary electrical dyssynchrony and a primary criterion considered during selection of patients for CRT, it is not a good predictor of response to the treatment [42, 43]. Aside from dyssynchrony, the post-CRT improvement in functional MR may also depend on other factors, such as myocardial viability, presence of scar/fibrosis and the extent of LV remodeling at the baseline [10, 66, 67].

Many echocardiographic measures of dyssynchrony do not confirm their value as the predictors of CRT outcomes [39-41]. Inter-ventricular mechanical delay (IVMD, the time difference between right ventricular and left ventricular ejection, determined as the time elapsed since the onset of the QRS to the onset of left ventricular vs. right ventricular ejection, usually measured using pulsed Doppler flow) seems to be a simple and reproducible parameter of dyssynchrony, correlating well with the response to CRT, either LV reverse remodeling or functional MR improvement [10, 41, 68, 69]. Also, speckle-tracking radial strain imaging (time difference in peak septal to posterior wall strain) appears as a relatively simple measure of dyssynchrony, having established a predictive value with regard to CRT outcome [67]. There is no unambiguous evidence regarding the site of the latest activation as an independent predictor of the improvement in functional MR [10, 53]. Myocardial viability (in heart failure with ischemic etiology) and contractile reserve (in non-ischemic cardiomyopathy) are important determinants of CRT effectiveness in terms of functional MR improvement [10, 70–73]. The outcome of CRT may be also associated with the location of contractile reserve (particularly in relation to the papillary muscle-bearing segments and to the paced LV region), as well as with the size of the contractile reserve area [10, 66, 72, 73]. The importance of discordant LV lead position and myocardial scar, especially extensive scar burden, as the predictors of CRT outcomes, is documented [74, 75]. The potential role of myocardial fibrosis stimulates research on biochemical predictors of CRT responses (among them on galactin-3, a protein involved in fibrogenesis) [76].

# 5.3. Functional mitral regurgitation improvement and left ventricular reverse remodeling in response to CRT

The change in LV end-diastolic volume after the use of cardiac resynchronization therapy proved to be the most powerful independent predictor of death [77]. CRT effectively reversed LV remodeling, both in patients with moderate-to-severe heart failure (NYHA III/ IV class) and in individuals with mild heart failure (NYHA I/II class) [49, 50]. The response to CRT may be influenced by the presence of functional mitral regurgitation prior to the implantation of a CRT device and by its persistence despite the treatment. Patients, who do not respond to CRT, present with a significant functional MR more often than the responders [78]. On the other hand, an improvement in pre-existing functional MR contributes to LV reverse remodeling during follow-up after the implantation of a CRT device [60]. The incidence of reverse remodeling, defined as an improvement in LVEF and forward stroke volume, is the highest in patients who show a reduction of total functional MR, intermediate in individuals with mild functional MR or lack thereof at the baseline and the lowest in persons who do not show an improvement in total functional MR after 3 months of post-CRT follow-up [60]. Correlation between clinical and echocardiographic indices of post-CTR improvement is rather weak [10, 77]. However, the direction and magnitude of LV reverse remodeling correlate with survival, and a 1-year mortality after CRT implementation is predicted by echocardiographic parameters, rather than by clinical indices [77].

Finally, volumetric limitation for functional MR improvement in response to cardiac resynchronization therapy needs to be emphasized. Not only irreversibly damaged ischemic myocytes respond less to CRT, the post-CRT improvement in functional MR is also less likely in patients who present with greater degree of LV dilatation at the baseline. Lesser baseline LV diameters (end-diastolic and end-systolic) and volumes are the independent predictors of functional MR improvement in response to CRT [10, 67, 79–81]. The cut-off value for LV end-diastolic dimension is close to 75 mm [10, 82] and for LV end-systolic dimension index 29 mm/m<sup>2</sup> [67]. Non-responders present a significantly higher baseline LV dilatation. CRT may be insufficient to overcome poor natural history of systolic heart failure but may slow down its progression. The effectiveness of all currently available therapeutic options is limited, and critical enlargement of the left ventricle may trigger the previously mentioned vicious circle of selfperpetuating LV dilatation and functional mitral regurgitation [10, 83, 84].

# 6. Management of patients with persistent significant functional mitral regurgitation after the use of CRT

Therapeutic targets in patients with functional MR include attenuation of symptoms, lesser number of heart failure hospitalizations, better quality of life and, potentially, survival. At present, the most effective therapies of functional MR are aimed at the underlying LV dysfunction. Therefore, as the first step, optimal medical therapy according to the guidelines for the management of heart failure should be used [36]. As the second step, whenever appropriate, CRT should be implemented in line with the respective guidelines [35, 36]. In patients who remain symptomatic despite optimal medical therapy and CRT (if indicated), mitral valve intervention (surgical or transcatheter repair) should be considered; however, there is no evidence that a reduction of functional MR improves survival [13]. Moreover, the surgery has never clearly been demonstrated to alter the natural history of the primary disease (dilated cardiomyopathy) [85]. Limited empirical data contribute to a lower level of evidence for management recommendations, highlighting the importance of decisions made by the Heart Team. The multidisciplinary Heart Team consisting of imaging experts, heart failure and electrophysiology specialists, interventional cardiologists, and cardiac surgeons should try to reach a consensus on appropriate care. Not only the feasibility of the procedure but also comorbidities, the level of surgical risk, and surgeon experience should be considered [13]. In patients undergoing revascularization, the evaluation and decision to treat (or not to treat) ischemic MR should be made prior to surgery. There is an overall agreement that severe functional MR should be addressed at the time of coronary artery bypass grafting (CABG). The management of moderate functional MR in patients undergoing CABG still raises controversies [86]. The thresholds of functional MR severity are also a matter of debate (as stated earlier). Surgical options in patients with functional MR include mitral valve repair and replacement.

Mitral valve deformation	Coaptation distance $\geq 1$ cm
	Tenting area > 2.5–3 $\text{cm}^2$
	Complex jets originating centrally and posteromedially
	Posterolateral angle >45° (high posterior leaflet tethering)
Local LV remodeling	Interpapillary muscle distance >20 mm
	Posterior papillary-fibrosa distance >40 mm
	Lateral wall motion abnormality
Global LV remodeling	EDD >65 mm, ESD >51 mm (ESV >140 mL) (low likelihood of reverse LV remodeling after repair and poor long-term outcome)
	Systolic sphericity index >0.7

EDD, end-diastolic diameter; ESD, end-systolic diameter; ESV, end-systolic volume; LV, left ventricle.

Table 2. Unfavorable TTE characteristics for mitral valve repair in secondary MR [88].

Mechanical LV-assisted devices and heart transplantation should be considered in the most advanced stage of heart failure.

The controversies regarding an optimal surgical approach should be emphasized [87]. After surgical annuloplasty (undersized complete ring to restore leaflet coaptation), residual or recurrent functional MR is frequently observed (in approximately one-third of the cases) [88]. Valve-sparing mitral valve replacement techniques (leaving the leaflet and subvalvular apparatus intact to preserve the LV function) should be considered in patients with echocardiographic predictors of repair failure (**Table 2**) [88]. The surgery should also be considered in heart failure patients with severe functional MR and LVEF <30% but with an option for CABG and the evidence of myocardial viability. Qualification for surgical treatment of functional MR should be restrained if concomitant revascularization is not indicated [89].

Percutaneous edge-to-edge repair (MitraClip device) for FMR is a low-risk procedure and may be considered in patients during high surgical risk, whenever feasible [90]. The treatment may attenuate symptoms, improve quality of life and promote LV reverse remodeling but is inferior to surgical methods in terms of functional MR reduction. Valve intervention is generally contraindicated in patients with LVEF < 15% [13]. Two investigational extracardiac devices, CorCap (Acorn Cardiovascular) [91] and Coapsys (Myocor, Inc., Maple Grove, Minnesota) [92], which have been used to reshape the LV and thus to reduce the degree of functional MR, remained an interesting experiment. In cases of more advanced LV dysfunction (LVEF  $\leq$  30%) with no option for CABG, the Heart Team should choose between a palliative treatment of functional MR (surgical or transcatheter procedures, ventricular assist devices, heart transplantation) and a conservative therapy, after careful individual appraisal of the patient [13].

#### 7. Conclusion

Irrespective of heart failure etiology, functional mitral regurgitation has a significant unfavorable impact on prognosis. The benefits of surgical treatment in functional mitral regurgitation are unclear and thus, resynchronization therapy remains a valuable option in eligible patients. Indications for such treatment should be considered as early as possible, before the development of a severe left ventricular dilatation, a predictor of failure in resynchronization therapy.

Acronyms and abbreviations		
CABG	coronary artery bypass grafting	
CRT	cardiac resynchronization therapy	
EROA	effective regurgitant orifice area	
HF	heart failure	
LBBB	left bundle branch block	
LV	left ventricle	
LVEF	left ventricular ejection fraction	
MR	mitral regurgitation	
NYHA	New York Heart Association	
PISA	proximal isovelocity surface area	

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