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Fabrication and Characterization of As Doped p-Type ZnO Films Grown by Magnetron Sputtering

¹*College of Physics and Microelectronics Science, Key Laboratory for Micro-Nano*

Physics and Technology of Hunan Province, Hunan University, ²Department of Physics, The University of Hong Kong, People's Republic of China

1. Introduction

In the past decade, there has been a great deal of interest in zinc oxide ZnO semiconductor materials lately, as seen from a surge of a relevant number of publications in Figure 1 (Wenckstern, 2008). It can be seen that the present renaissance in ZnO research started in the mid 1990s. More than 2000 papers on ZnO were published in 2005 and even higher numbers in 2006.



Fig. 1. Publications per annum for the search of ZnO in the abstract before 2007, For 2007, only papers published before June 6th are considered. From Ref. (Wenckstern, 2008).

With a wide band gap of 3.4eV and a large exciton binding energy of 60 meV at room temperature, ZnO has been considered as a promising material for optoelectronic devices (Klingshirn, 2007):

 ZnO as a blue/UV optoelectronics, including light emission diodes (LEDs) and laser diodes in addition to (or instead of) the GaN –based structure.

- ZnO as a radiation-hard material for electronic devices in a corresponding environment.
- ZnO as a material for electronic circuits, which is transparent in the visible.
- ZnO as a diluted or ferromagnetic material, when doped with Co, Mn, Fe, V or similar elements, for semiconductor spintronics.
- ZnO as a transparent, highly conducting oxide (TCO), when doped with Al, Ga, In or similar elements, as a cheaper alternative to indium tin oxide (ITO).

More applications about ZnO can be found in references (Janotti & Van de Walle 2009).

It is known that GaN is a III-V compound semiconductor material with in the hexagonal wurtzite-type structure and an important application in optoelectronic devices. With a similar crystallinity to GaN, ZnO has more advantages in optoelectronic application (Özgör, et al., 2005; Shur & Davis, 2004; Tsukazak, et al., 2005; Look, 2001; Janotti & Van de Walle 2009):

- a exciton binding energy of 60 meV at room temperature(RT) is higher than one of GaN (24meV), resulting in ZnO can be excited at RT and prepared the optoelectronic devices in shorter wavelength.
- the band gap of ZnO (Eg =3.4 eV) can be effectively modulated (controled) in 3- 4.5eV by doping Cd or Mg.
- ZnO film can be fabricated with large area and good uniformity on various substrates, leading to the application in a wider field, however, GaN film is prepared on some limited substrates (SiC, Sapphire, Si).
- the growth temperature for high quality ZnO film is about 500°C, which is much lower than that for GaN film (≥1000°C).

The properties of GaN and ZnO are summarized in Table1 (Madelung, 1996; Norton et al, 2004).

Property	CaN		ZnO	
Crystal Structure	Wurtzite	Zinc Blende	Wurtzite	
Lattice Constant (nm)				
a ₀ :	0.3189	0.452	0.3249	
c ₀ :	0.5185	0.45	0.5207	
a ₀ / c ₀ :	1.6259		1.602	
Density (g/cm ³)	6.15		5.606	
Thermal Conductivity (Wcm ⁻¹ C ⁻¹)	>2.	1	0.6, 1-1.2	
Linear expansion coeffienct (C ⁻¹)				
a ₀ :	5.59×10 ⁻⁶	_	6.5×10 ⁻⁶	
c ₀ :	3.17×10 ⁻⁶	_	3.0×10 ⁻⁶	
Energy bandgap (eV)	3.51	3.3	3.4	
Exciton binding energy (meV)	24	_	60	
Electron effective mass	0.2	_	0.24	
Electron hall Mobilty at room				
temperature (cm ² V ⁻¹ s ⁻¹)	\sim 1000	\sim 1000	200	
Hole effective mass	0.8		0.59	
Hole hall Mobilty at room				
temperature (cm ² V ⁻¹ s ⁻¹)	≤200	≪350	≪50	
Electron saturation velocity				
(10 ⁷ cm s ⁻¹)	2-2.5	2	3.2	

Table 1. The properties of GaN and ZnO. From Ref. (Madelung, 1996; Norton, et al, 2004).



Fig. 2. (a) The structure of a typical p-i-n junction LED. (b) Current-voltage characteristics of a p-i-n junction. The inset has logarithmic scale in current with F and R denoting forward and reverse bias conditions, respectively. (c) Electroluminescence spectrum from the p-i-n junction (blue) and photoluminescence (PL) spectrum of a p-type ZnO film measured at 300K. From Ref. (Tsukazak, et al., 2005).

Figure 2a shows the schematic structure of a typical homostructural p-i-n junction prepared by Tsukaza et al. The I-V curve of the device displayed the good rectification with a threshold voltage of about 7V (Figure 1b). The electroluminescence spectrum from the p-i-n junction (blue) and photoluminescence (PL) spectrum of a p-type ZnO film at 300K were shown in Figure 1c, which indicated that ZnO was a potential material for making shortwavelength optoelectronic devices, such as LEDs for display, solid-state illumination and photodetector.

2. ZnO basic properties

ZnO is a II-V semiconductor with the ionicity at the borderline between covalent and ionic semiconductor (Özgör, et al., 2005). ZnO has three crystal structures: rocksalt, zinc blende and wurtzite, as shown in Figure 3(a), (b) and (c), respectively. Under conventional conditions, the thermodynamically stable phase is wurtzite, which has a hexagonal unit cell with space group C_{6v} ⁴or p_6 3mc, and lattice parameters a = 0.3296, and c = 0.52065 nm. In this structure, the oxygen anions (O²⁻) and Zn cations (Zn²⁺) form a tetrahedral unit, composing two interpenetrating hexagonal-close-packed (hcp) sublattices and each sublattice includes four atoms per unit cell and every atom of one kind(group-II atom) is surrounded by four atoms of the other kind (groupVI), or vice versa, as shown in Figure 3(c). The wurtzite structure of ZnO lacks central symmetry and can be simply considered a number of alternating planes composed of O²⁻ and Zn²⁺, grown alternatively along the c-axis due to the low formation energy of the direction. The zinc-blende ZnO structure can be stabilized only by growth on cubic substrates, and the rocksalt (NaCl) structure may be fabricated at relatively high pressures. The wurtzite ZnO can be transformed to the rocksalt structure at relatively modest external hydrostatic pressures.

In addition to the above crystal structures, theoretical calculation showed that a fourth phase of ZnO, cubic cesium chloride, may be possible at extremely high temperatures, however, the result has not been proved, experimentally.



Fig. 3. ZnO crystal structures: (a) rocksalt, (b) zinc blende, (c) wurtzite. The shaded gray and black spheres denote Zn and O atoms, respectively. From Ref.(Özgör, et al., 2005).

Other basic properties of ZnO can be seen from Table 1.

Figures 4, 5 and 6 show the morphologies of ZnO single crystal, powder, film and nanomaterials.

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Fig. 4. Photographs of large bulk ZnO single crystals grown by different techniques: (*a*) gas transport, (*b*) hydrothermal, and (c) pressurized melt growth. From Ref.(Janotti, et al., 2009; Klingshirn, 2007).



Fig. 5. SEM images of the ZnO powder (a) and ZnO film(b).



Fig. 6. A collection nanostructures of ZnO. From Ref. (Wang, 2004; Yu et al., 2005).

3. Challenges in ZnO

ZnO has a strong potential for various short-wavelength optoelectronic device applications. However, to realize these applications, a reliable technique for fabricating high quality ptype ZnO and p-n junction needs to be established. Compared with other II-VI semiconductor and GaN, it is a major challenge to dope ZnO to produce p-type

semiconductor due to self-compensation from native donor defects and/or hydrogen incorporation(Wang, et al., 2004; Xiu, et al., 2005). Great efforts have been made to achieve p-type ZnO by mono-doping group-I elements(Li, Na and K), group-IB elements(Ag and Cu) or group-V elements (N, P, As, and Sb) and co-doping III-V elements with various technologies, such as evaporation/sputtering process, ion implantation, pulsed laser deposition, thermal diffusion of As after depositing a ZnO film on GaAs substrate, and hybrid beam deposition(McCluskey & Jokela, 2009; Yan, et al., 2006; Kang, et al., 2006; Özgör, et al., 2005; Look, et al., 2004; Marfaing & Lusson, 2005; Yan&Zhang, 2001; Yamamoto, 2002). It is believed that the most promising dopants for p-type ZnO are the group V elements, although theory suggests some difficulty in achieving shallow acceptor level. The first p-type ZnO with a hole concentration of 10¹⁶-10¹⁷ cm⁻³ was reported in films made by vapour-phase transport in NH₃, followed by molecular beam epitaxy (MBE) with an atomic nitrogen source (Minegishi, et al., 1997). The mechanism of p-type ZnO:N is considered that N substitutes for an O, forming an acceptor with a hole binding energy of 400meV according to first-principles calculations(Park, et al., 2002), and x-ray absorption spectroscopy verified that N occupies the O substitutional site in Fons's experiment, which is consistent with the radius of N is near with that of O (Fons et al., 2006). P, As and Sb in ZnO are deep acceptor because of their large ionic radii as compared to O. However, some researchers claimed that p-type ZnO were achieved with these large-size-mismatched impurities (Heo, et al., 2003; Ryu, et al., 2000; Xiu, et al., 2005). Therefore, the microscopic structure of these impurities in ZnO has not been understood completely, which can not been contributed to these impurities occupied O site to generate holes, simply.

In this paper, we fabricated p type As doped ZnO films on glass and SiO₂/Si substrates at different temperature by sputtering Zn_3As_2/ZnO target or cosputtering Zn_3As_2 and ZnO targets, and investigated the optical and electrical properties of the films, systematically. Especially, the mechanism of p-type conductivity of ZnO: As film was discussed according to $As_{Zn}-2V_{Zn}$ shallow acceptor model proposed by Limpijumnong *et al.*, which helped to understand the microscopic structure of As in As-doped ZnO and the microscopic origin of p-type ZnO by doping large-size- mismatched impurities.

4. Experiment

Magnetron sputtering (DC sputtering, RF magnetron sputtering, and reactive sputtering) is one of the popular growth techniques for ZnO investigations because of its low cost, simplicity and low operating temperature. A schematic diagram of the magnetron sputtering system in our experiments is shown in Figure 7. Figure 8 shows a photograph of the typical glow from ZnO target when sputtering.

As-doped ZnO films were grown on glass and SiO₂/Si substrates at different substrate temperatures by sputtering Zn₃As₂/ZnO or cosputtering ZnO and Zn₃As₂ targets. Undoped ZnO films were deposited by sputtering ZnO target. Silicon oxide layer with a thickness of 250 nm was thermally grown in dry oxygen on Si substrate. The substrates were first cleaned by acetone and ethanol and then rinsed in de-ionized water each for 5 min at room temperature. The sputtering chamber was evacuated to a base pressure of 10⁻³Pa. A pure Ar (99.999%) was used as the working gas. The distance between the targets and the substrate was 14cm. The targets were presputtered for 20 min to remove contaminants. The As-doped ZnO targets were prepared by adding Zn₃As₂ and sintering at 900°C for 3h. The Zn₃As₂ contents in the targets were 0.5mol%, 1.0mol%,1.5mol%,2mol%, respectively. The pure Zn₃As₂ target was sintered in pure Ar (purity: 99.999%; pressure: 0.1MPa) at 800°C for 2h. The film thickness was measured with ellipsometer.



Fig. 7. Schematic diagram of the magnetron sputtering system.



Fig. 8. Photograph of the typical glow from ZnO target when sputtering.

The structures and morphologies of the as-grown ZnO films were characterized by X-ray diffraction (XRD, Siemens D-5000, and Cu Ka, $\lambda = 1.5405$ Å), atomic force microscopy (AFM, NTD-Pro47) and scan electron microscopy (SEM, JSM-6700F). The composition of As-doped ZnO film was analyzed by an energy dispersive X-ray (EDX) spectroscopy (INCA, Oxford) attached to the SEM. The concentration of As in ZnO film was measured with Secondary ion mass spectroscopy (SIMS, Physical Electronicsmodel 7200). The bonding state of As in ZnO:As films were studied by x-ray photoelectron spectroscopy (XPS) using the Mg K α line (Physical Electronics model5600). The x-ray source and the C 1s line were taken as the standard reference. The electrical properties of the films were investigated at room temperature in the Van der Pauw configuration using HL5500 Hall system. The measurement process was the following: ensuring Ohmic contact—the resistivity measurement, the resistivity was measured once and every sample had one value of the resistivity and several values of the mobility and carrier concentration. For one sample, if the results of several Hall effect measurements showed the same

conduction type, we consider it had stable conduction type. If the results of several Hall effect measurements were not consistent, and the conduction type of the film was not confirmed. The optical transmission spectra of the films were measured at room temperature using an UV-vis double beam spectrometer. Low temperature photoluminescence (PL) were systematically performed for ZnO films by the excitation from 325 nm He-Cd laser.

5. Results and discussion

5.1 Undoped ZnO films

First, let us investigate the properties of undoped ZnO films grown by magnetron sputtering. The undoped ZnO films were deposited on glass substrates at various temperatures from 250 to 450°C with RF power of 120W. High purity Ar (99.999%) or mixture of Ar and O₂ (Ar:O₂ = 3:1) maintained at 0.6 Pa was used as the working gas. In addition, the ZnO film measured low temperature PL was prepared on SiO₂/Si substrate at 350°C with purity Ar maintained at 0.5 Pa.

Figure 9 shows the XRD patterns of ZnO powder and film deposited at 450°C.



Fig. 9. XRD patterns of ZnO powder (a) and film deposited at 450°C (b).

Many diffraction peaks, such as (100), (002), (101) were seen in the pattern of ZnO powder and the (002) peak was not the strongest one. In the pattern of ZnO film deposited at 450°C, a strong peak of (002) at about 34.5° and a weak peak of (004) at 72.6° were observed. Comparison of the patterns shows that the thin film tended to be oriented on the (001) surface. SEM photograph in Figure 5 showed that the grains of ZnO film were small, around 100nm in diameter, in which exhibited hexagonal form and the powder were composed of ZnO grains with different diameters.

The optical absorption spectra of ZnO powder and film deposited at 450°C in the visible are displayed in Figure 10. The fundamental absorption for both powder and film starts from about 370 nm and the absorption of film in UV region was stronger, obviously. The inset shows a plot of $(\alpha hv)^2$ against hv for ZnO film and the optical band gap (Eg) value was obtained by extrapolating the linear portion to photo energy axis. It was found to be about 3.262eV.



Fig. 10. Absorption spectra of ZnO powder (b) and film (a).The film thickness was about 300 nm. Inset shows plot of (ahv)² against hv for estimation of direct allowed optical gap of the film. The estimated gap was 3.262eV.

Figure 11 shows XRD patterns of ZnO films grown with different conditions. The growth parameters of the films were summarized in Table 2. A strong peak of ZnO (002) at about 34.5° was observed for each sample, indicating that the films were c-axis oriented. The full-width at half-maximum (FWHM) of (002) peaks were listed in Table 2. (103) peak in the XRD pattern of the film grown at 250°C (SampleS_A) shows that c-axis oriented grains in the film did mot dominate completely due to the low growth temperature. (103) peak disappeared in the films deposited at 350° C (SampleS_B), indicating the c-axis orientation of the film became stronger and the crystallinity was improved, which was consist with the change of (002) FWHM from 0.40° to 0.38°. Comparison of the patterns of SamleS_B, S_C and S_B+annealing shows that the induction of O₂ in working gas and post-annealed improved the quality of ZnO films grown with magnetron sputtering.



Fig. 11. XRD patterns of ZnO films grown different conditions: (a) $P_{Ar} = 0.6Pa$, 250°C; (b) $P_{Ar} = 0.6Pa$, 350°C; (c) $P_{Ar} = 0.45Pa$, $P_{O2} = 0.15Pa$, 350°C.



Fig. 12. XRD patterns of as-grown ZnO film at 350°C and annealed at 450 °C in air for 2h.

The surface morphologies of ZnO films were investigated by AFM. Figure 13 shows AFM images of ZnO films grown with different conditions. It can be seen that the grains of the films became larger with the temperature increased from 250 to 350°C and post-annealing improved the uniform of the film, which indicated the crystallinity of the films improved and were consisted with the results of XRD.

Figure 14 shows the optical transmittance spectra of ZnO films. The transmittances are over 70% in the visible region for all the films and the fundamental absorptions are at about 370nm. The inset of Figure 14 reveals the relationship between absorption coefficient and photo energy of ZnO film deposited at 350°C. The Eg value estimated was 3.271 eV.

Low temperature PL was performed for ZnO film grown on SiO₂/Si substrate. The near band edge (NBE) part of the 10 K PL spectrum was shown in Figure 15, which had peaks at 3.355, 3.308, and 3.234eV (Fan, et al., 2009). Similar lines were also observed by Petersen et al., (3.350 and 3.303eV) in n-type ZnO grown by sol-gel process (Petersen, et al., 2008) and by Zhong et al (3.357 and 3.309eV) in ZnO tetrapod(Zhong, et al., 2008). The ~3.36 eV was ascribed to the neutral donor-bound-exciton (D⁰X) according to D.C.Look 's suggestion about the peak (Look & Clalin,2004). The 3.31 eV line was associated with the corresponding two-electron-satellite (TES) and/or exciton-LO phonon emission, therefore, the peaks at 3.355 and 3.308eV in Figure 15 were assigned to be the D⁰X and the TES/exciton-LO phonon lines, respectively. The 3.234 eV observed in Figure 15 was similar to the ~3.24eV donor-acceptor-pair (DAP) emission suggested by Peterson et al (Petersen, et al., 2008), and were thus assigned as DAP.

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Fig. 13. AFM images of ZnO films prepared with different conditions:(a) P_{Ar} =0.6Pa, 250°C; (b) P_{Ar} =0.6Pa, 350°C; (c) S_B +annealing.



Fig. 14. Transmittance spectra of as-grown ZnO films prepared with different conditions: (a) $P_{Ar} = 0.6Pa$, Room temperature; (b) $P_{Ar} = 0.6Pa$, 250° C; (c) $P_{Ar} = 0.6Pa$, 350° C; The inset is the $(ahv)^2$ vs hv curve for the optical band gap determination in the filmdeposited at 350° C. The Eg value estimated was 3.271eV.



Fig. 15. NBE region of 10 K PL spectra for ZnO film grown on SiO₂/Si substrate at 350°C.

5.2 As doped ZnO films prepared by sputtering Zn₃As₂/ZnO target

As doped ZnO films were prepared on glass and SiO₂/Si substrates by sputtering Zn_3As_2/ZnO target at a substrate temperature from 50 to 450°C, respectively. The Zn_3As_2 contents in the targets were 0.5mol%, 1.0mol%, 1.5mol%, respectively. A pure Ar (99.999%) at 0.6 Pa was used as the working gas. The films were deposited with a radio frequency (RF) power from 80 to 150W, respectively. The total thickness of the films was about 300 nm. In addition, the As-doped ZnO fims performed low temperature PL were prepared on SiO₂/Si substrates at 250 and 350°C with purity Ar maintained at 0.5 Pa, using the target with 1mol% Zn₃As₂ and ZnO target.

Figure 16 shows the XRD patterns of As-doped ZnO films deposited on glass substrates at different temperatures. A strong peak of (0 0 2) at about 34.5° for all samples was observed, indicating that the films were c-axis oriented. Two peaks corresponding to (1 1 0) and (1 1 1) of Zn₃As₂, respectively, were detected in the patterns of the films deposited at 50 and 250°C, indicating the films were ZnO/Zn₃As₂ or ZnO:As/Zn₃As₂ ones (samplesA and B). However, no diffraction peaks associated with Zn₃As₂ were detected in the patterns of ZnO films deposited at 350 and 450°C, revealing the films were ZnO:As ones, corresponding to samples C and D, respectively.



Fig. 16. XRD patterns of As-doped ZnO films deposited on glass substrates at different temperatures: 50°C (a); 250°C (b); 350°C (c); 450°C (d). From Ref.(Fan, et al., 2007a).

Similar phenomenon was observed in the XRD spectra of As-doped ZnO films deposited SiO₂/Si substrates with different conditions, as shown in Figure 17, and the growth parameters were summarized in Table 3. The (110) and (111) peaks of Zn₃As₂ were detected in the patterns of Samples S1, S2, S4 and S7, which showed that the films were ZnO/Zn₃As₂ or ZnO:As/Zn₃As₂ ones. Only ZnO (002) peak was observed in the patterns of Samples S3, S5 and S6, indicating the films were ZnO:As ones. Therefore, the growth parameters of ZnO:As film in our experiments were summarized in Table 4.

Samples	RF power (W)	Temperature (⁰ C)	Zn3As2 in target (mol%)
S1	100	350	1
S2	120	350`	1
S3	150	350	1
S4	120	300	1
S5	120	400	1
S6	120	350	0.5
S 7	120	350	1.5

Table 3. Growth parameters of As-doped ZnO films on SiO₂/Si substrates.



Fig. 17. (a) XRD patterns As-doped ZnO films deposited at 350° C with various RF powers from 100 to 150W(samples S1, S2, and S3). (b) XRD patterns As-doped ZnO films deposited with RF powers of 120W at 300–400°C (samples S2, S4, and S5). (c) XRD patterns As-doped ZnO films deposited with RF powers of 120W at 350°C by sputtering 0.5-1.5mol% Zn₃As₂/ZnO targets (samples S5, S6, and S7).

Samples	RF power (W)	Temperature (⁰ C)	Zn ₃ As ₂ in target (mol%)	Substrate
С	100	350	1	Glass
D	120	350`	1	Glass
S3	150	350	1	SiO ₂ /Si
S5	120	400	1	SiO ₂ /Si
S6	120	350	0.5	SiO ₂ /Si

Table 4. Growth parameters of ZnO:As films in our experiments

The surface morphology of ZnO:As films in our experiments were investigated by AFM and SEM. Figure 18 shows AFM images of ZnO:As film deposited on glass at 350°C (SampleC). It can be seen that the film was composed of globe-like grains and had high quality, which was consist with XRD results in Figure 16.



Fig. 18. AFM images of ZnO:As film deposited on glass at 350°C (SampleC).

The microstructure of the ZnO:As films grown on SiO_2/Si substrates was characterized using SEM. The SEM micrographs of the films revealed that the films had a homogeneous surface formed by globe-like grains, indicating high quality of the film microstructure. The typical SEM images obtained for samples S3 and S5 are shown in Figure 19.



Fig. 19. SEM images of ZnO:As film deposited on SiO_2/Si substrates: (a), Sample S3; (b), Sample S5.

EDS and SIMS analyses were carried out to study the As-doping content in ZnO:As films. EDS was performed in two different areas of the samples to confirm whether the films contain As or not. Figure 20 shows the typical EDS spectrum of ZnO:As film deposited at 350°C on glass, which indicated that the presence of element As besides Zn, O, Ca and Si. Obviously, the peaks of Ca and Si should be ascribed to the glass substrate. The element content in the film is illustrated in Table5 (Fan, et al., 2007a). It can be seen that the film contained almost the same As content in its different areas.



Fig. 20. EDS spectrum of ZnO:As film grown at 350°C on glass.

	Zn	0	As
Content (at%) of various elements in the first area	31.75	67.04	1.21
Content (at%) of various elements in the second area	31.83	66.94	1.23

Table 5. Content (at%) analysis of various elements in the ZnO:As film deposited at 350°C using EDS. From Ref. (Fan, et al., 2007a).



Fig. 21. SIMS spectrum showing the As depth profile of the As-doped ZnO film grown at 450° C on SiO₂/Si substrate.

SIMS was characterized for ZnO:As films deposited on SiO₂/Si substrate at 450°C with Ar at 0.5 Pa as working gas, as exhibited in Figure 21. The As element was found to be uniformly distributed in the film sample down to a depth of ~280nm.

XPS was employed to investigate the chemical states of As atoms in ZnO:As films. Figure 22 shows the typical XPS spectra of the As3d, Zn2p and O1s core-level spectra for ZnO:As film. The As (3d) binding energies of the As-O and As-Zn bonds were associated with the values of ~45 eV and 41eV, respectively. The observation of the 44.8eV single peak in the As (3d) binding energy in XPS spectrum of ZnO:As film implied that the As atoms occupied the Zn site of the ZnO lattice, which was consistent with the results obtained by Wahl (Wahl, et al., 2005). Asymmetric O1s peak was detected for the sample, which had a shoulder at the higher binding energy side fitting with Gaussian distribution. The buildup of two peaks at 530.53 and 531.93eV was observed. The domination peak at 530.53 was attributed to the O²⁻ ion in the wurtzite structure surrounded by the Zn ions. The peak at 531.93eV was assigned to loosely bound oxygen, such as absorbed O₂ or adsorbed H₂O on the ZnO surface or H-implanted in the fabrication of ZnO:As film, which was consistent with the result of SIMS. Two peaks at 1021.73eV and 1044.83eV with a spin-orbit splitting of 23.1eV, corresponding to Zn2p3/2 and Zn2p1/2, respectively were seen in Zn2p XPS spectrum, which coincided with the findings for the Zn²⁺ bound to oxygen in the ZnO Matrix.



Fig. 22. (a) As3d, (b) Zn2p and (c) O1s core-level spectra for ZnO:As film.

Hall effect measurements were performed on As-doped ZnO films on glass and SiO_2/Si substrates at room temperature. The electrical properties of As-doped ZnO films on glass substrates are summarized in Tables 6 (Fan, et al., 2007a) and 7.

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Samples	Substrate temperature (°C)	Mobility (cm ² /Vs)	Resistivity (Ωcm)	Carrier concentration (cm ⁻³)	Туре
А	50	0.979	1.84	3.46×10 ¹⁸	n
в	250	0.891	7.8	8.99×1017	р
С	350	0.3	30.3	6.88×10^{17}	р
D	450	1.96	90.7	3.51×10 ¹⁶	n

Table 6. Electrical properties of As-doped ZnO films on glass at different substrate temperatures with RF power of 120W. From Ref.(Fan, et al., 2007a).

Samples	RF power (W)	Mobility (cm ² /Vs)	Resistivity (Ωcm)	Carrier concentration (cm ⁻³)	Туре
С	120	0.3	30.3	6.88×10^{17}	р
Е	150	0.935	48.2	1.39×10^{17}	n

Table 7. Electrical properties of As-doped ZnO films on glass with various RF powers at 350°C.

From Tables 6 and 7, it can be seen that the As-doped ZnO films fabricated with various conditions exhibited different conduction types. The film grown at 50°C (SampleA) was n type with a carrier concentration of 3.46×10^{18} cm⁻³, a mobility of 0.979 cm²/Vs, a resistivity of 1.84Ω cm, however, Sample B, deposited at 250°C, became p-type ($p = 8.99 \times 10^{17}$ cm⁻³, $\mu_h = 0.891 \text{ cm}^2/\text{Vs}$, R= 7.8 Ω cm), Sample C grown at 350°C, showed p type, exhibiting a 6.88×10^{17} cm⁻³ carrier concentration, a $0.3 \text{ cm}^2/\text{Vs}$ mobility and 30.3Ω cm resistivity. While the substrate temperature was increased to 450° C or rf power became 150W from 120W at 350°C, corresponding to Sample D ($n = 3.51 \times 10^{16}$ cm⁻³, $\mu_e = 1.96$ cm²/Vs, R= 90.7 Ω cm) and sample E ($n = 1.39 \times 10^{17}$ cm⁻³, $\mu_e = 0.935 \text{ cm}^2/\text{Vs}$, R= 48.2 Ω cm), respectively, the samples exhibited n type.

For the mechanism of p-type conductivity of ZnO by doping large-size-match impurites, such as P, As and Sb, Limpijumnong et al proposed $As_{Zn}-2V_{Zn}$ acceptor Model (Limpijumnong, et al., 2005; Fan, et al., 2007a). This doping mechanism differs from the substitution mechanism of As into O site (As_O), in which As atom occupies Zn antisite, not O sites, forming $As_{Zn}-2V_{Zn}$ acceptor with the ionization energy of 0.15eV. The process can be written as:

$$As_{Zn}^{3+} - V_{Zn}^{2-} \rightarrow (As_{Zn} - V_{Zn})^{+}$$
 (1)

$$\left(\operatorname{As}_{Zn} - \operatorname{V}_{Zn}\right)^{+} + \operatorname{V}_{Zn}^{2-} \to \left(\operatorname{As}_{Zn} - 2\operatorname{V}_{Zn}\right)^{-}$$
⁽²⁾

The formation energy of $As_{Zn}-2V_{Zn}$ is lower than that of As_{Zn} and $As_{Zn}-V_{Zn}$ and its formation is easier, comparatively. Both As_{Zn} and $As_{Zn}-V_{Zn}$ are donors and $As_{Zn}-2V_{Zn}$ is acceptor. Zn_3As_2 is a p-type semiconductor materials. Obviously, the p-type conductivity of the As-doped films deposited for this study can be attributed to Zn_3As_2 and/or $As_{Zn}-2V_{Zn}$ complex, while the n-type conductivity is due to As_{Zn} , $As_{Zn}-V_{Zn}$, intrinsic donor defects (Vo and Zni) and hydrogen as an unintentional extrinsic donor in ZnO films. For Sample A,

grown at 50°C, the electron concentration associated with donor defects (As_{Zn} , $As_{Zn}-V_{Zn}$, Vo, Zni and H) was higher than the hole concentration related to Zn_3As_2 and $As_{Zn}-2V_{Zn}$ complex, and for sample B, deposited at 250°C, was lower, therefore, sampleA showed n-type conduction and sample B was p-type. For sample C, ZnO:As films deposited at 350°C, only $As_{Zn}-2V_{Zn}$ complex acted as acceptor in the film, therefore, hole in the film decreased when substrate temperature increased from 250 to 350°C, however, it was majority carrier. The n-type conductivity of samplesD and E was mostly due to form plentiful As_{Zn} and $As_{Zn}-V_{Zn}$, resulting in electron became majority carrier.

In attempting to further understand the thermal induced n-to-p-type conversion of the ZnO:As films, we have carried out Ar-atmosphere annealing study on the n-type ZnO:As film grown on the glass substrate at 450°C. After 400°C annealing for 60min, the film was converted from n type to p type having $p = 9.10 \times 10^{15}$ cm⁻³, as shown in table 8.

Annealing time (min)	Mobility (cm ² /Vs)	Resistyvity (Ωcm)	Carrier concentration (cm ⁻³)	Туре
20	2.91	88.7	2.42×10 ¹⁶	n
40	3.69	176	9.61×10 ¹⁵	n
60	4.07	169	9.10×10 ¹⁵	р

Table 8. Electrical properties of ZnO:As film (SampleD) post-annealing. From Ref.(Fan, et al., 2007a).

Similar changes of the conduction type were also found for As-doping ZnO films fabricated on SiO₂/Si substrates with various conditions, as summarized in table 9, which was agreement with the $As_{Zn}-2V_{Zn}$ acceptor Model (Fan & Xie, 2008b).

Samples	RF power (W)	Substrate temperature (°C)	Resistuvity (Ωcm)	Mobility (cm ² /Vs)	Carrier concentration (cm ⁻³)	Туре
S 1	100	350	36.1	2.76	6.26×10 ¹⁶	Р
S2	120	350	66.3	4.44	2.12×10 ¹⁶	Р
S 3	150	350	15.6	1.32	3.03×10 ¹⁷	Р
S4	120	300	15.55	13.3	3.02×10 ¹⁶	n
S5	120	400	10.35	6.08	9.92×10 ¹⁶	р

Table 9. Growth parameters and electrical properties of As-doped ZnO films fabricated on SiO₂/Si substrates. From Ref.(Fan&Xie, 2008b).

Figure 23 shows the optical transmittance spectra of undoped ZnO and ZnO:As films deposited on glass at 350°C. The transmittances were over 70% in the visible region and the fundamental absorption edges were clearly observed the films. Compared the absorption edges of the films, the absorption edge of ZnO:As film shifted to short-wavelength, the phenomenon can be observed in the inset of Figure 23, which reveals the relationship between absorption coefficient and photo energy. The optical band gap (Eg) value was obtained by extrapolating the linear portion to photo energy axis. As shown in the inset of Figure 23, the Eg values of the films blueshifted from 3.271 to 3.325eV.



Fig. 23. Transmittance spectra of undoped ZnO (a) and ZnO:As (b) films deposited on glass at 350°C. The inset is the (ahv)² vs hv curves for the optical band gap determination in the films.

Figure 24 shows the NBE PL spectrum at 10K of the p-type ZnO:As film deposited on SiO_2/Si substrate. This film shows the dominant A⁰X at 3.337eV and small emission lines such as the free electron to acceptor recombination (FA) at 3.282 eV and the donor acceptor pair emissions at 3.236, 3.197 and 3.158eV (Fan, et al., 2009; Fan, et al., 2010).

The acceptor binding energy (ionization energy) can be calculated by equation (1)

$$E_{A} = E_{gap} - E_{FA} + k_{B}T/2,$$
 (1)

where E_{gap} =3.437eV and E_{FA} =3.282eV were the band gap energy and the temperature dependent transition energy, respectively. The value of E_A was calculated to be 155meV, which was nearly consistent with the ionization energy of As_{Zn}-2V_{Zn} as a shallow acceptor in ZnO (=0.15 eV). That is the As substitutes on the Zn site, then it induces two Zn-vacancy acceptors, forming As_{Zn}-2V_{Zn} acceptor, which is good agreement with the result about the A3d XPS spectrum.



Fig. 24. NBE region of 10 K PL spectrum for p-type ZnO:As film deposited on SiO_2/Si substrate.

5.3 As doped ZnO films prepared by co-sputtering Zn₃As₂ and ZnO targets

As-doped ZnO films were deposited on glass substrates by co-sputtering two targets: a pure ZnO and a pure Zn₃As₂. The working argon pressure was kept at 1.0Pa, and the substrate temperature was in the range of 250 to 500°C. The films were deposited with the RF power of 100W on ZnO target and various direct current (DC)powers (0, 2.5, 7.6 and 9.7W) on Zn₃As₂ target. The grown parameters of the films were summarized in Table10. After deposition, post-annealing was carried out for SampleG at 350 and 450°C, respectively, in Ar ambient for 30min. The thickness of the films was in the range of 100 to 200nm.

Samples	DC power on Zn ₃ As ₂ target (W)	Substrate temperature (°C)
F	0	350
G	7.6	350
Н	9.7	350
Ι	2.5	250
J	2.5	300
K	2.5	350
L	2.5	400
М	2.5	450
N	2.5	500

Table 10. The grown parameters of As-doped ZnO by co-sputtering.



Fig. 25. XRD patterns of As-doped ZnO films with different DC powers on Zn₃As₂ target: (F) 0W, (G) 7.6 W, and (H) 9.7 W. From Ref.(Fan, et al., 2007b).

XRD spectra of the As-doped ZnO films grown with various DC powers on Zn₃As₂ target had a dominate peak at about 34.4° corresponding to the ZnO (002), and no peaks about Zn₃As₂, as shown in Figure 25, which indicated the films had single phase wurtzite structure and the c-axis preferred orientation. The appearance of ZnO(101) peak for sample H showed that the crystallinity of the film degredated due to mass As doping, observably. Figure 26 showed the XRD patterns of As-doped ZnO films deposited at different temperatures. The peak of Zn₃As₂ (111) in the XRD pattern of the film deposited at 250°C indicated that the film was ZnO/Zn₃As₂ or ZnO:As/Zn₃As₂ one. For the samples fabricated at T >250°C, only ZnO peaks such as (100), (002), (101), (103) and (004), were observed, indicating that the films were ZnO:As ones. Obviously, the crystallinity of the films improved when the temperature increased from 250 to 400°C, and then became poor when T>400°C. This can be interpreted from nucleation theory.



Fig. 26. XRD patterns of As-doped ZnO films grown at various temperatures: 250°C(I), 300°C (J), 350 °C(K), 400°C (L), 450 °C (M), and 500 °C(N). From Ref.(Fan&Xie, 2008a).

The typical XRD patterns of as-grown and annealed ZnO:As films were shown in Figure 27. It can be seen that the ZnO:As films remained the c-axis preferred orientation and the crystallinity did not change observably with annealing treatment in our experiment.



Fig. 27. XRD patterns of the as-grown SampleG and annealed ZnO:As films with different annealing temperatures.

The surface morphology of As-doped ZnO films by co-sputtering were analysized using SEM. Figure 28 showed the SEM micrographs of ZnO:As film(SampleG: DC power of 7.6W on Zn_3As_2 target) and SampleG+annealing at 450°C. The film had a homogeneous surface formed by nano-grains and post-annealing in our experiment did not change the quality of the film, observably, which was consistent with the XRD result (Figure 27).



Fig. 28. The SEM micrographs of ZnO:As film(SampleG)(a) and SampleG+annealing at 450°C(b).



Fig. 29. The SEM micrographs of ZnO:As films deposited at various temperatures: $350^{\circ}C(a)$, $400^{\circ}C(b)$, (c) $450^{\circ}C$, (d) the higher resolution of Fig.29.b.

The SEM micrographs of ZnO:As films deposited with DC power of 2.5W on Zn₃As₂ target at substrate temperatures from 350 to 450°C, corresponding to SamplesK, L and M, respectively. It can been that the ZnO:As films with c-axis preferred orientation had

homogeneous surface formed by globle-like grains, indicating the high quality of the films, the nonuniform surface of Sample M grown at 450°C was attributed that excessive As atoms in ZnO structure lead to poor crystallinity at higher temperature. It was noted that the grains like ones in the film deposited at 450°C (Figure 29c) was detected in the film deposited at 400°C, as shown in Figure 29d.

XPS analysis was performed for ZnO:As films deposited by co-sputtering to investigate bonding state of As in the films. The typical XPS patterns were shown in Figure 30. Only a peak at 44.8eV was detected in As3d XPS spectrum, corresponding to As-O, indicating that As was in its oxidization state and it replaced Zn site in the ZnO:As film. A dominated symmetric O 1s peak at 531.2eV was observed, as shown in Figure 30b, indicating the O^{2–} ion in the wurtzite structure surrounded by the Zn ions. Figure 30c shows two peaks at 1021.7 and 1044.9eV, corresponding to Zn2p3/2 and Zn2p1/2, respectively. The results about As and Zn chemical bonding were similar to the ones obtained for p-type ZnO:As film gown by sputtering Zn₃As₂/ZnO target.



Fig. 30. The typical (a) As3d, (b) Zn2p and (c) O1s core-level spectra for ZnO:As film grown by co-sputtering (SampleH).

The electrical properties of As-doped ZnO films grown with different conditions were characterized by Hall effect measurements at room temperature. The results were summarized in Tables11 and 12. It noted that the as-grown ZnO:As films deposited with various conditions exhibited different conduction types. For Sample G, deposited at 350°C with DC power of 7.6W on Zn₃As₂ target showed n type conductivity: $n = 1.33 \times 10^{18} \text{cm}^{-3}$, $\mu_e = 0.215 \text{cm}^2/\text{Vs}$, R= 21.8 Ω cm, however, SampleH, grown at 350°C with DC power of 9.7W on Zn₃As₂ target, exhibited p type behavior with a carrier concentration of 8.42×10¹⁶ cm⁻³, a

mobility of $0.717 \text{cm}^2/\text{Vs}$, a resistivity of $103.5 \,\Omega\text{cm}$. The change of the conduction type of the films was attributed that plentiful $\text{As}_{\text{Zn}}\text{-}2\text{V}_{\text{Zn}}$ acceptor in the film when DC power increased from 7.6W to 9.7W, resulting in hole became majority carrier. In Table11, Also noted that the conduction type of Sample G changed from n type to p type after annealing, which was owed that annealing made reactions (1) and (2) continue, forming more $\text{As}_{\text{Zn}}\text{-}2\text{V}_{\text{Zn}}$ acceptor in the film.

When DC power on Zn_3As_2 target remained 2.5W, the As-doped ZnO films were grown at different temperatures from 250 to 500°C, corresponding to Samples I, L, K, M and N, respectively. The electrical properties of the films was shown in Table 12 (Fan&Xie, 2008a). As shown in this table, the conduction type of As-doped ZnO films conversed from n-type to p-type with increasing the substrate temperature. The films grown at 250 and 300°C and the ones grown at 400, 450, 500°C were n-type and p-type, respectively while the film deposited at 350°C showed unstable electrical behavior between n- and p-type. The change of the conduction type of the films could also be attributed to form more As_{Zn} - V_{Zn} acceptor in the films grown at T≥ 400°C. The reduction of carrier concentration from 7.40×10¹⁶ to 1.64×10¹⁶cm⁻³ with temperature increasing from 400 to 500°C may be due to plentiful As_{Zn} , As_{Zn} - V_{Zn} donors at excessive temperature, such as 450 and 500°C. In addition, the degeneration of crystallinity of the films deposited at excessive temperature may form a large number intrinsic donors, such as Zni and Vo, compensating for hole in the films.

Samples	Resistivity (Ωcm)	Mobility (cm ² /Vs)	Carrier concentration (cm ⁻³)	Туре
F	4.17	1.88	7.95×10 ¹⁷	n
G	21.8	0.215	1.33×10 ¹⁸	n
Н	103.5	0.717	8.42×10 ¹⁶	р
G+annealing(350°C	64.4	0.143	6.78×10 ¹⁷	р
G+annealing(450°C	57.2	0.565	1.94×10 ¹⁷	р

Table 11. Electrical properties of undoped and As-doped ZnO films deposited with different DC powers on Zn_3As_2 target at 350°C.

Substrate temperature (°C)	Resistivity (Ω cm)	Mobility (cm ² /Vs)	Carrier concentration (cm ⁻³)	Туре	
250	4.71	0.613	2.16×10 ¹⁸	n	
300	6.54	0.995	9.59×10 ¹⁷	n	
350	51.1	0.248/0.0482	$4.92 \times 10^{17} / 2.53 \times 10^{18}$	n/p	
400	103	0.605	7.40×10 ¹⁶	р	
450	139	0.957	6.34×10 ¹⁶	р	
500	248	1.53	1.64×10 ¹⁶	р	

Table 12. Electrical properties of As-doped ZnO films deposited at various temperatures with 2.5W DC power on Zn₃As₂ target. From Ref.(Fan & Xie, 2008a).



Fig. 31. Transmittance spectra of as-grown ZnO films with different DC powers on Zn_3As_2 target: (F) 0W, (G) 7.6 W, and (H) 9.7 W. The inset is the $(\alpha hv)^2$ vs hv curves for the optical band gap determination in the films. From Ref.(Fan, et al., 2007b).



Fig. 32. Transmittance spectra of As-doped ZnO films grown at various temperatures: $250^{\circ}C(I)$, $300^{\circ}C(J)$, $350^{\circ}C(K)$, $400^{\circ}C(L)$, $450^{\circ}C(M)$, and $500^{\circ}C(N)$. The inset is the $(\alpha hv)^2 vs$ hv curves for the optical band gap determination in the films. From Ref.(Fan & Xie, 2008a).

Figure 31 shows the optical transmittance spectra of undoped and As-doped ZnO films (Samples F,G and H). The transmittances were over 70% in visible region. The absorption edge of the films shifted to short-wavelength as DC power on Zn₃As₂ target increased, indicating that the Eg of the films blueshifted, as shown in the inset in Figure 31. From XRD results, the phenomenon was attributed to the effects of As doping on the crystallinity of the films. In a simplified physical model, the structure can be considered as various nanocrystalline islands embedded in a matrix of amorphous ZnO. The absorption of photon was mainly ascribed to amorphous ZnO phase in the films and as the fraction of amorphous ZnO phase in the films increased, the extended localization in the conduction and valence

band increased. For the As-doped ZnO films deposited with different DC power on Zn₃As₂ target, the c-axis preferred orientation of the films became weaker and the crystallinity of the films degradated with DC power increased (Figure 25), resulting in amorphous ZnO phase in the films increased, therefore, the Eg value blueshifted from 3.22 to 3.34eV. Similar phenomenon was also observed in the transmittance spectra of As-doped ZnO films grown at various temperature, as shown in Figure 32 (Fan & Xie, 2008a). The shift of Eg value in Figure 32 was also owned to amorphous ZnO phase in the films. The crystallinity of ZnO films improved and the amorphous ZnO phase in the films decreased when the substrate temperature increased from 250 to 400°C, the Eg value red-shifed from 3.288 to 3.275eV. For p-type As-doped ZnO films grown at T≥ 400°C, the crystallinity of the films became poorer and the amorphous ZnO phase in the films increased, the amorphous ZnO phase in the films decreased when the substrate temperature increased from 250 to 400°C, the Eg value red-shifed from 3.288 to 3.275eV. For p-type As-doped ZnO films grown at T≥ 400°C, the crystallinity of the films became poorer and the amorphous ZnO phase in the films increased, the Eg value blue-shifed from 3.275 to 3.302eV.

6. Conclusion

p-Type ZnO:As films with hole concentration $10^{16}-10^{17}$ cm⁻³ were fabricated on glass and SiO₂/Si substrates at different temperatures by sputtering Zn₃As₂/ZnO target or cosputtering Zn₃As₂ and ZnO targets, demonstrating two new approaches to obtain p-type ZnO films for the development of ZnO-based optoelectronic devices. We studied the structural, electrical and optical properties of As-doped films with various methods and discussed the changes of conduction type of As-doped ZnO films deposited with different conditions. XPS results revealed that As was in its oxidization state and it occupied the Zn site in ZnO:As films. PL investigation showed that the acceptor binding energy was ~150 meV. Both XPS and PL results were consistent with As_{Zn}-2V_{Zn} acceptor model about p-type conductivity of As-doped ZnO film proposed by Limpijumnong *et al.*, which helped to understand the microscopic structure of As in As-doped ZnO and the microscopic origin of p-type ZnO by doping large-size-mismatched impurities.

7. Acknowledgement

The work was supported by the Fundamental Research Funds for the Central Universities (Contract No.: 531107040334) and the Aid Program for Science and Technology Innovative Research Team in Higher Educational Institution of Hunan Province. The authors would like to acknowledge Yujia Zeng in State Key Laboratory of Silicon Materials (Zhejiang University) for Hall effect measurement.

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Optoelectronics - Materials and Techniques Edited by Prof. P. Predeep

ISBN 978-953-307-276-0 Hard cover, 484 pages Publisher InTech Published online 26, September, 2011 Published in print edition September, 2011

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J.C. Fan, C.C. Ling and Z. Xie (2011). Fabrication and Characterization of As Doped p-Type ZnO Films Grown by Magnetron Sputtering, Optoelectronics - Materials and Techniques, Prof. P. Predeep (Ed.), ISBN: 978-953-307-276-0, InTech, Available from: http://www.intechopen.com/books/optoelectronics-materials-and-techniques/fabrication-and-characterization-of-as-doped-p-type-zno-films-grown-by-magnetron-sputtering

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