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# Development, Optimization and Absorption Mechanism of DHP107, Oral Paclitaxel Formulation for Single-Agent Anticancer Therapy

In-Hyun Lee, Jung Wan Hong, Yura Jang,  
Yeong Taek Park and Hesson Chung  
*Korea Institute of Science and Technology  
and Daehwa Pharmaceutical  
Korea*

## 1. Introduction

Paclitaxel, administered as intravenous infusion currently, is an effective anticancer drug belonging to the taxane family (Figure 1) and used in the treatment of a wide variety of cancers including breast and ovarian cancers (Rowinsky et al., 1995). Researchers have been looking for more effective and convenient ways to administer paclitaxel with less formulation-related toxicities than the commercially available formulations like Taxol® by Bristol-Meyers Squibb. It is well known that some of the limitations of the current formulation come from Cremophor EL, a polyoxyethylated castor oil. This particular component is known to cause hypersensitivity (Weiss et al., 1990), to be responsible for nonlinear pharmacokinetic behavior (Kearns et al., 1995; Gianni, 1995) and to cause paclitaxel precipitation oftentimes when diluted during the infusion process (Pfeifer, 1993). Formulations for paclitaxel and its analogs have been prepared in many different ways for various administration procedures (Kim et al., 2006; Xie et al., 2007; Sugahara et al., 2007; Singla et al., 2002; Hennenfent & Govindan, 2006). Several years ago, Abraxane®, a suspension of albumin nanoparticles containing paclitaxel, obtained approval to treat metastatic breast cancer patients (Ibrahim et al., 2002; Garber, 2004). Abraxane®, a solvent-

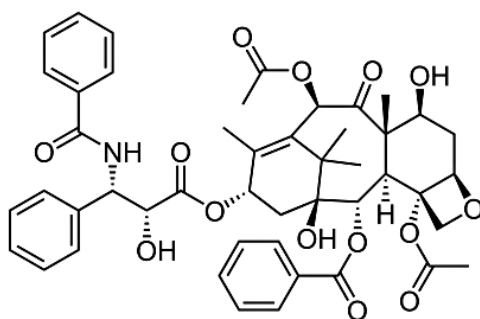


Fig. 1. Structure of paclitaxel

Formulation	AUC (µg h/ml)	Cmax (µg/ml)	Dose (mg/kg)	Rodent species	Ref
Without inhibitors					
Taxol	0.50 (0-8*)	0.14	10	mice	Sparreboom et al., 1997
Taxol	0.37 (0-4)	0.13***	10	mice	Bardelmeijer et al., 2004
Taxol	1.89 (0-∞)	0.11	50	rat	Choi & Li, 2005
Taxol	0.41 (0-6)	0.12	10	mice	Bardelmeijer et al., 2000
Cremophor/ethanol	0.51 (0-24)	0.10	5	mice	Asperen et al., 1998
Supersaturable-SEDDS	0.44 (0-∞)	0.28	10	rat	Gao et al., 2003
SMEDDS	0.81 (0-24)	0.05	2	rat	Yang et al., 2004
SMEDDS	0.97 (0-24)	0.05	10	rat	Yang et al., 2004
microemulsion	0.45 (0-24)	0.05	25	rat	Woo et al., 2003
Suspension in Tween 80	1.65 (0-24)	0.11	40	rat	Choi et al., 2004a, 2004b
Lipid nanocapsule	1.05 (0-∞)	0.37	10	rat	Peltier et al., 2006
With inhibitors					
Cremophor/Ethanol +Cyclosporine A (50**)	6.68 (0-24)	0.73	10	mice	Asperen et al., 1998
Supersaturable-SEDDS +cyclosporine A (30)	1.05 (0-∞)	0.31	10	rat	Gao et al., 2003
SMEDDS+cyclosporine A (40)	1.14 (0-24)	0.16	2	rat	Yang et al., 2004
Taxol+verapamil (15)	4.27 (0-∞)	0.19	50	rat	Choi & Li, 2005
Microemulsion+KR-30031 (20)	3.37 (0-24)	0.22	25	rat	Woo et al., 2003
Surfactant mix + KR30031 (5)	0.39 (0-12)	0.06	5	rat	Kim et al., 2004
Suspension in Tween 80 + quercetin (20)	5.00 (0-24)	0.28	40	rat	Choi et al., 2004b
Taxol+cyclosporine A (50)	3.61 (0-4)	0.82***	10	mice	Bardelmeijer et al., 2004
Taxol+PSC 833 (50)	2.71 (0-4)	1.47***	10	mice	Bardelmeijer et al., 2004
Taxol+GF120918 (25)	1.39 (0-4)	0.55***	10	mice	Bardelmeijer et al., 2004
Taxol+LY335979 (80)	1.41 (0-4)	0.42***	10	mice	Bardelmeijer et al., 2004
Taxol+R101933 (80)	0.93 (0-4)	0.32***	10	mice	Bardelmeijer et al., 2004
Taxol+GF120918 (25)	2.65 (0-10)	0.77	10	mice	Bardelmeijer et al., 2000
Taxol+PSC 833 (50)				mice	Asperen et al., 1997

\* Time-interval used for the calibration of AUC,  
\*\* Dose of the inhibitor in mg/kg, and  
\*\*\* Estimated concentration

Table 1. Mini-review: Selective pharmacokinetic data of paclitaxel after oral administration to rodents.

free formulation has a number of improved features such as shorter infusion time and no hypersensitivity without premedication (Garber, 2004).

Since an oral route would clearly be an attractive alternative to injection for patients as well as for medical personnel, there have been many attempts to prepare effective oral paclitaxel

formulations. Paclitaxel, however, is known to be absorbed poorly in the gastrointestinal tract when administered orally (Sparreboom et al., 1997; Bardelmeijer et al., 2004; Choi & Li, 2005; Bardelmeijer et al., 2000). The reasons for poor absorption were identified to be drug metabolism by cytochrome P450 (CYP) and the existence of the efflux system, such as P-glycoproteins in intestinal epithelial cells and liver (Schellens et al., 2000). Tellingen and co-workers identified that the epithelial efflux system composed of P-glycoproteins lowered the drug absorption by demonstrating that orally administered paclitaxel can be absorbed well in mice with a homozygously disrupted *mdr1a* gene (*mdr1a*<sup>-/-</sup> mice) (Sparreboom et al., 1997). Proof-of-concept experiments in mice and man have also shown that oral absorption of paclitaxel could be increased dramatically by concomitant administration of a P-glycoprotein inhibitor, cyclosporine A (Schellens et al., 2000; Asperen et al., 1998; Meerum Terwogt et al., 1999). Other P-glycoprotein blockers that could also serve as CYP 450 inhibitors also boosted the oral absorption of paclitaxel (Sparreboom et al., 1997; Bardelmeijer et al., 2004; Choi & Li, 2005; Kim et al., 2004; Asperen et al., 1997). Formulations that do not contain Cremophor EL, that could lower the *in vivo* toxicity and increase solubilization of paclitaxel, were shown to deliver paclitaxel efficiently via oral administration especially when P-glycoprotein inhibitors were given simultaneously (Kim et al., 2004; Gao et al., 2003; Yang et al., 2004; Woo et al., 2003; Choi et al., 2004a, 2004b; Peltier et al., 2006).

In Table 1, we reviewed the literature on oral paclitaxel formulations and summarized the pharmacokinetic data of paclitaxel obtained from the small-animal experiments (FVB mice and Sprague-Dawley rats). In the Table, we have listed Area under the plasma concentration vs. time curve (AUC) value, maximum drug concentration in the blood ( $C_{\max}$ ) and oral dose. One has to keep in mind that the data from different papers may not be compared directly since the AUC values in the literature were estimated for different time intervals and drug doses while the pharmacokinetics of paclitaxel is known to be non-linear (Kearns et al., 1995; Gianni, 1995). Different time intervals could not be normalized due to lack of information in the pharmacokinetics data to make such estimations and thus must be viewed with caution. Another important point to note is that Cremophor EL in Taxol<sup>®</sup>, used for intravenous administration in some cases (Sparreboom et al., 1997; Bardelmeijer et al., 2004; Asperen et al., 1998; Gao et al., 2003; Yang et al., 2004; Peltier et al., 2006), causes nonlinear pharmacokinetic behavior (Sparreboom et al., 1996). Also, paclitaxel dissolved in Tween 80, also used for intravenous controls in others (Choi & Li, 2005; Bardelmeijer et al., 2000; Woo et al., 2003; Choi et al., 2004a, 2004b), does show linear pharmacokinetic behavior, but has *ca.* 5~10 times lower AUC values when compared to diluted Taxol<sup>®</sup> (Sparreboom et al., 1996). For these reasons, it was impossible to list the bioavailability values in Table 1.

In the past several years, we have been developing paclitaxel formulations with biocompatible oils, lipids and emulsifiers (Lee et al. Hong et al., 2004; I. H. Lee et al., 2005; S. J. Lee et al., 2005). Paclitaxel dissolved in a stable Lipiodol formulation was shown to retard the growth of hepatocellular carcinoma efficiently when transcatheter arterial chemoembolization was performed in rabbits (Yoon et al., 2003). Also, a paclitaxel formulation prepared with monoolein, tricaprylin and Tween 80 was mucoadhesive when given intravesically (S. J. Lee et al., 2005). Paclitaxel in this formulation penetrated to lamina propria and close to the muscle layer of the bladder while the paclitaxel concentration was low throughout the depth of the bladder tissue when Taxol<sup>®</sup> was used. We also have shown

that this mucoadhesive formulation can deliver paclitaxel effectively when given by oral route without additional active pharmaceutical ingredient as an absorption enhancer (Lee et al., 2004; Hong et al., 2004; I. H. Lee et al., 2005, Shin et al., 2009). As an endeavor to formulate more efficient oral paclitaxel formulations, we have prepared oil-based paclitaxel formulations with monoolein, saturated triglycerides and emulsifiers. Monoolein was included in the formulation as a main ingredient due to its high bioadhesiveness (Nielsen et al., 1998) and its ability to release the encapsulated drug in a controlled fashion (Clogston et al., 2005a, 2005b). Triglycerides were added since they can solubilize paclitaxel efficiently (Kan et al., 1999). To access the effectiveness of the formulations, pharmacokinetic and anti-tumor efficacy studies were performed in mice models.

## 2. Materials and methods

### 2.1 Materials

Distilled monoolein (RYLO™ MG 19, > 90 % pure) was purchased from Danisco Ingredients, Denmark. Paclitaxel was obtained from Samyang Genex (Korea) and Indena S.P.A. (Italy). Cremophor EL, Tween 80 and triglycerides (triacetin, tributyrin, tricaproin, tricaprylin, tricaprin and trilaurin) were purchased from Sigma Chemical Co. (St. Louis, MO).

### 2.2 Preparation of oral paclitaxel formulations

The compositions of the oral paclitaxel formulations used in the experiments are summarized in Tables 2 and 3. Paclitaxel formulations with various triglycerides (Table 2) were prepared as follows: Paclitaxel in amorphous form was dissolved completely at 10 mg/ml in mixtures of oils consisting of monoolein, triglycerides and Tween 80 by sonication for 30 s. Some of the oral paclitaxel formulations were semi-solid or solid wax at ambient temperatures with the melting points of 30 ~ 50 °C, and therefore had to be warmed before oral feeding.

In Table 3, Paclitaxel, amorphous or crystalline, was dissolved completely in excess amount of methylene chloride and mixed subsequently with tricaprylin. Methylene chloride was evaporated completely to prepare paclitaxel/tricaprylin solution by vacuum evaporation (BUCHI rotavapor R-200, Germany) at 40 °C for 1 h. The content of methylene chloride was determined by gas chromatography and was less than 100 ppm in the paclitaxel/tricaprylin solution. Monoolein and Tween 80 were added to the paclitaxel/tricaprylin solution and mixed completely by sonication for 30 s. A formulation that does not contain paclitaxel, eG2, was also prepared for control. The oral paclitaxel formulations were semi-solid wax with the melting temperature of 33 ~ 35 °C, and were warmed to body temperature before feeding.

Dispersions of the oral paclitaxel formulations (G8, G9, and G10) were prepared by adding 2.3 times (by volume) of distilled water or syrup to the formulation G2 (also will be referred to as DHP107) and by vortexing or sonicating for 1 min.

### 2.3 Animals

Male ICR and Balb/c athymic mice, 7 weeks old, were purchased from Orient Bio Co. (Seoul, Korea) and Japan SLC (Japan), respectively, and maintained 1 week. Animal care

and handling followed institutional guidelines (Korea Institute of Science and Technology). Mice were maintained with free access to food and water under a 12-h light/dark cycle.

## 2.4 Differential scanning calorimetry

Differential scanning calorimetry (DSC) was performed to obtain the heating thermograms of paclitaxel and the formulations in Table 2 (DSC 821e, Mettler Toledo, Columbus, OH, equipped with Intracooler, Haake EK90/MT, Haake, Denmark) at a heating scan rate of 5 °C/min. Scans were made with samples contained in hermetically sealed aluminum crucibles (ME-27331, Mettler Toledo). Initial heating scans were reported for paclitaxel and the formulations in Table 2. In case of the formulations, thermal history did not alter the heating thermograms if the samples were cooled to -20 °C before reheating.

## 2.5 Determination of the particle size in the dispersion

The average particle size in the dispersions G8, G9 and G10 in Table 3 was determined by quasielastic laser light scattering with a Malvern Zetasizer® (Malvern Instruments Limited, England). The dispersions were diluted by 300 times in water before the measurement. The size determination was repeated 3 times/sample. The average size and the size distribution were estimated from the log-normal size distribution function as shown previously (Chung et al., 2001)

## 2.6 Oral administration of paclitaxel formulations

Paclitaxel formulations in Tables 2 and 3 were liquefied at 37 ~ 50 °C and administered orally at doses of 25, 50, 75, and 100 mg/kg with a blunt needle via the esophagus into the stomach. The male ICR mice were fasted for 8 h prior to oral administration except for the group G3. Taxol® prepared by dissolving paclitaxel in an equivolume mixture of Cremophor EL and ethanol at 6 mg/ml (Taxol®) was diluted by 6 times with the saline solution, and was administered via bolus tail-vein injection at a dose of 10 mg/kg as a positive control. Blood samples were collected at various time points (n=6) after drug administration, and were stored at -70 °C until analysis.

## 2.7 Analysis of paclitaxel concentrations in blood

Whole blood (200 µl) was spiked with irbesartan (0.5 µg/ml; internal standard), mixed and added to acetonitrile (400 µl) to precipitate proteins. After centrifugation at 14,000 RCF for 20 min, the supernatant was collected and mixed with the mobile phase to adjust the volume to 0.6 ml. Ten microliters of the blood was injected into the LC/MS/MS system. Analyses were performed with a Thermo-Finnigan Discovery Max LC/MS/MS (San Jose, CA, USA). The LC system was performed at 35 °C on a Capcellpak C18 column (150 X 2.0 mm i.d., 5 µm particle size, Shisheido, Japan) equipped with a Zorbax SB-Aq (12.5 X 2.1 mm i.d.) guard column. The mobile phase consisted of 55 % acetonitrile, 0.08 % formic acid and 44.92 % water, and the flow rate was 0.4 ml/min. The instrument was operated in SRM mode (positive ion), monitoring the ion transitions from m/z 854 → 285 (paclitaxel) and m/z 429 → 195 (internal standard). The paclitaxel LC/MS/MS assay was linear over the range of 2 ~ 1000 ng/ml with a lower quantitation limit of 2 ng/ml in blood. Paclitaxel



concentrations in blood were calculated based on a standard curve of paclitaxel in blank pooled animal blood with the internal standard.

## 2.8 Tumor experiment

Suspension of NCI-H358 human non-small cell lung cancer cells ( $1.2 \times 10^7$  cells/mouse), purchased from American Type Culture Collection (ATCC, Manassas, VA), was injected subcutaneously to the dorsal flank of male Balb/c athymic mice (8 weeks). When the tumor volume (length  $\times$  width  $\times$  height  $\times$  0.5236) reached *ca.* 100 mm<sup>3</sup> in 10 days after the injection (day 0), the experimental groups were divided at random into three groups (n=8). On days 1, 2, 3, 4 and 5, G2 formulation (DHP107) was administered orally at a dose of 50 mg/kg (G2). For controls, mice injected intravenously with diluted Taxol® (Taxol, 10 mg/kg) and fed orally with the vehicle only (eG2) on days 1, 2, 3, 4 and 5 were also observed.

## 2.9 Characterization of crystalline forms of paclitaxel

X-Ray diffraction (XRD) measurements were made by using an x-ray diffractometer (D8 Discover, Bruker, Karlsruhe, Germany) with the general area detector diffraction system (GADDS, Bruker, Karlsruhe, Germany). The Cu K $\alpha$  radiation of wavelength 1.542 Å was provided by the x-ray generator (FL CU 4 KE, Bruker, Karlsruhe, Germany) operating at 40 kV and 45 mA. Sample-to-detector distance was 300 mm. Exposure time was 1 ~ 5 h. To avoid air scattering, the beam path was filled with helium. The surface morphology of paclitaxel was observed by scanning electron microscopy (SEM; Hitachi S-2460N, Japan) at an accelerating voltage of 15 kV after Pt/Au sputter coating (Hitachi E1010 Ion sputter, Japan).

## 3. Results

### 3.1 Characterization of crystalline forms of paclitaxel

Crystalline forms of paclitaxel obtained from different sources were determined by DSC, x-ray diffraction and scanning electron microscopy. Paclitaxel can exist as different polymorphs having distinct physical properties, which could influence the manufacturing process of the formulations (Liggins et al., 1997; Lee et al., 2001). Paclitaxel obtained from Samyang Genex (Genexol, Korea) had the glass transition temperature at 150 °C and an exothermic transition at 220 °C whereas paclitaxel obtained from Indena had the melting transition at 210 °C as shown in Figure 2, corresponding to amorphous and anhydrous crystalline forms, respectively, as shown in the literature (Liggins et al., 1997). X-ray diffraction data revealed the differences in the crystallinity of paclitaxel. Diffraction pattern of Genexol showed two broad scattering peaks centered at *ca.* 10° and 20° characteristic of the amorphous form. Paclitaxel from Indena, on the other hand, revealed a pattern with strong and sharp diffraction peaks at 5.7° and 12.6° indicating a highly ordered structure. Surface morphology was also visualized by SEM. Genexol was observed to be in the powder form with highly contoured surfaces. We note that the grain size or the surface area of the powder varied depending on the lot numbers of Genexol (data not shown). For instance, Genexol 131 was composed of powders with wrinkled surfaces whereas Genexol 183 contained smooth and round particles as well when observed by SEM. Needle-like crystals were observed for paclitaxel from Indena. Based on DSC, XRD and SEM experiments,

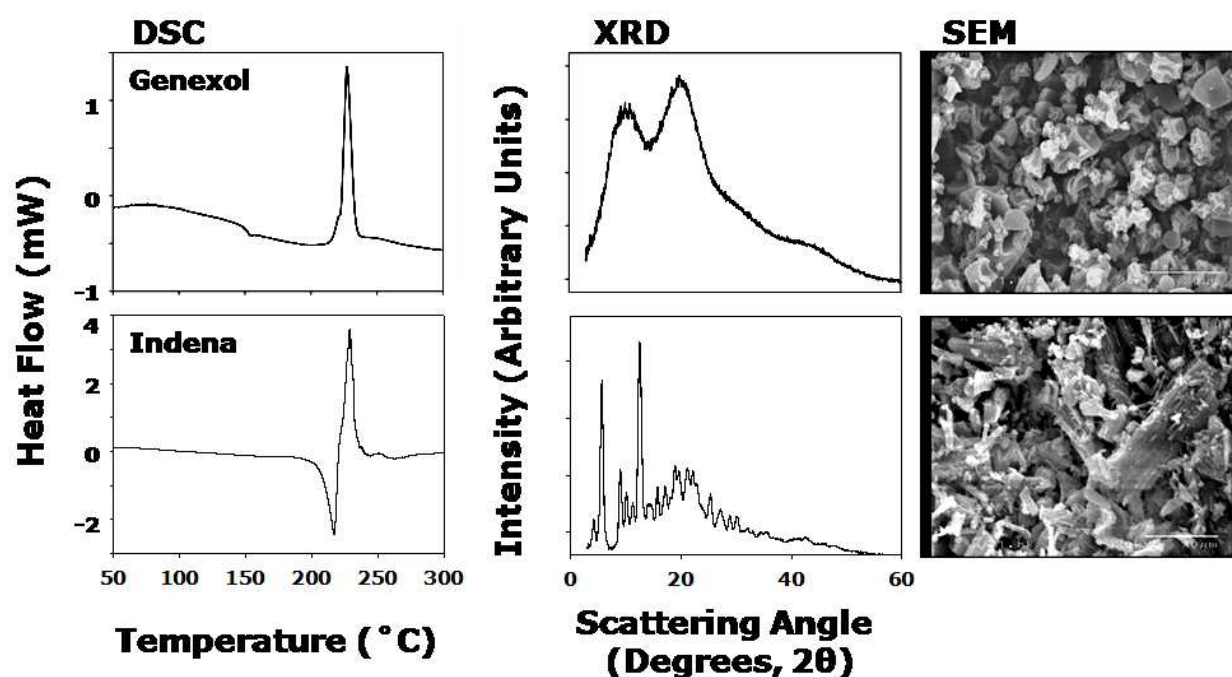


Fig. 2. Differential Scanning calorimetry, x-ray diffraction and scanning electron microscopy of paclitaxel obtained from of Genexol (upper panels) and Indena (lower panels).

we confirmed that Paclitaxel from Samyang Genex and Indena were in amorphous and anhydrous crystalline forms, respectively.

Due to the differences in the grain size and crystalline forms, we used two different procedures in preparing the oral formulations. Genexol 131 was readily soluble in all of the lipid mixtures in Table 2 when sonicated for *ca.* 30 s. Genexol 183, which had larger grains than Genexol 131, and paclitaxel from Indena did not dissolve in the lipid mixture. In these cases, paclitaxel was solubilized completely in the mixture of methylene chloride and triglyceride. Methylene chloride was evaporated completely from the mixture before other ingredients were added to prepare the formulations in Table 3.

### 3.2 Physical properties of oral paclitaxel formulations

Oral paclitaxel formulations existed as oil/wax mixtures at ambient temperatures, but formed clear liquid at 37 °C except for the Formulation T12 (Table 2). The T12 formulation existed as solid wax at ambient temperatures. Thermal behavior of the formulations containing different triglycerides was investigated by performing DSC (Figure 3A).

The thermograms were identical with or without paclitaxel in the formulations, and those with paclitaxel are shown in the Figure. Tween 80, liquid at room temperature, did not show any phase transition in the temperature range from 0 to 60 °C. Lamellar crystalline-to-fluid isotropic, or the chain melting, phase transition of monoolein was observed at 32 °C, which is similar to the values reported for pure monoolein (Briggs et al., 1996; Qiu & Caffrey, 2000) or Myverol 18-99K (Clogston, 2000) in the literature. The chain melting transition of monoolein for the monoolein/Tween 80 (55.0:16.5 by weight) mixture was observed at 26 °C, since Tween 80 lowered the transition temperature of monoolein. In the formulations T2, T4 and T6, only the chain melting transition of monoolein was observed because triacetin,



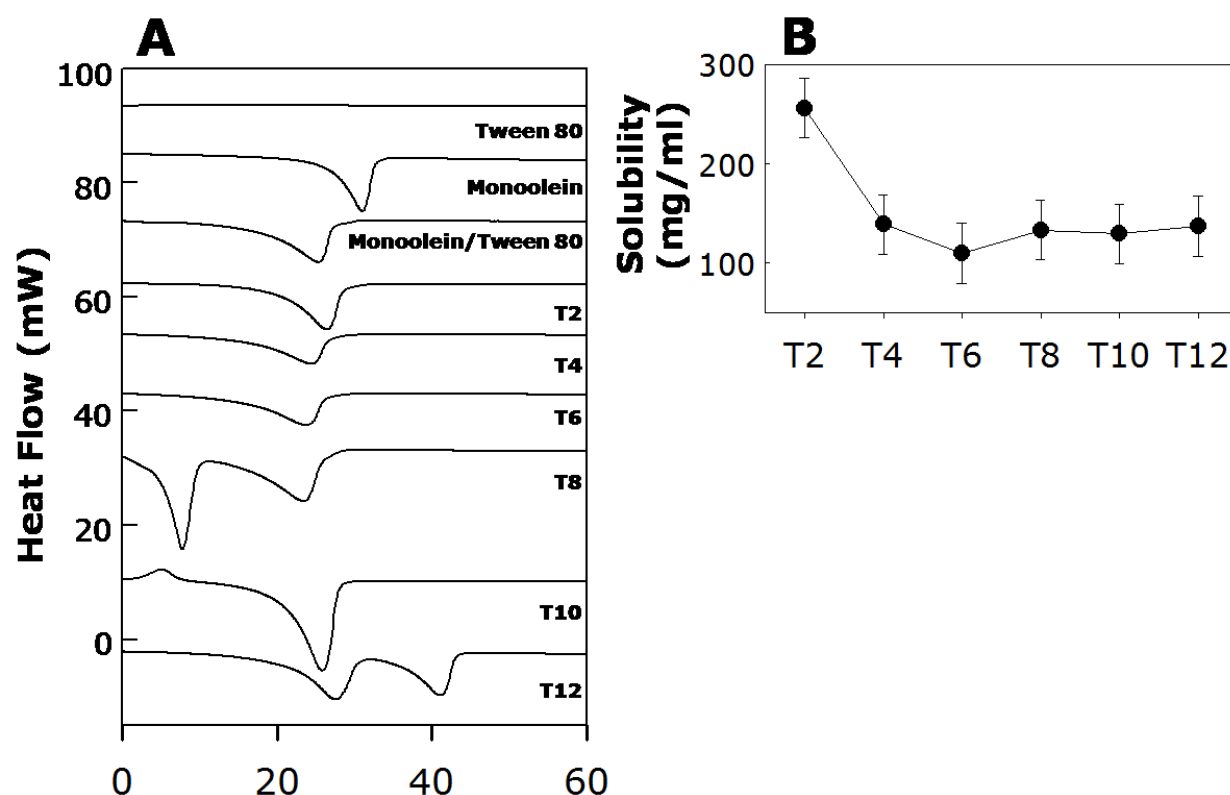


Fig. 3. A) Heating thermograms obtained from the formulations prepared with different triglycerides (T2 ~ T12, in Table 2), Tween 80, monoolein and monoolein/Tween 80 mixture (55.0:16.5 by weight). The concentration of paclitaxel was 1 % (w/w) in all formulations. B) Solubility of paclitaxel in the formulations containing monoolein, triglyceride and Tween 80 at 55.0:27.5:16.5 by weight at 37 °C.

tributyrin and tricaprins were immiscible with solid monoolein. We could identify visually the phase separation of these triglycerides below *ca.* 26 °C. In T8 formulation, chain melting transitions of tricaprins and monoolein were observed at 8 and 23 °C, respectively. In case of T10 formulation, single transition peak from the melting transition of the eutectic mixture of monoolein and tricaprins (2:1 by weight) was observed at 26 °C (Roh et al., 2004). The chain melting transitions of monoolein and trilaurin were observed at 32 and 41 °C, respectively, for T12 formulation. Results show that all the formulations existed as solid/liquid or solid/solid mixtures at room temperature, but transform into the single-phase liquid when heated to the body temperature for T2 ~ T10 formulations or above 45 °C for T12 formulation. Paclitaxel was solubilized well inside all of the formulations in the temperature range studied when observed by polarized light microscopy (data not shown).

### 3.3 Solubility of paclitaxel in oral formulations

The solubility of paclitaxel in the oral formulations with different triglycerides was determined at 37 °C. Mixtures of monoolein/triglyceride/Tween 80 were prepared at 55.0:27.5:16.5 by weight and warmed to melt. Paclitaxel in powder form (Genexol, Samyang Genex, lot G131) was added stepwise to these mixtures until undissolved aggregates were observed. The mixtures were vortexed for 10 s and sonicated for 3 min after each addition at 37 °C. The formulation containing trilaurin was heated to 60 °C and cooled to 37 °C to obtain

the undercooled liquid for the measurement. Solubility of paclitaxel was *ca.* 250 ± 30 mg/ml for the formulation containing triacetin, but was *ca.* 120 ± 40 mg/ml for those with other triglycerides (Figure 3B).

3.4 Pharmacokinetics of oral paclitaxel administration and intravenous Taxol injection

Oral paclitaxel formulations were warmed to body temperature and administered to male ICR mice. We note that not the dispersions but the oily formulations were administered, and no other active pharmaceutical ingredients were given to the animals. Diluted Taxol® was given intravenously as a control. Paclitaxel concentration in blood after oral administration of formulation G2 (DHP107, paclitaxel dose of 50 mg/kg) and intravenous injection of Taxol® (10 mg/kg) was plotted as a function of time in Figure 4A (normal scale) and Figure 4B (logarithmic scale). Paclitaxel concentration in blood was 60.2 µg/ml in 1 min after intravenous injection, and dropped to *ca.* 6.2 and 0.8 µg/ml and in 0.5 and 3 h, respectively. Paclitaxel concentration in blood increased and became 1.2 and 2.1 µg/ml at 1 and 3 h, respectively, after oral administration of DHP107. Pharmacokinetic parameters are listed in Table 2. The bioavailability [(BA) (%) = (AUC<sub>oral</sub> / AUC<sub>iv</sub>) · (Dose<sub>iv</sub>/ Dose<sub>oral</sub>) ×100] of DHP107 in mice was *ca.* 14 %. Since the plasma concentration of paclitaxel above a threshold value of 85.3 ng/ml (dashed line in Figure 4B) was proven to be pharmacologically active, DHP107 could be effective for more than 8 h (Huizing et al., 1997).

Treatment group	Triglyceride type	T <sub>max</sub> (h)	C <sub>max</sub> (µg/ml)	AUC <sub>0-9</sub> (µg·h /ml)
T2	Triacetin	3	0.4	1.6
T4	Tributylin	3	1.5	5.4
T6	Tricaproin	3	3.1	12.0
T8	Tricaprylin	1	2.7	8.9
T10	Tricaprin	1	2.2	8.4
T12	Trilaurin	1	1.5	4.9

Table 2. Pharmacokinetic parameters of paclitaxel after oral administration (50 mg/kg dose) of the formulations containing different triglycerides to Balb/c mice. The composition of the formulations was paclitaxel:monoolein:triglyceride:Tween 80 = 1.0:55.0:27.5:16.5 by weight.

3.5 Oral administration of formulations with different triglycerides

Paclitaxel formulations prepared with various triglycerides (paclitaxel: monoolein: triglyceride:Tween 80 = 1.0:55.0:27.5:16.5 by weight) were administered orally at 50 mg/kg dose to male ICR mice (Table 2, Figure 4C). AUC values were 12.1 ± 2.6, 8.9 ± 1.8 and 8.4 ± 2.7 µg·h/ml for T6, T8 and T10 groups, respectively, with no statistical differences (p<0.15 by Student *t*-test). In case of T2, T4 and T12 groups, AUC of paclitaxel was 1.6 ± 0.5 (p<0.003 compared the AUC with T6 formulation), 5.4 ± 0.7 (p<0.01) and 4.9 ±1.6 µg·h/ml (p<0.01), respectively. Maximum concentration of paclitaxel in blood (C<sub>max</sub>) was also the highest for the formulation with tricaproin (T6) and was 3.2 ± 0.7 µg·h/ml. Interestingly, the time to reach C<sub>max</sub> (T<sub>max</sub>) was 3 h for the formulations with shorter chain triglycerides (T2, T4 and T6) and 1 h for T8, T10 and T12 groups.

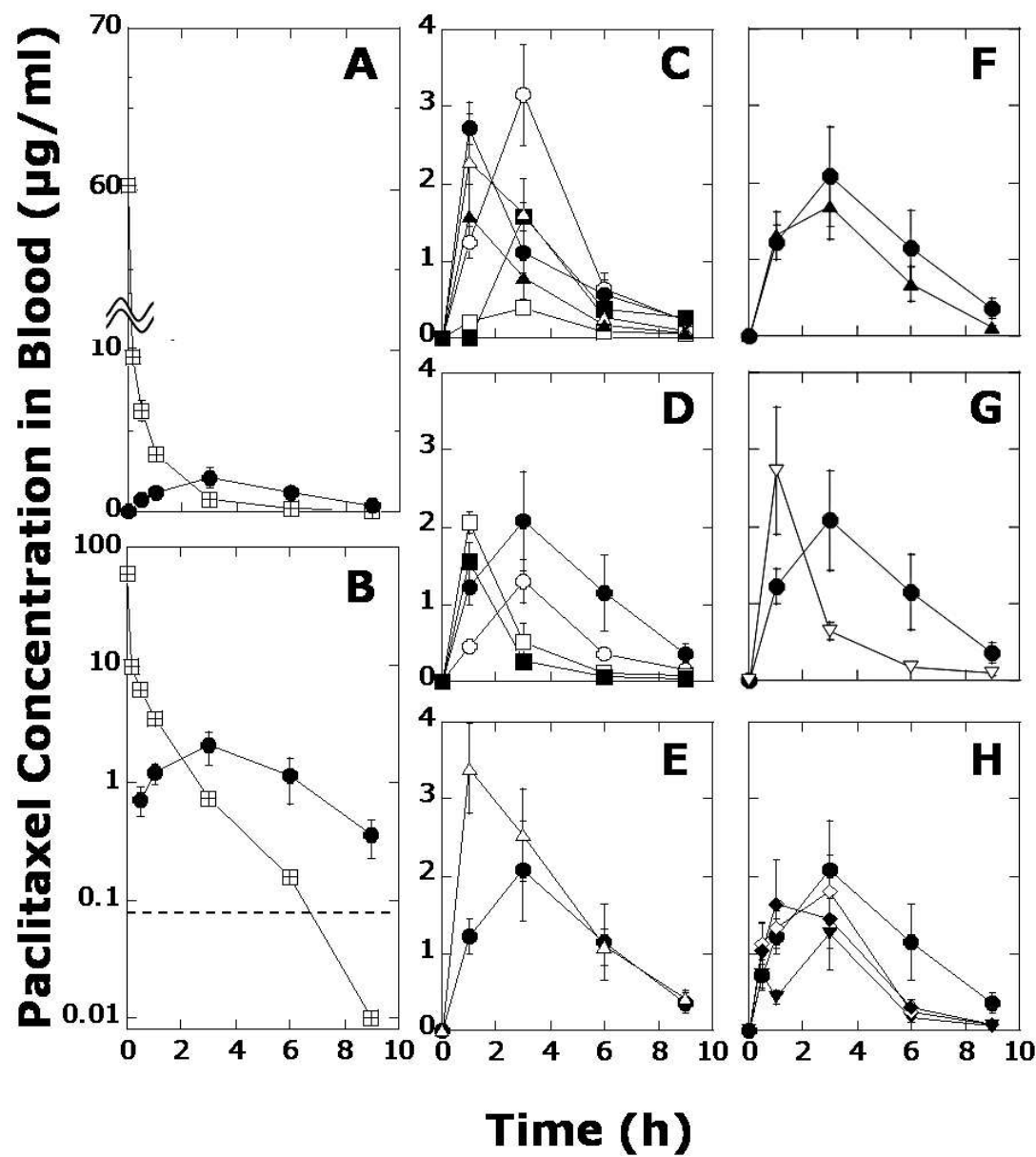


Fig. 4. Mean blood concentration of paclitaxel after intravenous administration of Taxol® and administration of oral formulations. Comparison between intravenous Taxol (□, 10 mg/kg) and oral DHP107 (G2, ●, 50 mg/kg) is shown in normal (A) and logarithmic (B) scales. The dashed line in B) indicates the pharmacologically effective concentration (85.3 ng/ml). Oral administration of paclitaxel formulations C) with different triglycerides (T2: □, T4: ■, T6: ○, T8: ●, T10: △, T12: ▲), D) with different paclitaxel contents (G1: ○, 5 mg paclitaxel/ml formulation, G2, DHP107: ●, 10 mg/ml, G3: □, 15 mg/kg, G4: ■, 20 mg/ml), E) under the fasting (G2, DHP107: ●) and non-fasting (G5: △) conditions, F) prepared with crystalline (G6: ▲) and amorphous (G2, DHP107: ●) paclitaxel, G) with (G2, DHP107: ●) or without (G7: ▽) Tween 80, and H) dispersed in syrup (G8: ▼) or in water (G9: ◇) by vortexing and in water by sonication (G10: ◆) or given per se (G2, DHP107: ●). The oral dose of paclitaxel was 50 mg/kg except for G1 (25 mg/kg), G3 (75 mg/kg) and G4 (100 mg/kg).

Treatment group	Paclitaxel Dose (mg/kg)	Composition [% (w/w)]						T <sub>max</sub> (h)	C <sub>max</sub> (µg/ml)	AUC <sub>0-9</sub> (µg h /ml)	Crystallinity of paclitaxel
		Paclitaxel	Monoolein	Tricaprylin	Tween 80	Cremophor EL	Ethanol				
G1	25 (p.o.)	0.5	55.5	27.5	16.5	-	-	3	1.3	5.2	Amorphous
G2 (DHP107)	50 (p.o.)	1.0	55.0	27.5	16.5	-	-	3	2.0	11.0	Amorphous
G3	75 (p.o.)	1.5	54.5	27.5	16.5	-	-	1	2.0	4.7	Amorphous
G4	100 (p.o.)	2.0	54.0	27.5	16.5	-	-	1	1.5	3.1	Amorphous
G5 (DHP107, Non-fasting)	50 (p.o.)	1.0	55.0	27.5	16.5	-	-	1	3.4	15.3	Amorphous
G6	50 (p.o.)	1.0	55.0	27.5	16.5	-	-	3	1.6	8.3	Crystalline
G7	50 (p.o.)	1.0	66.0	33.0	-	-	-	1	2.7	6.3	Amorphous
eG2	0 (p.o.)	0.0	56.0	27.5	16.5	-	-	-	-	-	-
Taxol	10 (i.v.)	0.6	-	-	-	57.0	42.4	0.016	60.2	15.7	Amorphous

Treatment group	Paclitaxel Dose (mg/kg)	Composition [% (w/w)]						T <sub>max</sub> (h)	C <sub>max</sub> (µg/ml)	AUC <sub>0-9</sub> (µg h /ml)	Dispersing method
		Paclitaxel	Monoolein	Tricaprylin	Tween 80	Syrup	Water				
G8	50 (p.o.)	0.3	16.2	8.0	5.5	70	-	3	1.2	4.6	Vortex
G9	50 (p.o.)	0.3	16.2	8.0	5.5	-	70	3	1.8	7.5	Vortex
G10	50 (p.o.)	0.3	16.2	8.0	5.5	-	70	1	1.6	7.1	Sonication

Table 3. Compositions of paclitaxel formulations and pharmacokinetic parameters of paclitaxel after intravenous or oral administration in Balb/c mice.

3.6 Oral administration of formulations with different paclitaxel contents

We prepared the formulations with different Paclitaxel contents while fixing the weight ratio of monoolein:tricaprylin:Tween 80 to 55.0:27.5:16.5 by weight (G1, G2, G3 and G4 in Table 3, Figure 4D). Bioavailability was 13 % and 14 % when the concentration of paclitaxel was 0.5 and 1 % (w/w), respectively, in the formulation. When the concentration was 1.5 and 2.0 % (w/w), bioavailability decreased with increasing paclitaxel dose and was 4 % and 2 %, respectively.

3.7 Fasting vs. non-fasting conditions

When the formulation is given orally, the fullness of stomach can influence the pharmacokinetics of the administered drug. Throughout the experiments, we administered the oral formulations after 8 h of fasting. In G5, however, we fed DHP107 to mice with free access to food and water before and after the drug administration in order to observe the influence of stomach emptiness on the absorption of the drug. Under the non-fasting condition, C<sub>max</sub> increased to 3.4 µg/ml when compared to the fasting condition (2.0 µg/ml) and T<sub>max</sub> was reduced to 1 h (Figure 4E). The AUC values for non-fasting and fasting conditions were 15.3 (20 % BA) and 11.0 µg·h /ml (14 % BA), respectively. We could conclude that the food in the gastrointestinal tract did not interfere with, but rather helped the absorption of paclitaxel.

### 3.8 Crystalline vs. amorphous paclitaxel

The formulations made with crystalline and amorphous paclitaxel were administered orally to mice (Figure 4F). The pharmacokinetic profiles were virtually identical for these two formulations. We expected that the crystallinity of the drug would not affect the degree of oral absorption since paclitaxel was dissolved completely in tricaprylin/dichloromethane mixture before adding other ingredients, and therefore, the final products would be identical.

### 3.9 Formulation without Tween 80

Oral formulations with (G2, DHP107, Table 3) or without Tween 80 (G7) were prepared. Pharmacokinetic profiles were different for these two formulations (Figure 4G). When the formulation without Tween 80 was administered,  $T_{\max}$  was 1 h instead of 3, and the AUC value was reduced to 6.3  $\mu\text{g}\cdot\text{h}/\text{ml}$ , which was *ca.* 56 % of that for DHP107.

### 3.10 Oral administration of the aqueous dispersions of DHP107

Even though our oral paclitaxel formulations including DHP107 can be taken *per se*, they can also be mixed with water or taste-masking syrups for administration. To examine how the absorption of paclitaxel changes upon feeding the dispersion, DHP107 was mixed with water or syrup at 3:7 by weight. DHP107 was dispersed spontaneously in water to produce emulsion droplets having average diameter of 6-8  $\mu\text{m}$ . By vortexing the mixture of DHP107 and water or syrup for 1 min, the diameters of emulsion droplets were reduced to 6 (polydispersity = 1) or 3 (polydispersity = 1)  $\mu\text{m}$ , respectively. By sonicating DHP107/water mixture at 180 KW for 1 min, we also obtained the dispersion with the oil droplets having 1.4  $\mu\text{m}$  (polydispersity = 1) in diameter.

When the dispersions were orally administered, pharmacokinetic profiles did not change significantly except for the fact that the AUC values were reduced to *ca.* 40 ~ 70 % of the original G2 formulation.

### 3.11 Antitumor efficacy of DHP107 in experimental animals

To evaluate the antitumor activity of DHP107 in mice, suspension of NCI-H358 cells was injected subcutaneously to Balb/c athymic mice. All of the mice inoculated with the human non-small cell lung cancer cells developed progressively growing tumors. The mice were administered orally with DHP107 (G2, 50 mg/kg) or intravenously with Taxol® (10 mg/kg) for 5 consecutive days 10 days after the inoculation. The entire tumor tissue was removed surgically after the experiment and photographed as shown in Figure 5A. In the group administered with oral DHP107 and intravenous Taxol®, the tumor size was reduced gradually from *ca.* 100 to  $15 \pm 3$  and  $19 \pm 5$   $\text{mm}^3$ , respectively, in *ca.* 25 days after the administration of the drug and remained unchanged for the duration of the experiment (Figure 5B). The tumor size data for these two groups were indifferent statistically. In the control group administered orally with the vehicle only, the tumor grew continuously to *ca.*  $360 \pm 20$   $\text{mm}^3$  in 45 days.

## 4. Discussion

In current study, we prepared oil formulations for oral administration of paclitaxel and examined their physical properties, pharmacokinetic profiles and antitumor activities.



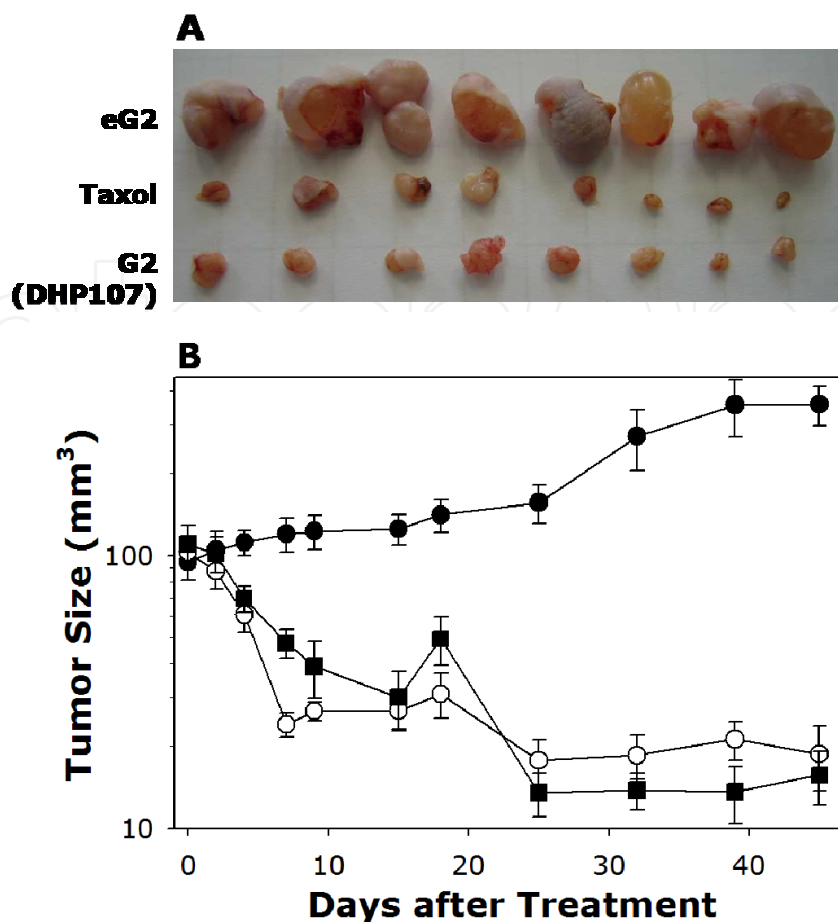


Fig. 5. Antitumor activity of DHP107 in male Balb/c athymic mice, subcutaneously injected with suspension of NCI-H358 cells ( $1.2 \times 10^7$  cells/mouse). A) The entire tumor tissue removed surgically after the experiment. B) The volume of tumor for the oral vehicle control (eG2, ●), intravenous Taxol® (○, 10mg/kg) and oral DHP107 (G2, ■, 50 mg/kg).

Paclitaxel was commercially available in at least two different polymorphs. Amorphous paclitaxel was readily soluble in our oral formulations at 10 mg/ml when sonicated for 30 s. Crystalline paclitaxel, on the other hand, did not dissolve in the oily formulations directly. We had to dissolve crystalline paclitaxel in the tricaprylin/methylene chloride mixture completely, and to remove the solvent in turn to obtain the oily solution of paclitaxel/tricaprylin before adding monoolein and Tween 80. Even though paclitaxel could also be dissolved in methylene chloride/tricaprylin/monoolein/Tween 80 as well as in methylene chloride/tricaprylin, monoolein and Tween 80 were not added to the mixture until after evaporating the solvent to minimize the oxidation of these materials containing unsaturated hydrocarbons. Pharmacokinetic study showed that AUC values were identical statistically when paclitaxel from different vendors or different preparation processes was used (Figure 4F).

DSC study was performed for the formulations with different triglycerides. In the heating thermograms, two endothermic transitions were observed corresponding to the chain melting transitions of monoolein and triglycerides for T8 and T12 formulations. Chain melting transition of triacetin, tributyrin and tricaproin were not observed since the phase transition temperatures of these triglycerides were below 0 °C. There was only a single endothermic transition for the tricaprin/monoolein mixture at the weight ratio of 2:1 since

they formed a eutectic mixture (Roh et al., 2004). The phase behavior of monoolein and triglycerides could be explained by the classical binary eutectic phase diagram of two immiscible solid phases and a completely miscible melt.

We could conclude from the DSC data that monoolein and tricaprylin do not mix below *ca.* 30 °C where monoolein exists as lamellar crystalline phase. Monoolein and Tween 80 did not mix in this temperature range either. Above 30 °C, however, all three ingredients, tricaprylin, monoolein and Tween 80 existed as liquid and mixed homogeneously. Two important things to note were that paclitaxel precipitates were not observed even at 0 °C by microscopy in any of the oral formulations and that the formulations could be heated and cooled in cycles between -20 and 40 °C without compromising the effectiveness of the drug. These results are significant in that the oral formulation (possibly in a soft capsule) can be stored in the shelf and orally administered in the phase-separated form, but transforms into a homogenous liquid solution by the body heat while traveling inside the gastrointestinal tract.

In our oral formulations, monoolein was included due to its well-known mucoadhesive property (Nielsen et al., 1998). Monoolein and other monoglycerides have been used in oral drug delivery formulations since they can enhance absorption of small molecules and even proteins through the epithelial cells (Ganem-Quintanar et al., 2000; Chung et al., 2002). The absorption enhancing mechanism is not clearly known. Nanopore induction or the membrane perturbation (Anderson, 2005), and the intermediate phases formed in the intestine (Kossena et al., 2005) were considered important. *In vitro* study showed that monoglycerides can enhance the cellular absorption of drugs by inhibition of P-glycoproteins (Konishi et al., 2004). Further studies are required to explain the absorption enhancing mechanism of monoolein unequivocally.

In the previous study, we administered intravesically the dispersion of DHP107 in water to experimental rabbits and observed that the formulation adhered tightly to the bladder mucosa, and paclitaxel penetrated through the physical barrier imposed by the uroepithelium (S. J. Lee et al., 2005). Histological examination of the bladder and other tissues did not reveal any local or systemic toxicity to the rabbits.

Oral administration of formulations containing different triglycerides showed that those with tricaproin, tricaprylin and tricaprinn had higher AUC values than others. The formulation with tricaproin had the highest AUC value. We must note that paclitaxel was mixed directly with the vehicles and sonicated for 30 s to obtain the formulations in Table 2. When the preparation process was changed to add and to remove methylene chloride in turn in order to solubilize crystalline as well as amorphous paclitaxel, the AUC value of tricaprylin/monoolein/Tween 80/paclitaxel formulation (G2, DHP107) increased from 8.9 to 11.0 µg h/ml, which was similar to that of tricaproin/monoolein/Tween 80/paclitaxel formulation in Table 2 (T6) statistically. We proceeded with the tricaprylin/monoolein/Tween 80/paclitaxel formulation for further experiments because the toxicity of tricaprylin (LD50 = 3700 mg/kg; intravenous and 26600 mg/kg; oral) was much lower than that of tricaproin (LD50 = 122 mg/kg; intravenous) for mice according to the Material Safety Data Sheets for tricaprylin (T9126) and tricaproin (T4137) provided by Sigma ([www.sigma-aldrich.com](http://www.sigma-aldrich.com)).

We also performed the pharmacokinetic study with the formulations having different contents of the drug, under fasting or non-fasting conditions, by changing the commercial source of paclitaxel, with or without the emulsifier, Tween 80 and in the form of dispersions

in syrup or in water. From these experiments, we could conclude that the optimum concentration of paclitaxel in the formulation was 10 mg/ml, and the tricaprylin/monoolein/Tween 80/paclitaxel formulation was the most effective when given directly to the non-fasting animals. Under the fasting conditions,  $T_{\max}$  was 3 h.  $T_{\max}$ , however, was 1 h when the animal had free access to food and water. In a separate experiment, we observed that the bile salts helped the micronization and micellization of DHP107. It is possible that the micronization process of DHP107 was fastened by the secreted bile salts already existing under the non-fasting condition. When the formulation was fed to the empty stomach, on the other hand, bile salt secretion would start after the oily DHP107 reaches the upper intestine.

Our liquid type formulations were very effective in delivering paclitaxel orally, easy to prepare, biocompatible, and physically stable. Preclinical studies have demonstrated superior antitumor efficacy and high bioavailability. Most notably, the blood paclitaxel concentration was high after the oral administration even though the P-glycoprotein inhibitors were not co-administered when compared to the formulations reported in the literature (Table 1). The results show that the blood paclitaxel concentration reached as high as *ca.* 3  $\mu\text{g/ml}$  and higher than 1  $\mu\text{g/ml}$  for 4~6 hours in Balb/c mice after oral administration of 50 mg/kg of paclitaxel dose. Also the bioavailability of paclitaxel was *ca.* 14 % and 20 % when compared to Taxol® injection under fasting and non-fasting conditions, respectively.

Antitumor experiment also showed that the tumor regression rate of the oral DHP107 group (50 mg/kg) was similar to that of the intravenous Taxol® group (10 mg/kg). Also, the tumor size of an established non-small cell lung cancer was significantly reduced after the oral treatment. Considering that the bioavailability of DHP107 was *ca.* 14 ~ 20 % compared to Taxol® injection, similar regression rate was expected to a degree.

## 5. Conclusions

In conclusion, we prepared oral paclitaxel formulations that do not contain P-glycoprotein inhibitors as active pharmaceutical ingredients. The formulations are liquid at body temperature and can solubilize paclitaxel effectively. The oral bioavailability of paclitaxel was 14 ~ 20 % when compared to the intravenous Taxol® formulation without concomitant administration of P-glycoprotein inhibitors. Preclinical efficacy study on mice showed that the tumor size was reduced significantly for the human non-small cell lung carcinoma. In separate studies, we have determined the tissue distribution of paclitaxel after oral administration (manuscript in preparation) and performed pre-clinical antitumor efficacy studies in mice with several tumor types (manuscript in preparation). Regulatory preclinical experiments to initiate the clinical evaluations of DHP107 have also been carried out.

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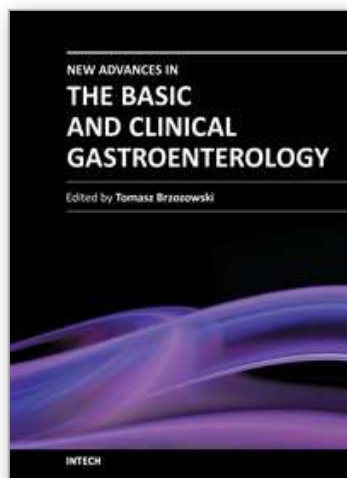
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### **New Advances in the Basic and Clinical Gastroenterology**

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The purpose of this book was to present the integrative, basic and clinical approaches based on recent developments in the field of gastroenterology. The most important advances in the pathophysiology and treatment of gastrointestinal disorders are discussed including; gastroesophageal reflux disease (GERD), peptic ulcer disease, irritable bowel disease (IBD), NSAIDs-induced gastroenteropathy and pancreatitis. Special focus was addressed to microbial aspects in the gut including recent achievements in the understanding of function of probiotic bacteria, their interaction with gastrointestinal epithelium and usefulness in the treatment of human disorders. We hope that this book will provide relevant new information useful to clinicians and basic scientists as well as to medical students, all looking for new advancements in the field of gastroenterology.

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No.65, Yan An Road (West), Shanghai, 200040, China  
中国上海市延安西路65号上海国际贵都大饭店办公楼405单元  
Phone: +86-21-62489820  
Fax: +86-21-62489821

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