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# Magnetocaloric Effects in Metamagnetic Shape Memory Alloys

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Additional information is available at the end of the chapter

<http://dx.doi.org/10.5772/intechopen.69116>

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## Abstract

Recently, metamagnetic shape memory alloys have attracted much attention as candidates for the rare-earth free magnetic refrigerants. These materials undergo the martensitic transformation (MT) at around room temperature accompanied by a significant entropy change. The application of the magnetic field at the low-temperature martensitic phase realizes the magnetic field-induced martensitic transformation (MFIMT). Through the MFIMT, the materials show an unconventional magnetocaloric effect (MCE), which is called inverse magnetocaloric effect (IMCE). In this chapter, the direct measurement system of MCE in pulsed-high-magnetic fields is introduced. With taking the advantage of the fast field-sweep rate of pulsed field, adiabatic measurements of MCE are carried out at various temperatures. Using this technique, the IMCEs of the metamagnetic shape memory alloys NiCoMnIn and NiCoMnGa are directly measured as adiabatic temperature changes in pulsed fields. From the experimental data of MCE for NiCoMnIn, the entropy of spin system in the austenite phase is estimated through a simple mean-field model. By the combination of MCE, magnetization and specific heat measurements, the electronic, lattice and magnetic contributions to the IMCE are individually evaluated. The result for NiCoMnIn demonstrates that lattice entropy plays the dominant role for IMCE in this material.

**Keywords:** shape memory alloys, Heusler alloys, magnetocaloric effects, specific heat, pulsed magnetic field

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## 1. Introduction

### 1.1. Magnetocaloric effects and magnetic refrigeration

Magnetocaloric effect (MCE) is thermodynamically defined as a temperature change of magnetic material due to the variation of an externally applied magnetic field. This effect is a consequence of the field variation of the entropy of a material. For instance, the application of a magnetic field to a paramagnet in the adiabatic condition reduces the disorder of spins, which lowers the magnetic entropy in the material. Since the total entropy is conserved in an adiabatic condition, the lattice entropy (and the electronic entropy in case of metals) must increase by a comparable amount of the magnetic entropy decrease. Consequently, the temperature of the material increases. In the adiabatic demagnetization process, the magnetic entropy is restored from the lattice and electronic systems, and hence, the temperature of the material decreases. In the MCE measurement, the adiabatic temperature change or the isothermal entropy change as a function of applied field is the experimentally measurable quantity. Since the MCE is sensitive to the entropy change of the material at the magnetic phase transitions, it is useful to map out the magnetic phase diagram and evaluate the temperature and field variations of entropy by the combination with the specific heat measurement. The MCE is also applied to the magnetic refrigeration, which is realized by means of the cycle of adiabatic magnetization/demagnetization and heat exchange between the magnetic material and the surrounding. This technique has long been used to realize extremely low temperatures [1, 2].

In recent decades, the magnetic refrigeration based on the MCE has attracted much attention as an alternative technique to the vapor-compression cycle for the ambient temperatures, which has been triggered by the discovery of the giant MCE (GMCE) at around room temperatures [3–5]. The GMCE is first observed in  $\text{Gd}_5\text{Si}_2\text{Ge}_2$ , which was reported by Pecharsky and Gschneidner [3]. This material undergoes the first-order magnetic and structural phase transition at 276 K with a giant entropy change. The maximum entropy change at the transition temperature in this material is about twice larger than that of pure Gd, which is considered as a benchmark of magnetocaloric material at around room temperature. The GMCE, in this material, appears as a result of the simultaneous changes in the magnetic and lattice entropy through the first-order phase transition (FOT). Hence, the total entropy change can exceed the limit of the magnetic entropy change caused by the spin ordering. For the simultaneous change in the magnetic and lattice entropy, the strong coupling between the spin and the lattice systems is needed. For this reason, the GMCE undergoes in many cases the first-order magneto-structural phase transition [3–12].

### 1.2. MCEs in Ni-Mn-based Heusler alloys

$\text{Ni}_{50}\text{Mn}_{50-x}\text{Z}_x$  ( $\text{Z} = \text{Ga}, \text{In}, \text{Sn}$  and  $\text{Sb}$ ) Heusler alloys have attracted a lot of attention because of the potential applications for novel rare-earth free magnetic refrigerants [13–17]. These alloys have a cubic ( $L2_1$ ) Heusler structure with a space group of  $Fm\bar{3}m$  [15]. Some of the non-stoichiometric compositions undergo martensitic transformation (MT) from a high-temperature austenitic phase (A-phase: cubic) to a low-temperature martensitic phase of reduced symmetry (M-phase: tetragonal, orthorhombic, or monoclinic) at around room temperature ( $\text{Ni}_{50}\text{Mn}_{25}\text{Ga}_{25}$  is the only Ni-Mn-based Heusler alloy that shows the MT in the stoichiometric composition [18]). In these alloys, the MT temperatures ( $T_{\text{MT}}$ ) and the magnetic properties in each phase strongly depend on

the Z species and its compositional ratio  $x$  [15]. The  $T_{MT}$  increases with decreasing  $x$ . In the small  $x$  region, the ferromagnetic phase appears at the Curie temperatures in both the A phase ( $T_C^A$ ) and the M phase ( $T_C^M$ ), respectively [15, 16, 19–21]. In addition, the partial substitution of Ni with Co enhances the exchange coupling between the spins in the alloys, which contributes to increase  $T_C^A$  and decrease  $T_C^M$  and  $T_{MT}$  [14, 22].  $Ni_{45}Co_5Mn_{36.7}In_{13.3}$  undergoes MT accompanied with a magnetic phase transition from a ferromagnetic A phase to a paramagnetic M phase (monoclinic). The application of an external magnetic field to the M phase realizes magnetic-field-induced martensitic transformation (MFIMT). Kainuma et al. [14] reported, for the first time, the magnetic-field-induced shape recovery by MFIMT in this material, which is called metamagnetic shape memory effect. Owing to the large magnetic-field-induced strain and the large output stress through MFIMT,  $Ni_{45}Co_5Mn_{36.7}In_{13.3}$  is considered to be a potential magnetic actuator material [14].

In the  $Ni_{45}Co_5Mn_{36.7}In_{13.3}$ , the MFIMT occurs as it is accompanied by significant increase of entropy, whereas the applied magnetic field aligns the spins parallel to the field direction and reduces the magnetic entropy [14, 22]. This unconventional phenomenon is called the inverse magnetocaloric effect (IMCE). The IMCEs were also observed in other compositions  $Ni_{50}Mn_{50-x}Z_x$  ( $Z = Ga, In, Sn$  and  $Sb$ ) [13–16, 19–23]. The IMCE indicates the significant positive change in entropy through the MFIMT that exceeds the negative contribution from the spin ordering. Therefore, the individual evaluations of the electronic, lattice and magnetic entropy changes are important to elucidate the origin of such positive entropy changes at the MFIMT. In addition, the direct measurement of MCE is also important because it enables us to evaluate the magnetic part of entropy as presented in Section 4. However, numerous studies have attempted to understand the IMCE in these materials through indirect methods such as magnetization and/or specific heat, which can only reveal the total entropy change [13–17, 19–23].

There are several studies investigating adiabatic temperature change of these Heusler alloys under magnetic fields. For instance, Liu et al. [17] carried out the direct measurement of IMCEs up to 1.9 T for  $NiMnInCo$ . In their experiments, the samples undergo the MFIMT from a pure M phase to a mixed phase because the magnetic field of 1.9 T is insufficient to complete the MFIMT. In that case, the quantitative interpretation of the MCE results is difficult. Therefore, the direct MCE measurements in the wide range of temperatures and magnetic fields are crucial to provide a greater understanding of this phenomenon.

## 2. Thermodynamics of MCE

In this section, a brief explanation of thermodynamics of the MCE is provided (refer to Ref. [24] for more details). Let us start with the total entropy of the system  $S(T, H, p)$ . The total differential of  $S(T, H, p)$  can be written as:

$$dS = \left( \frac{\partial S}{\partial T} \right)_{H,p} dT + \left( \frac{\partial S}{\partial H} \right)_{T,p} dH + \left( \frac{\partial S}{\partial p} \right)_{T,H} dp \quad (1)$$

Here,  $T$ ,  $H$  and  $p$  denote temperature, external magnetic field and pressure, respectively. In the adiabatic and isobaric condition ( $dS = 0$  and  $dp = 0$ ), one obtains:

$$dT = -\left(\frac{\partial T}{\partial S}\right)_H \left(\frac{\partial S}{\partial H}\right)_T dH. \quad (2)$$

Since the heat capacity of a system at a constant magnetic field  $C_H(T)$  is defined as  $C_H(T) = T(\partial S / \partial T)_H$ , the isentropic temperature change due to the variation of the magnetic field from  $H_1$  to  $H_2$  can be expressed as:

$$\Delta T_{ad}(H_1 \rightarrow H_2) = -\int_{H_1}^{H_2} \frac{T}{C_H(T)} \left(\frac{\partial S}{\partial H}\right)_T dH. \quad (3)$$

On the other hand, the isothermal-isobaric entropy change is given by the well-known Maxwell relation:

$$\left(\frac{\partial S}{\partial H}\right)_{T,p} = \left(\frac{\partial M}{\partial T}\right)_{H,p} \quad (4)$$

Here,  $M$  is the magnetization of a material. After integration, Eq. (4) gives

$$\Delta S_T(H_1 \rightarrow H_2) = -\int_{H_1}^{H_2} \left(\frac{\partial M(T,H)}{\partial T}\right)_H dH. \quad (5)$$

By combining Eqs. (3) and (4), the  $\Delta T_{ad}$  can be expressed as:

$$\Delta T_{ad}(H_1 \rightarrow H_2) = -\int_{H_1}^{H_2} \frac{T}{C_H(T)} \left(\frac{\partial M}{\partial T}\right)_H dH. \quad (6)$$

Using Eqs. (5) and (6),  $\Delta T_{ad}$  and  $\Delta S_T$  can indirectly be estimated from the magnetization and specific heat measurements. Therefore, many studies employed so far use this method to evaluate the magnetocaloric properties for the several materials [3–16].

When a material undergoes magnetic phase transitions, the MCEs at the phase boundary show different behaviour between first- and second-order phase transitions. In the case of the second-order phase transition, the entropy of a material continuously and reversibly changes, and hence, Eqs. (5) and (6) can safely be used. On the other hand, the entropy discontinuously changes through an FOT, and the heat capacity becomes infinite at the transition temperature. Since the Maxwell relation is relevant only when the entropy is a continuous function of temperature and magnetic field, the direct application of the Eqs. (5) and (6) for the FOT is not allowed. Recently, several works proposed some approaches to apply the Maxwell relations to the FOTs, but it is still in debate [25–31]. Therefore, the direct measurement of MCE ( $\Delta T_{ad}$ ) is crucial to gain a deep insight into the entropic behaviour through the FOTs.

### 3. Direct MCE measurement system in pulsed-high-magnetic field

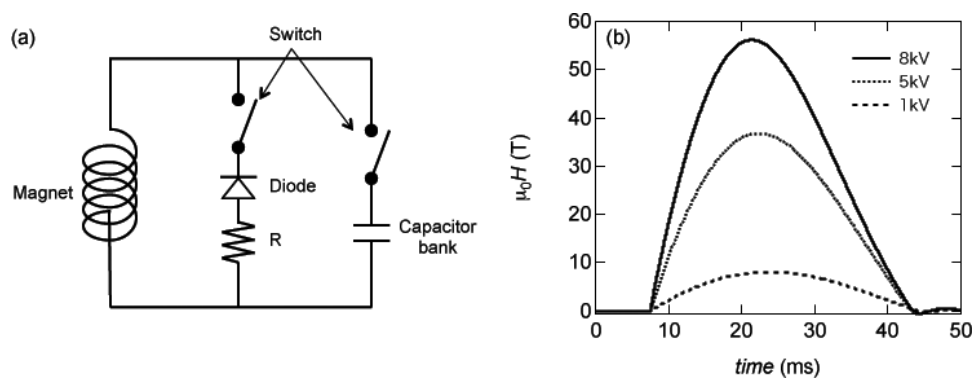
As described in the previous sections, the MCE measurements are of particular interest in both fundamental (investigation of the magnetic phase transitions) and applied physics (magnetic



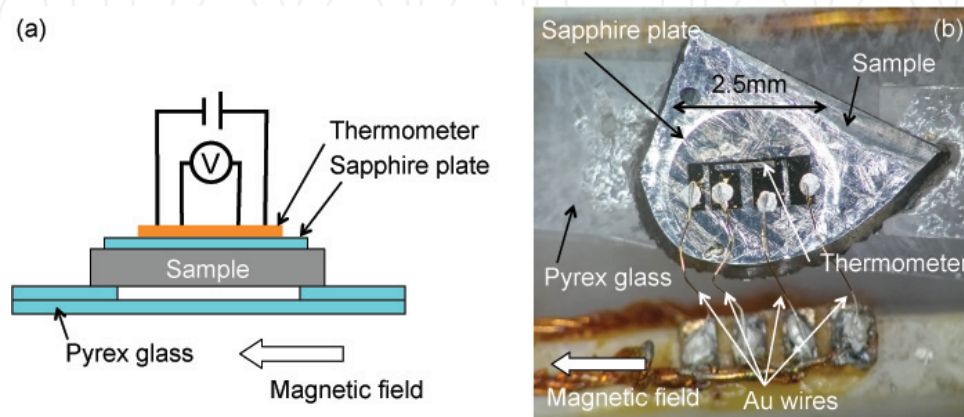
refrigeration). Therefore, a lot of experimental techniques of the MCE measurements have been developed so far [32–37]. Recently, Kihara et al. [36] developed a direct measurement system of MCE under pulsed high-magnetic fields up to 56 T. In this section, the details of their experimental technique are provided.

**Figure 1(a)** shows the schematic view of the electric circuit for the pulsed field generation system. The magnetic fields are generated in the solenoid magnet coil by discharging the energy stored in the capacitor bank [38]. **Figure 1(b)** shows the time variations of the pulsed fields generated in the nondestructive magnet installed at the Institute for Solid State Physics (ISSP), the University of Tokyo. The three curves correspond to the field profiles for charging voltages of 1, 5 and 8 kV to the capacitor bank, respectively. As shown in **Figure 1(b)**, the maximum field depends on the charging voltage to the capacitor bank. The total duration of the pulsed field is about 36 ms. This fast sweep rate of the pulsed field (the maximum sweep rate is about  $10^4$  T/s) has an advantage to realize the adiabatic conditions.

**Figures 2(a)** and **(b)** show the schematic and the picture of the sample setup. The sample is shaped into the thin plate with the thickness of less than 0.1 mm to reduce the eddy current heating caused by the application of the pulsed field (in case of metallic samples). The mag-

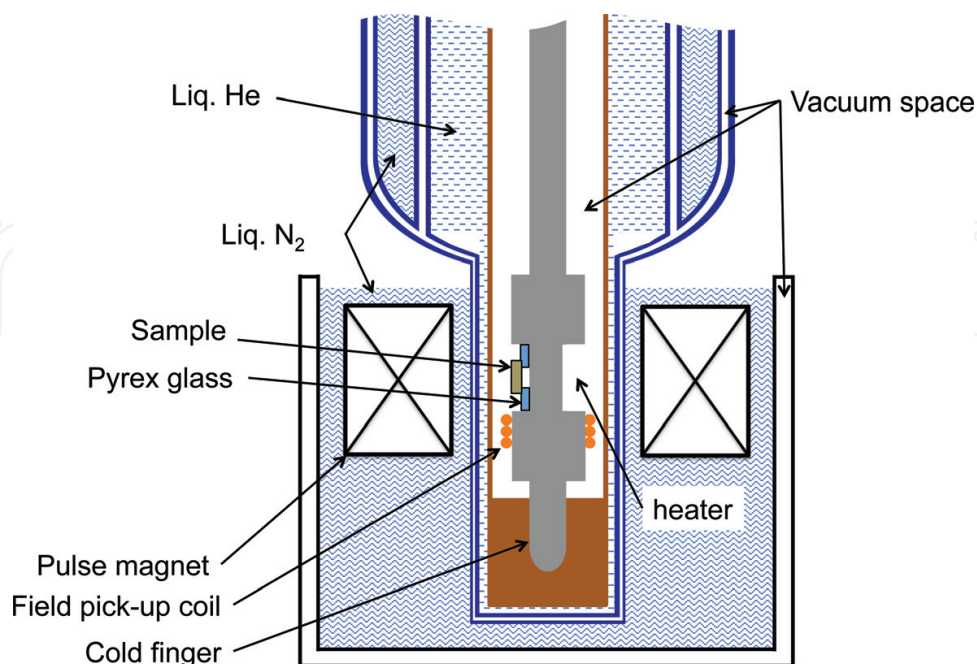


**Figure 1.** (a) A schematic view of the electric circuit of the pulse magnet system and (b) Magnetic field profiles of the 56-T pulse magnet at the Institute for Solid State Physics, The University of Tokyo.



**Figure 2.** (a) A schematic drawing of the sample with the thermometer and (b) A picture of the sample (Ni<sub>41</sub>Co<sub>9</sub>Mn<sub>31.5</sub>Ga<sub>18.5</sub>) mounted on the MCE probe.

netic field is applied parallel to the sample plane to reduce the cross-section of the sample. In the thermometry under pulsed fields, the sample temperature changes rapidly as a function of the magnetic field. Therefore, commercial thermometers such as a Cernox® bare chip cannot be used because of the limitation of their thermal response time [35]. To detect the instantaneous change in the sample temperature in the pulsed field, a very small resistive thermometer is used, in which a patterned Au sensor (thickness: 100 nm) is deposited on a sapphire disk (thickness: 5  $\mu\text{m}$ ). This thermometer is mounted on top of the sample with a small amount of Apiezon® N grease as shown in **Figure 2(b)**. The small heat capacity of this thermometer (typical value is about 200  $\mu\text{J/K}$  at 300 K) and the large thermal conductance of the sapphire disk realize the fast response to the sample temperature and enable us to measure the MCEs in the pulsed fields as demonstrated for the NiCoMnIn in the next section. The sample with the thermometer is fixed on the Pyrex® glass plates, which have low thermal conductance, by the small amount of glue in order to reduce the heat leak to the surrounding. The probe (assembly of the sample, the thermometer, the sample holder, the bath heater, etc.) is inserted in the thin-walled tube made of non-magnetic stainless steel as shown in **Figure 3**. The sample space (inside of the tube) is evacuated to reduce the heat exchange between the sample and the surrounding through the residual gas. The tube is immersed in liquid helium for low temperature measurements. For high temperature measurements, small amount of helium gas is introduced into the space indicated by “Liq. He” in **Figure 3** as a heat exchange gas. During the measurement, the probe is cooled through the cold finger that is connected to the tube at the bottom of the probe. The sample temperature is regulated by the feedback operation of the bath heater (**Figure 3**). As shown in **Figure 2(b)**, the four Au wires (diameter: 30  $\mu\text{m}$ ) are connected to the thermometer by the small amount of Ag paste. The resistance of the thermometer is measured by the ac method using numerical lock-in technique [35, 36].

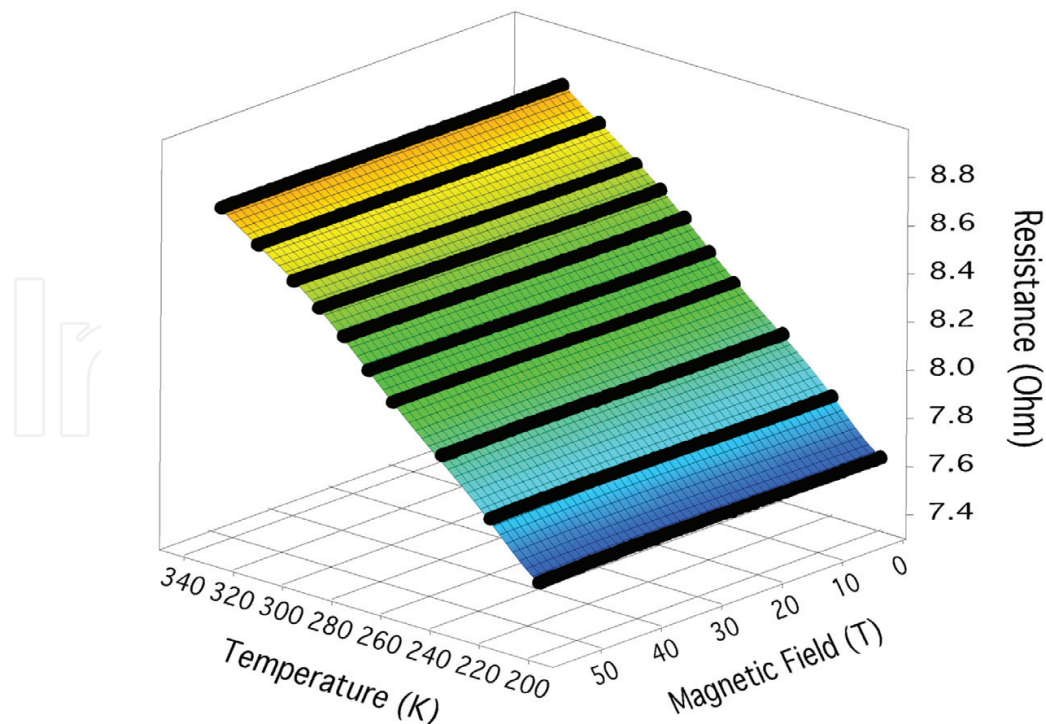


**Figure 3.** A schematic drawing of the probe setup.

To calibrate the Au thermometer grown on the sapphire disk, the longitudinal magnetoresistances (MRs) are measured in the pulsed fields before the MCE measurements. To carry out the isothermal measurements, the sample is removed, and the sapphire disk with the thermometer is placed directly on the Pyrex® glass substrate. Moreover, 1 atm of helium gas is introduced into the sample space at room temperature. **Figure 4** shows the MRs of the thermometer measured up to 56 T at the various temperatures (black curves). At 0 T, one can confirm the linear temperature dependence of the resistance of the metallic Au film. The slope is  $dR / dT = 8.97 \text{ m}\Omega/\text{K}$ . In the temperature region between 200 and 330 K, the Au film shows the very small MR as shown in **Figure 4**, and therefore, the linear temperature dependence of resistance can be seen in the whole field range up to 56 T. To convert the resistance to temperature, the MRs are fitted by the polynomial function of the temperature ( $T$ ) and the magnetic field ( $H$ ):

$$R(T, H) = a_0 + a_1T + a_2H + a_3T^2 + a_4TH + a_5H^2 + a_n, \quad (7)$$

where  $a_n$  for  $n = 0, 1, 2, \dots$  is the polynomial coefficient. The result of the fitting by this polynomial function for  $n = 0, 1, 2, \dots, 35$  is in good agreement with the data as shown by the rainbow surface in **Figure 4**. The deviation of the fitting function from the data points is within 4 m $\Omega$  over the entire range of temperatures and magnetic fields, which corresponds to the error of the temperature of 0.45 K. Hence, this Au film thermometer enables the accurate MCE measurements in the pulsed fields up to 56 T. The validity of this technique was demonstrated in the wide range of temperature through the measurements on Gd at around room temperature and on  $\text{Gd}_3\text{Ga}_5\text{O}_{12}$  at low temperatures [36].



**Figure 4.** Magnetoresistance of the Au film thermometer deposited on a sapphire disk measured in the pulsed field up to 56 T at the various temperatures (black curves). The rainbow surface is the fitting to the polynomial function discussed in the text.



## 4. Magnetocaloric properties of metamagnetic shape memory alloys

### 4.1. Experimental procedures

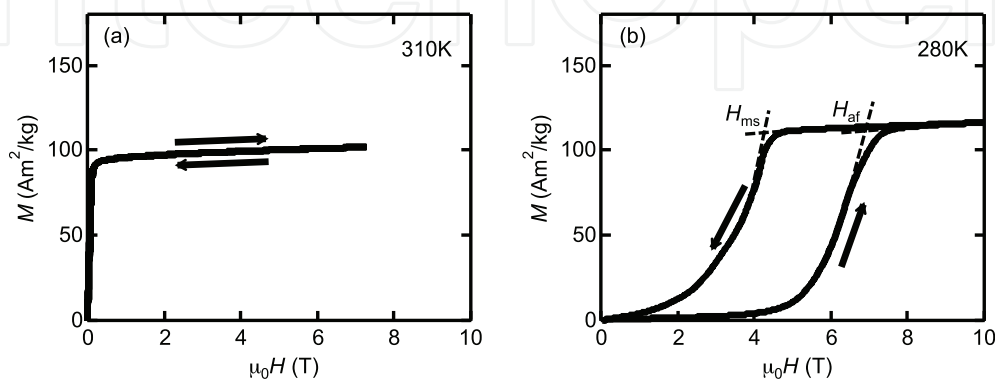
In this chapter, polycrystalline samples of  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{50-x}\text{In}_x$  ( $x = 13.3$  and  $13.5$ ) and  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$  were used for the MCE, magnetization and specific heat measurements. The samples of  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{50-x}\text{In}_x$  ( $x = 13.3$  and  $13.5$ ) were prepared by the induction melting method. The ingots were annealed at  $900^\circ\text{C}$  for 24 h under argon atmosphere. The samples of  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$  were prepared by the arc melting method. The ingots vacuum encapsulated in a quartz tube were annealed at  $800^\circ\text{C}$  for 72 h and then quenched in cold water.

Magnetization and MCE measurements were performed in pulsed-high-magnetic fields. The pulsed fields are generated by the 56-T magnet at the ISSP. The heat capacity measurements in steady fields were carried out using a thermal-relaxation method in a physical property measurement system, QuantumDesign (PPMS).

### 4.2. NiCoMnIn

#### 4.2.1. Magnetization measurements

As described in Section 1.2, the metamagnetic shape memory alloy  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  undergoes the MT at around room temperature. **Figures 5(a) and (b)** show the isothermal magnetizations of the  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  measured at 310 and 280 K, respectively. The sample is in the ferromagnetic A phase at 310 K, where the M-H curve shows the ferromagnetic behaviour as shown in **Figure 5(a)**. On the other hand, as shown in **Figure 5(b)**, the magnetization increases steeply at the MFIMT, when the magnetic field is applied to the paramagnetic M phase. Here, the transition fields are defined as  $H_{af}$  for the field increasing process and  $H_{ms}$  for the field decreasing process in **Figure 5(b)**, respectively. The M-H curves measured at the various temperatures are shown in **Figure 6(a)**. One may note that the application of the pulsed-high-magnetic field up to 25 T can complete the MFIMTs in the entire temperature range. From the M-H curve at 4.2 K, the saturation magnetization moment ( $M_s$ ) in the ferromagnetic A phase is estimated as  $M_s = 1.68 \mu_B/\text{f.u.}$  Here,  $\mu_B$  is the Bohr magneton. A formula unit (f.u.) is defined as  $\text{Ni}_{0.45}\text{Co}_{0.05}\text{Mn}_{0.367}\text{In}_{0.133}$ . The magnetic phase diagram of  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  determined from



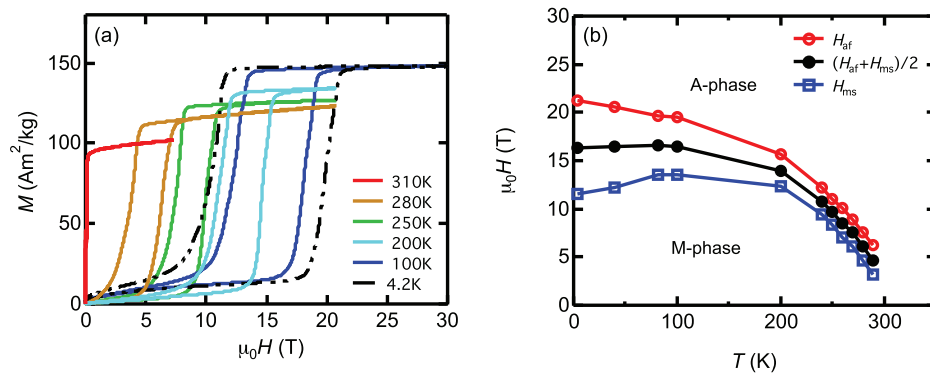
**Figure 5.**  $M - H$  curves measured in the pulsed magnetic fields at (a) 310 and (b) 280 K for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  [39].

the  $M - H$  curves is shown in **Figure 6(b)**. The  $M - H$  curves and the magnetic phase diagram for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.5}\text{In}_{13.5}$  are also provided in **Figures 7(a)** and **(b)**. Hereafter, we denote In13.3 for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  and In13.5 for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.5}\text{In}_{13.5}$ , respectively. The saturated magnetization in the ferromagnetic A phase for In13.5 is also estimated as  $M_s = 1.76 \mu_B/\text{f.u.}$  As shown in **Figures 6(b)** and **7(b)** and described in Section 1.2, the magnetic phase diagram of  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{50-x}\text{In}_x$  is sensitive to the compositional ratio  $x$ . However, the saturated magnetizations of the two compositions are similar.

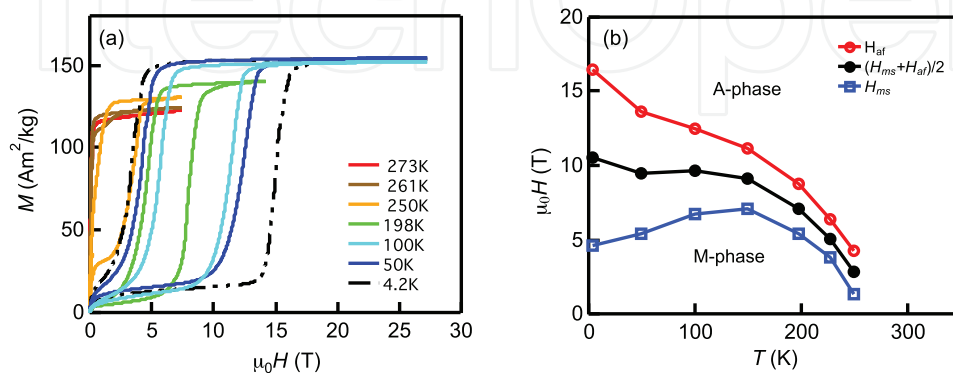
The total entropy change through MFIMT ( $\Delta S_{\text{tot}}$ ) can be estimated from the well-known Clausius-Clapeyron equation:

$$\mu_0 \frac{dH_0}{dT} = - \frac{\Delta S_{\text{tot}}}{\Delta M}. \quad (8)$$

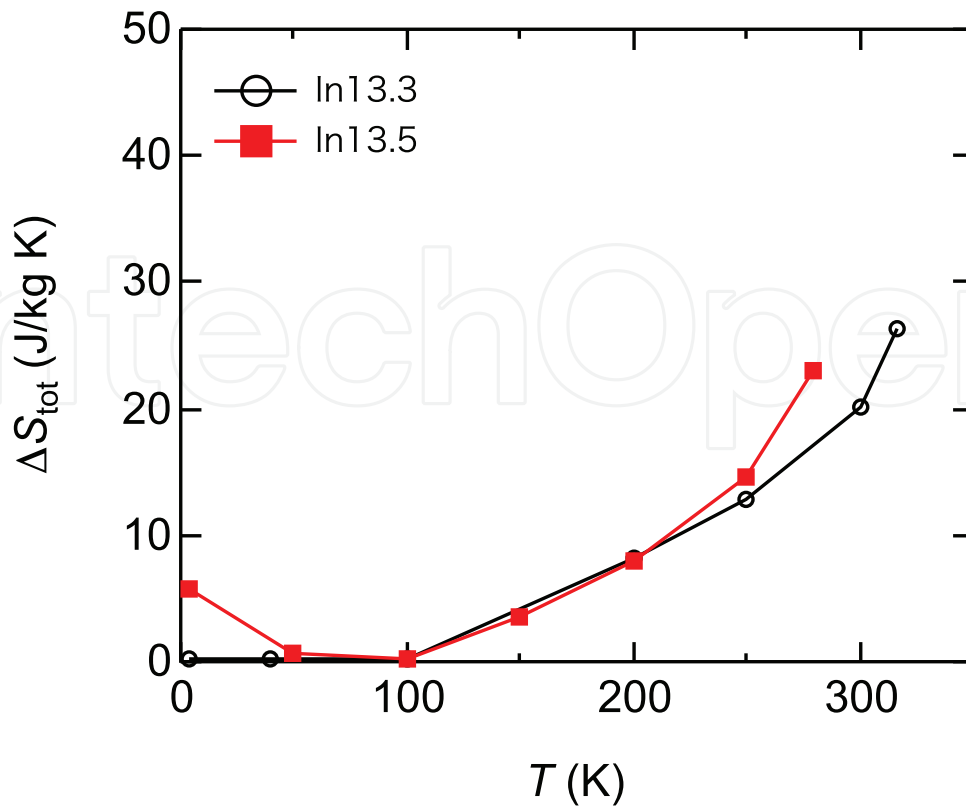
Here,  $\mu_0 = 4 \pi \times 10^{-7} \text{ H/m}$  is the space permeability. The  $\Delta M$  is the difference of magnetization between the A and M phase. The  $H_0 = (H_{\text{ms}} + H_{\text{af}}) / 2$  is used for the transition field. The results are shown in **Figure 8**, where the  $\Delta S_{\text{tot}}$  is defined as the entropy change from the M to the A phase. The  $\Delta S_{\text{tot}}$  at the MT temperature at the zero field is estimated to be 26 J/kg K for In13.3 and 23 J/kg K for In13.5. These values are in good agreement with that obtained from the



**Figure 6.** (a)  $M - H$  curves measured in the pulsed magnetic fields at the various temperatures and (b) the magnetic phase diagram for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  (In13.3) [39].



**Figure 7.** (a)  $M - H$  curves measured in the pulsed magnetic fields at the various temperatures and (b) the magnetic phase diagram for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.5}\text{In}_{13.5}$  (In13.5) [39].

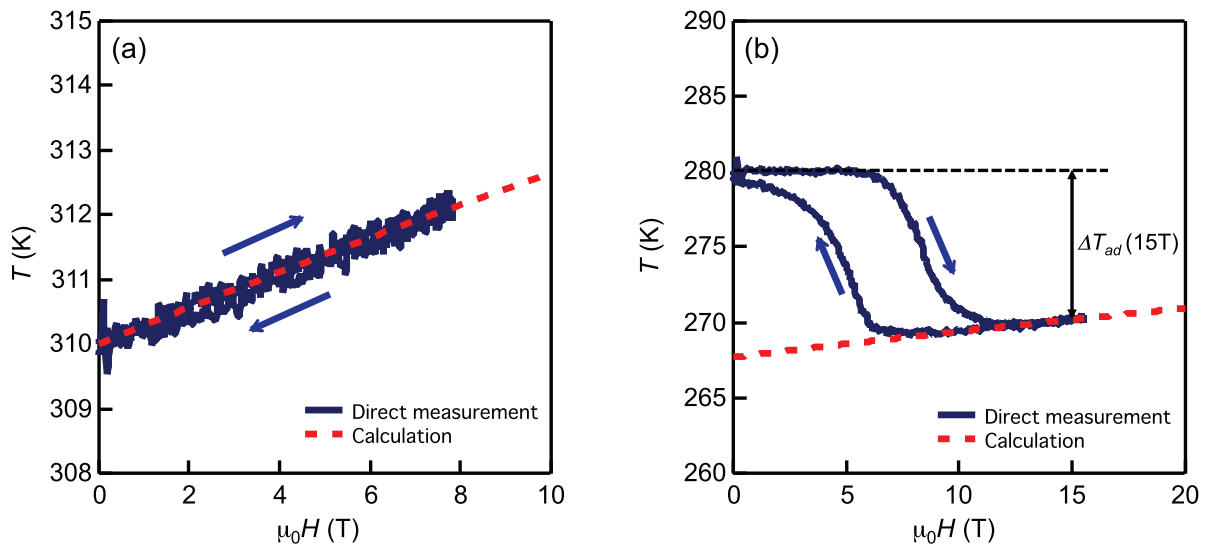


**Figure 8.** Temperature dependencies of the entropy change at the MFIMT estimated from the magnetic phase diagrams for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.7}\text{In}_{13.3}$  (In13.3) and  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{36.5}\text{In}_{13.5}$  (In13.5) [39].

specific heat measurements [39]. The  $\Delta S_{\text{tot}}$  decreases with decreasing temperature in both In13.3 and In13.5 and reaches zero at around 100 K. This indicates that the entropies of A and M phase coincide with each other below 100 K. In other words, the driving force of the MT decreases and reaches to zero below 100 K, which increases the hysteresis of the  $M - H$  curves at low temperatures. The MCEs can be calculated by the  $M - H$  curves using Eq. (5), which are compared with the results of direct measurements in the next section.

#### 4.2.2. MCE measurements in the pulsed magnetic fields

The direct MCE measurement technique described in Section 3 was first applied to the In13.3 [39]. **Figures 9(a) and (b)** show the adiabatic changes of the sample temperature of In13.3 measured at 310 and 280 K. The typical mass of the sample for the MCE measurements is about 50 mg, which is more than 100 times larger than that of the thermometer. Therefore, we can neglect the heat capacity of the thermometer in the measurements. Since the sample is in the ferromagnetic A phase at 310 K, the conventional MCE (monotonic heating/cooling with increasing/decreasing magnetic field) due to the forced spin alignment by the applied field is observed. It is important to note that the reversible temperature change demonstrates the experimental validity: negligibly small heat exchange between the sample and the surroundings and fast response of the thermometer [36]. The result at 280 K is the MCE when the magnetic field is applied to the M phase [**Figure 9(b)**]. The steep cooling (IMCE) occurs at the MFIMT in the field-increasing process. In the successive field-decreasing process, the sample temperature heats up at MFIMT and approximately reaches to the initial temperature at the zero field as



**Figure 9.** Magnetic field variations of the sample temperature in the adiabatic condition for In13.3. (a) The magnetic field applied to the ferromagnetic A phase and (b) the magnetic field applied to the paramagnetic M phase [39].

expected for the adiabatic measurements. The difference between the initial and final temperatures is less than 0.5 K, which indicates that the heating caused by the hysteresis loss is negligibly smaller than the MCE. In addition, a gentle slope is observed in the field-induced A phase, which is due to the change in the entropy of the ferromagnetic spins. This conventional MCE can be calculated by using the following mean-field model:

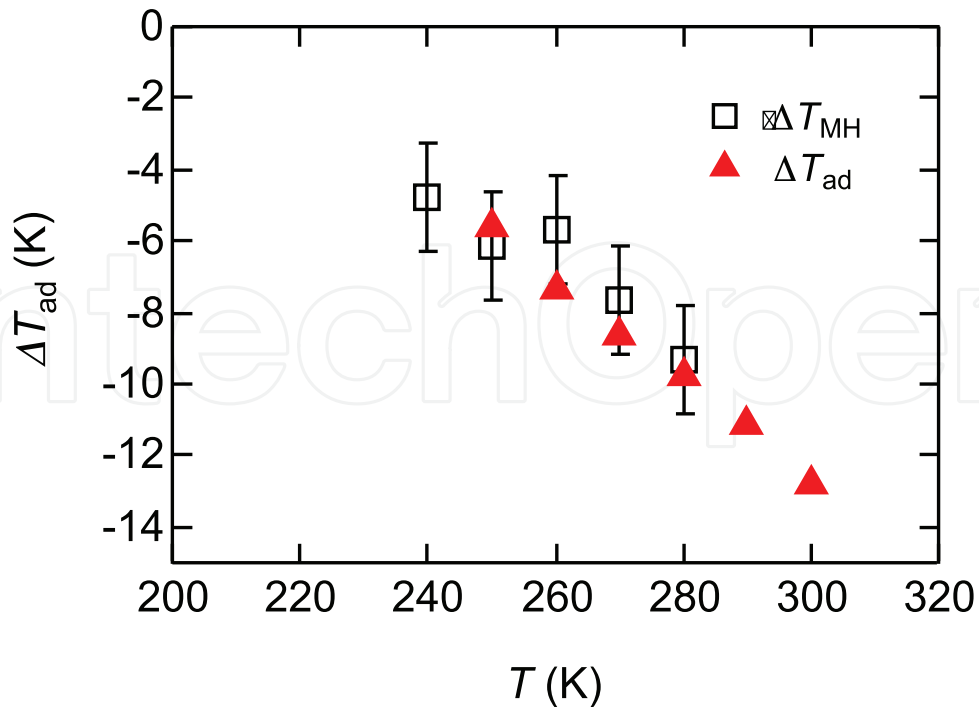
$$M(T, H) = Ng\mu_B JB_J \left( \frac{g\mu_B J \mu_0 (H - \lambda M)}{k_B T} \right). \quad (9)$$

Here,  $N = 6.02 \times 10^{23} \text{ mol}^{-1}$  is Avogadro's number,  $g$  is the  $g$  factor,  $J$  is the spin quantum number,  $B_J(x)$  is the Brillouin function, and  $k_B = 1.38 \times 10^{-23} \text{ J/K}$  is Boltzmann constant. The coefficient  $\lambda$  represents the magnetic interaction, which is defined as  $\lambda = 3k_B T_\Theta / Ng^2 \mu_B^2 J(J+1)$  by the Weiss temperature  $T_\Theta$ . Using the experimentally obtained values  $g^J = 1.68$  and  $T_\Theta = T_C = 387 \text{ K}$ , the magnetization of A phase can be calculated as a function of the temperature and magnetic field. Hence, the MCEs of A phase are calculated by this  $M(T, H)$  curve through Eq. (6). The results are plotted as the red-dashed lines in **Figures 9(a)** and **(b)** and are in reasonable agreement with the experimental data. On the other hand, the MCE in the paramagnetic M phase is very small and therefore, cannot be calculated by this model.

**Figure 10** shows the temperature dependence of  $\Delta T_{ad}(15\text{T})$ , which is indicated in **Figure 9(b)**, the difference of the sample temperatures between 15 and 0 T as a function of the initial temperature. The  $\Delta T_{ad}(15\text{T})$  decreases linearly with increasing temperature and is in good agreement with the indirectly estimated values from the magnetization as shown in **Figure 10**.

#### 4.2.3. Specific heat measurements

From the magnetization and MCE measurements, the total entropy change at MFIMT and the magnetic entropy in the field-induced A phase is estimated. In this section, the specific heat



**Figure 10.** The temperature dependence of  $\Delta T_{ad}(15T)$  (red triangles), which is defined in Figure 9(b). The open squares are the MCEs calculated from isothermal magnetization curves using Eq. (5) [39].

measurements are performed to evaluate the electronic and lattice contributions to the total entropy. As shown in **Figures 6(b)** and **7(b)**, the samples remain in the A phase at the low temperatures when they are cooled down in the magnetic field above  $H_{ms}$ . Therefore, the application of high magnetic fields enables us to measure the specific heat in both A and M phase for a sample. **Figure 11** shows the results of specific heat measurements for In13.5 at low temperatures, which are plotted as  $C/T$  versus  $T^2$ . The data of A phase (open circles) are measured after the field cooling at 12 T from room temperature. The data in both phases can be fitted by the function:

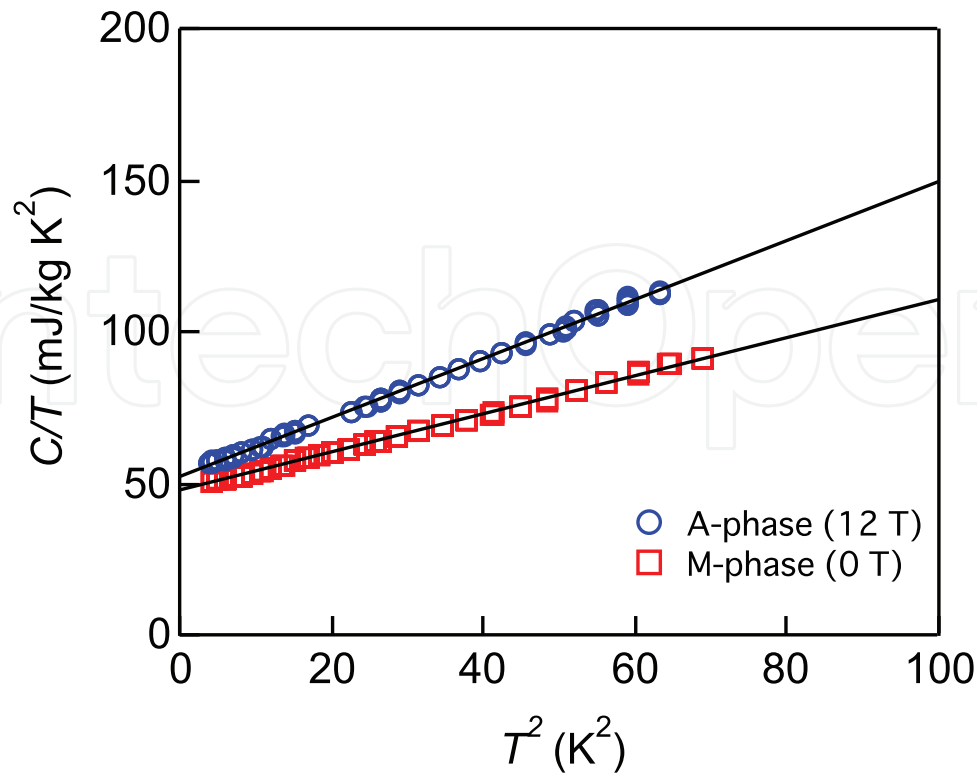
$$C = \gamma T + \beta T^3, \quad (10)$$

where the first term represents the electronic contribution and the second term corresponds to the phonon contribution. The electronic contribution to the specific heat is characterized by Sommerfeld coefficient,  $\gamma$ , which is proportional to the density of states at Fermi level. The phonon contribution with the coefficient  $\beta$  provides us with the Debye temperature  $\Theta_D$ . The values  $[\gamma, \Theta_D]$  are estimated to be  $[\gamma^A = 52 \text{ mJ/kg K}^2 (3.4 \text{ mJ/mol K}^2), \Theta_D^A = 314 \text{ K}]$  for A phase and  $[\gamma^M = 48 \text{ mJ/kg K}^2 (3.1 \text{ mJ/mol K}^2), \Theta_D^M = 361 \text{ K}]$  for M phase, respectively. The electronic contribution to the total entropy change  $\Delta S_{ele}$  is estimated as:

$$\Delta S_{ele}(T) = (\gamma^A - \gamma^M)T. \quad (11)$$

At 300 K,  $\Delta S_{ele}$  becomes 1.2 J/kg K. This value corresponds to about 5% of the total entropy change at MT. Thus, the electronic contribution to the IMCE does not play dominant role.





**Figure 11.** Specific heat of In13.5 plotted as  $C/T$  versus  $T^2$  [39].

The specific heat of lattice system can be calculated by assuming the Debye model:

$$C_V = 9Nk_B \left( \frac{T}{\Theta_D} \right)^3 \int_0^{\Theta_D/T} \frac{x^4 e^x}{(e^x - 1)^2} dx. \quad (12)$$

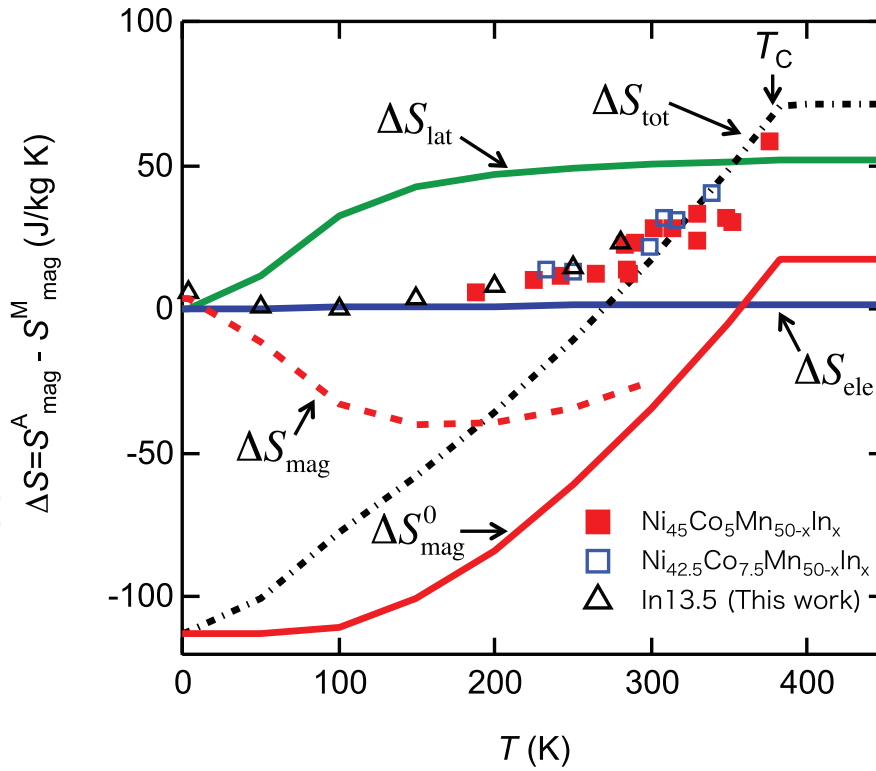
Therefore, the lattice contribution to the total entropy change  $\Delta S_{\text{lat}}(T)$  due to the difference of the Debye temperature between A and M phase can be calculated. Here, the  $C_V$  in Eq. (10) is the specific heat under the constant volume, while the measurements are carried out in the isobaric condition. Hence, one has to consider the difference of the specific heat between at the constant pressure and at the constant volume conditions, which can be calculated as:

$$C_p - C_V = 9\alpha^2 BVT, \quad (13)$$

where  $\alpha$  is bulk thermal expansion coefficient,  $B$  is bulk elastic modulus, and  $V$  is molar volume. Using the values  $\alpha = 6.67 \times 10^{-6} \text{ K}^{-1}$  for  $\text{Ni}_{45}\text{Co}_5\text{Mn}_{37}\text{In}_{13}$  [40] and  $B = 140 \text{ GPa}$  for  $\text{Ni}_{50}\text{Mn}_{25}\text{In}_{25}$  [41], one obtains  $C_p - C_V = 2.3 \text{ J/kg K}$  at 300 K, which is smaller than the 0.6% of the experimentally obtained  $C_p$  at 300 K [39]. Therefore, the calculated data  $C_{\text{lat}}$  can be compared with the  $C_p$  for the entire temperature range. The  $\Delta S_{\text{lat}}$  is calculated as 51 J/kg K at 300 K, which is about twice larger than  $\Delta S_{\text{tot}}$  value obtained from the phase diagram [Figure 7(b)]. This indicates that the lattice contribution plays the central role in the IMCE.

#### 4.2.4. Estimations of the electronic, lattice and magnetic entropy changes

Using Eqs. (11) and (12),  $\Delta S_{\text{ele}}$  and  $\Delta S_{\text{lat}}$  can be calculated for the entire temperature range, which are plotted as the blue- and green-solid curves in **Figure 12**. The magnetic entropy change  $\Delta S_{\text{mag}}$  is calculated by subtracting  $\Delta S_{\text{ele}}$  and  $\Delta S_{\text{lat}}$  from the total entropy change ( $\Delta S_{\text{mag}} = \Delta S_{\text{tot}} - \Delta S_{\text{ele}} - \Delta S_{\text{lat}}$ ). The  $T_{\text{MT}}$  dependence of  $\Delta S_{\text{mag}}$  estimated from the experimental data of  $\Delta S_{\text{tot}}$  below 300 K is plotted as the red-dashed curve in **Figure 12**. The  $\Delta S_{\text{mag}}$  is estimated as  $\Delta S_{\text{mag}} = -29 \text{ J/kg K}$  ( $1.9 \text{ J/mol K}$ ) at 300 K. As mentioned in Section 4.2.2, the magnetic entropy in A phase ( $S_{\text{mag}}^{\text{A}}$ ) can be calculated by using the mean-field model. Using the values  $T_{\text{C}} = 383 \text{ K}$  and  $J = 0.88$  for In13.5, the  $S_{\text{mag}}^{\text{A}}$  is calculated as  $79 \text{ J/kg K}$  ( $5.1 \text{ J/mol K}$ ) at 300 K. Therefore, the magnetic entropy of the M phase can be estimated as  $S_{\text{mag}}^{\text{M}} = 108 \text{ J/kg K}$  ( $7.0 \text{ J/mol K}$ ). If one assumes a random arrangement of spins in the paramagnetic M phase, the magnetic moment of the M phase  $gJ_{\text{M}} = 1.32$  is obtained from the relation:  $S_{\text{mag}}^{\text{M}} = Nk_{\text{B}} \ln(2J_{\text{M}} + 1)$ . This magnetic moment is smaller than that of the A phase by 25%. This result indicates that the magnetic contribution to the total entropy change is composed of not only the negative change due to the spin ordering but also the positive change due to the change in the magnetic moment.



**Figure 12.** Calculated entropy changes through the MT as functions of the transition temperature ( $\Delta S_{\text{ele}}$ ,  $\Delta S_{\text{lat}}$ ,  $\Delta S_{\text{mag}}$ , and  $\Delta S_{\text{tot}}$  versus  $T_{\text{MT}}$ ) [39]. The solid and open squares are the experimentally obtained  $\Delta S_{\text{tot}}$  [22, 42]. The open triangles are the  $\Delta S_{\text{tot}}$  estimated by the  $M - H$  curves shown in Figure 7(a).

By assuming the constant value of  $S_{\text{mag}}^{\text{M}} = 108 \text{ J/kg K}$ , the temperature dependence of the magnetic entropy change can be calculated as  $\Delta S_{\text{mag}}^0(T) = S_{\text{mag}}^{\text{A}}(T) - S_{\text{mag}}^{\text{M}}$ . The result is plotted as a red-solid curve in **Figure 12**. The calculated  $\Delta S_{\text{tot}}$  is also plotted as the black-dashed curve in **Figure 12**, which coincides with the experimentally obtained data for  $\text{Ni}_{50-y}\text{Co}_y\text{Mn}_{50-x}\text{In}_x$  for the various  $x$  and  $y$  values above 300 K. Above  $T_{\text{C}}$ , the  $\Delta S_{\text{tot}}$  shows the small temperature dependence. In this temperature region, both A and M phase are paramagnetic, where the contribution of the spin ordering can be neglected. Hence, the  $\Delta S_{\text{tot}}$  was determined by the difference of the lattice entropy and the difference of the magnetic moment. Below  $T_{\text{C}}$ , the A phase is ferromagnetic, and therefore, the contribution of the spin alignment increases with decreasing  $T_{\text{MT}}$ . This effect dominates the  $T_{\text{MT}}$  dependence of the  $\Delta S_{\text{tot}}$ . This behaviour of the  $\Delta S_{\text{tot}}$  in the vicinity of the  $T_{\text{C}}$  can be confirmed in the  $x$  dependencies of the  $\text{Ni}_{50-y}\text{Co}_y\text{Mn}_{50-x}\text{In}_x$  [22, 43].

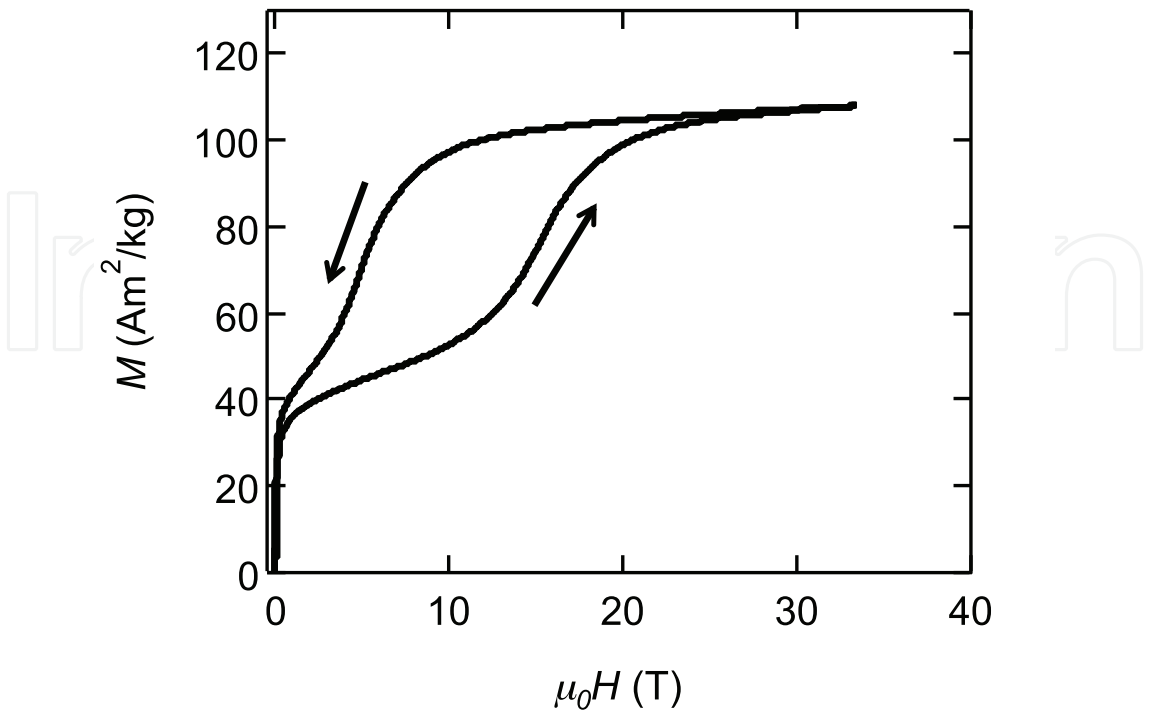
Below 300 K, the calculated  $\Delta S_{\text{tot}}$  no longer reproduces the experimental results. This discrepancy can be attributed to the improper assumption of the constant  $S_{\text{mag}}^{\text{M}}$ . To obtain the deeper insight into the magnetic contribution to the IMCE at lower temperatures, the detailed information about the magnetic structure of M phase is necessary.

### 4.3. NiCoMnGa

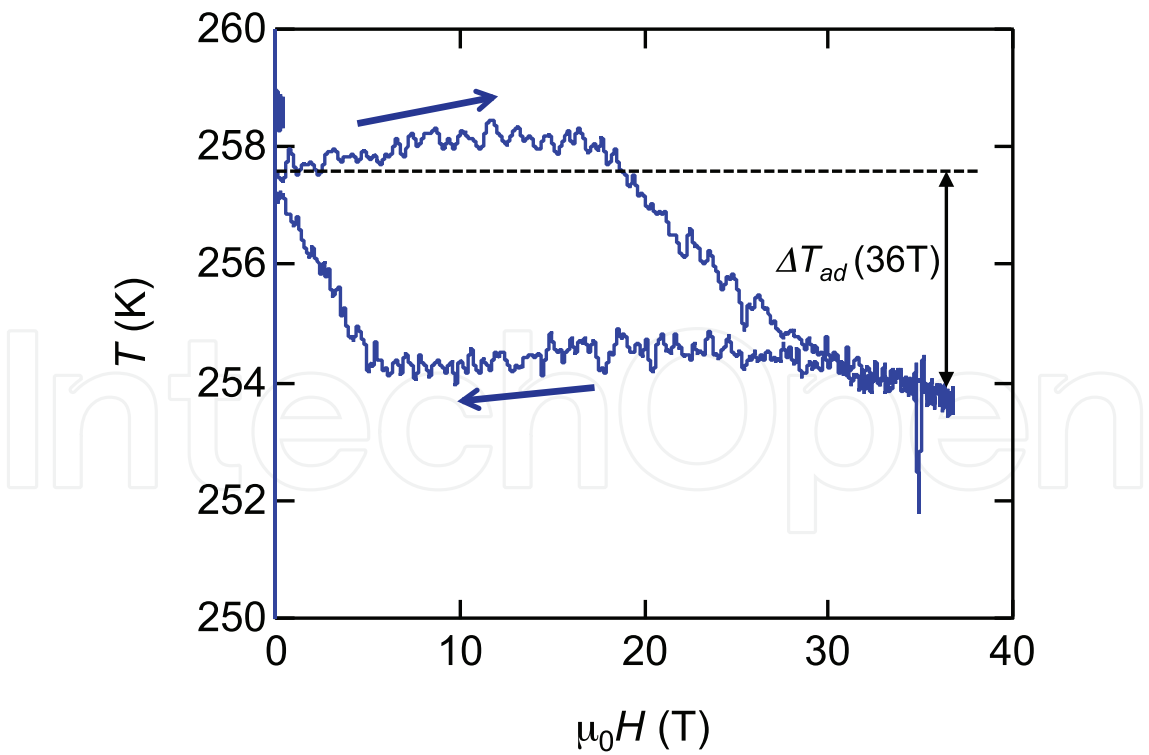
In the NiCoMnIn, the negative entropy change due to the spin ordering through the MFIMT suppresses the  $\Delta S_{\text{tot}}$  and dominates the  $T_{\text{MT}}$  dependence of the  $\Delta S_{\text{tot}}$ , as shown in **Figure 12**. Therefore, the large IMCE due to the lattice entropy change and due to the change of magnetic moment is expected to occur when a material undergoes MT from the ferromagnetic/paramagnetic M phase to the ferromagnetic/paramagnetic A phase.

Recently, Kihara et al. carried out the magnetization and MCE measurements for  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$ . **Figure 13** shows the isothermal  $M - H$  curve measured in the pulsed magnetic field at 260 K. At this temperature, the sample is in ferromagnetic M phase at zero-field. As shown in **Figure 13**, the sample undergoes the MFIMT from the ferromagnetic M phase to the ferromagnetic A phase. In this case, it can be predicted that the negative entropy change due to the spin ordering is smaller than that when the magnetic field is applied to the paramagnetic M phase. Hence, the large and  $T_{\text{MT}}$  independent IMCE is expected to be observed.

**Figure 14** shows the result of the direct MCE measurement at 257.5 K. The sample temperature increases with increasing magnetic field below 16 T in both field increasing (the M phase) and decreasing (the field induced A phase) processes. This temperature increase can be considered as the conventional MCEs due to the forced spin alignment by the magnetic field. In the field increasing process, the sample temperature steeply decreases above 16 T, which can be considered as the IMCE through the MFIMT. However, this temperature decrease is observed up to 36 T, where the MFIMT seems to be completed above 30 T according to the magnetization measurement (**Figure 13**). In the successive field decreasing process, the sample temperature increases reversibly and starts decreasing at around 25 T, where there is no anomaly in the  $M - H$



**Figure 13.**  $M - H$  curve measured in the pulsed magnetic field at 260 K for  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$ .



**Figure 14.** Magnetic field variation of the sample temperature in the adiabatic condition for  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$  measured at the initial temperature of 257.5 K.

curve. The temperature difference between 0 and 36 T is  $\Delta T_{ad}(36\text{T}) = -3.9\text{ K}$ , which is indicated in **Figure 14**. Contrary to the expectation, the large IMCE is not observed. The origin of this unexpected MCE in the  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$  is still unclear.

## 5. Conclusion

In this chapter, the direct measurement system of magnetocaloric effect (MCE) under pulsed-high-magnetic field is introduced. Using this technique, the inverse magnetocaloric effects (IMCE) of  $\text{NiCoMnIn}$  and  $\text{NiCoMnGa}$  were measured as adiabatic temperature changes in pulsed fields. The electronic, lattice and magnetic contributions to the total entropy change through the magnetic field induced martensitic transformation (MFIMT) are individually evaluated by the combination of the results of MCE, specific heat and magnetization measurements. Through the analysis for  $\text{NiCoMnIn}$ , the validity of the present experiments for the metamagnetic shape memory alloys is demonstrated.

In conclusion, the origins of the IMCE of  $\text{NiCoMnIn}$  are as follows. The electronic contribution to the IMCE is negligibly small. On the other hand, the significant change in entropy of the lattice system plays a dominant role in the IMCE. The magnetic contribution involves the two different contributions competing with each other: the increase of magnetic moment (positive entropy change) and the spin ordering (negative entropy change). Moreover, the magnetic contribution determines the composition dependence of the total entropy change ( $\Delta S_{\text{tot}}$ ) at the martensitic transformation (MT), when the MT temperature ( $T_{\text{MT}}$ ) and Curie temperature in the austenite phase ( $T_{\text{C}}$ ) are close to each other. However, the model presented in this chapter cannot reproduce the composition dependence of the  $\Delta S_{\text{tot}}$  when the  $T_{\text{MT}}$  and  $T_{\text{C}}$  are far away from each other. To clarify the origin of the IMCE for the entire temperature range, the detailed experiments about the magnetic structure of the martensitic phase are important.

The magnetization and MCE measurements are carried out at 260 K for  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$ . In the MCE measurement, a complex field dependence of the sample temperature is observed. To clarify the origin of the MCE in the  $\text{Ni}_{41}\text{Co}_9\text{Mn}_{31.5}\text{Ga}_{18.5}$ , the MCE measurements in the wide range of temperatures and the instantaneous observation of the structural change through the MFIMT are highly desirable.

## Acknowledgements

The authors thank Dr A. Miyake for helping with the magnetization measurements under the pulsed magnetic fields at the Institute for Solid State Physics, the University of Tokyo. This chapter was partly supported by the Ministry of Education, Culture, Sports, Science and Technology, Japan, through Grant-in-Aid for Scientific Researches (Grant Nos. 23340096 and 16K17734).



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