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## About Grafting of Single-Walled Carbon Nanotubes on the Oligo-N-vinyl Carbazole and Copolymer Involving N-vinylcarbazole and Hexylthiophene

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## 1. Introduction

In recent years the use of Single-Walled Carbon Nanotubes (SWNTs) at the macroscopic scale by embedding them into a polymer matrix as well as the transfer of their physical properties to a polymer have attracted considerable interest (Andrés & Blau, 2008; Canestraro et al., 2006; Zheng et al., 2009). Due to their excellent structural, mechanical and electronic properties, SWNTs could significantly improve the mechanical and optoelectronic properties of the polymer (Chen et al., 2009; Popov, 2004; Ryabenko et al., 2004). Consequently, reinforcing polymer with SWNTs can form high-performance polymer composites or nanocomposites, which could be used in manufacturing electronic organic devices such as nano-electronic displays (Bondavall et al., 2009; Capek, 2009; Fantini et al., 2009; Meng et al, 2009; Saunders & Turner, 2008), chemical sensors (Ahuja & Kumar, 2009), biosensors (Tam et al. 2009; Tripisciano et al., 2009). However, SWNTs are hardly soluble in common solvents and poor compatible with the polymer. Thus the uniformity of the dispersion process is not optimized and the resulting nanocomposite is often inhomogeneous and has undesirable properties. Among the factors innhibing the dispersion process is the shearing cohesiveness between SWNTs, which is governed by van der Waals interactions (Byron et al., 2006; Schroder et al., 2003). Different procedures have been applied in order to obtain more dispersed SWNTs. These procedures are essentially based on the centrifugation (Cathcart et al., 2009), sonification (Park et al., 2002; Brown et al., 2005) and the choice of solvent (Lau et al., 2005; Ganter, et al., 2009). Therefore, the quality of resulting composite is directly bound to the amount of SWNTs and the choice of the reactionnal medium and method.

In parallel, it was shown that Poly(N-vinylcarbazole) (PVK) is an attractive polymer owing to its photoconductive properties (Caste et al., 2003; Tang et al., 2008) and it has be used in xerographic systems (Moisan et al., 1991). This non-conjugated polymer is currently used as a good hole transporting material in photovoltaic devices (Barlier et al., 2009) or as a luminescent polymer emitting in the blue part when prepared in nanoparticles (Yoon et al., 2008). Otherwise, it can be easily doped with various dopant species (Safoula et al., 1998), which seems to be a good candidate for SWNTs functionalization. In the other hand, PVK

has been mixed with inorganic or organic compounds to achieve emitting layers for new promising optoelectronics devices strongly improving the luminescence efficiency (Yap et al., 2009; Qiu et al., 2001).

Furthermore, recent works have shown that the use of both electron donating materials (polyparaphenylene, poly(3-alkylthiophene), carbazole based polymers...) and electron acceptor materials (fullurenes, carbon nanotubes) in heterojunctions can yield highly efficient photovoltaic conversion (Zhu et al., 2009; Cai et al., 2009). Poly (3-alkylthiophene) presents an interesting family of conjugated polymer regarding its optical, transport and electronic properties (McCulloug, 1998; Akcecelrud et al., 2003; On Chan et al., 1998). Moreover, the most promising hetero-junction was obtained by mixing poly(3-hexylthiophene) (P3HT) as a donor polymer with phenyl C<sub>61</sub>-butyric acid methyl ester (PCBM) as an acceptor compound and the obtained materials exhibit a conversion efficiency of ~ 5% (Kawano et al., 2009). Otherwise, it has been demonstrated that grafting of PVK to the thiophene (Th) based polymers exhibits a better optoelectronic properties (Chemek et al., 2010) compared to PVK or thiophene alone. In this context, it seems to be of interest to marry the properties of PVK/Th based copolymer with those of SWNTs.

In this chapter, we present in a first part a study of the evolution of the structural and optical properties of composites based on oligo-N-Vinyl carbazole (scheme 1a) mixed with SWNTs (scheme 1b), as a function of the solvent nature and temperature annealing (Wu et al., 2002). Our aim is firstly to reach a better functionalization process, which is directly bound to the amount of SWNTs allowed to a specific percolation threshold. Secondly, we tray to describe the grafting process between carbon nanotubes and the OVK molecules for which we report theoretical studies based on Density Functional Theory (DFT). From this systematic study, some other effects, such the solvent nature and annealing treatment effects on the SWNTs dispersion process can be also envisaged.

The second part of this chapter is however aimed on the investigation of a dependant concentration study of a novel polymer/SWNTs blend. The used polymer (scheme 1c) is based on Poly(N-vinylcarbazole) (PVK) and Poly(3-hexylthiophene) (P3HeT) named PVK-3HT, synthesized by chemical oxidative way, using FeCl<sub>3</sub> as an oxidant. The chemical synthesis way is similar to that used for the synthesis of the graft copolymer based on



Scheme 1. Chemical structures of OVK (a), of the SWNTs (b) and of the PVK-3HT graft copolymer (c).

Poly(N-vinylcarbazole) (PVK) and Poly(3-methylthiophene) (PMeT) named PVK-MeT (Chemek et al., 2010).

As our works is mainly focused on the relationship between the structure and the optoelectronic properties of the SWNTs/polymer, the obtained composites (oligo-N-Vinyl carbazole or copolymer involving Poly(N-Vinylcarbazole)-hexylthiophene mixed with SWNTs) have been studied by infrared absorption, Raman scattering, UV-visible absorption and photoluminescence spectroscopy.

## 2. Functionalization of SWNTs with polymers

SWNTs used to prepare the composite were purchased from Sigma-Aldrich. SWNTs were produced by electric arc technique, having a diameter between 1.2 and 1.5 nm and a length varying from 2 to 5 µm as it is described elsewhere (Chapelle et al., 1998; Journet et al., 1999).

As the processing of SWNTs is generally blocked by their insolubility in most common solvents (Fantini et al., 2009), only the lower concentrations in weight can achieve a good dispersion process of carbon nanotube in the case of OVK polymer. In fact, the composite OVK/SWNTs have been obtained using either chloroform or chlorobenzene solvent at different SWNTs concentrations (Zaidi et al., 2010, Zaidi et al., 2011). In this chapitre, we give only resuts of the optimal concentration reashing a good functionalization process wihin intreactif character. In fact, it has been demonstrated that the maximum concentration reashing the above subject is 1.5% and 1.1% in SWNTs weight respectively when using chloroform and chlorobenzene solvents. These SWNTs weight concentrations are emphaziazed firstly by a homogenous SWNTs dispersion and secondly by a full dependant concentration study (Zaidi et al., 2011). When using (PVK- hexylthiophene), it is however found that we resahes 60% in the SWNTs weight, within a homogenous phase for which the obtained solution is progressively blacked with SWNTs contents. Therefore, it is of importance to give a dependant concentration study.

The composite obtention is based on the mixing procedure of SWNTs with the oligo-N-vinyl carbazole or with the polymer involving carbazole and hexyl-thiophene unit (figure 1), similarly to that described in some reports (Arab et al., 2005; Wu et al., 2002). All these composites are obtained by the use of either chloroform or chlorobenzene solvents. The polymers or the oligomer are firstly dissolved in the solvent. Then, a quantified ammount of already, dispersed SWNTs is added. In order to increase SWNTs weight concentration, the ammount of SWNTs are doubled from the one solution another ( $C_i=2(C_{i-1})$ ). This functionnalization process consists of two major steps, the dispersion and the sonication process.

## 2.1 Dispersion of SWNTs

The dispersion process consists to isolate the bundled SWNTs in order to facilate the functionnalisation process for eventual reactionnal intraction. For that, some appropriate weights of SWNTs relative to the desired concentrations are manually crushed. Then, each amount is introduced in a recipient and maintained under energetic agitation (figure 1a) by the use of a classical finger, qualified by a different rotational velocity varying from 6000 to 24000 tr/min, for the time of 75 min. Our optimal velocity of the classical finger is in the range of 14000 to 18000 tr/min. At this stage, constituing the dispersion process, the solution is progressively blacked and the corresponding volume shows a continious decrease.

### 2.2 Sonication of the melange polymer/SWNTs

For all desired SWNTs concentrations, the appropriate soluble fraction of either OVK or PVK –3HT must be alreaday prepared. After the dispersion process, the two components reperesenting the solution of both SWNTs or of the corresponding targets (OVK and PVK-3HT) must be mixed as soon as possible in order to exploit the dispersed state of the SWNTs. This task leads to some solutions with different SWNTs weight fractions. For a better homogenity of the SWNTs in the polymer matrix, this mixing procedure is followed by a sonication process using an ultrasonically bath for 30 min. The quality of the obtained homogenty can be preliminarly evidenced by the nature of the resulting solution. In fact, for lower SWNTs concentrations, generally we obtain a homogenous, visqous and light gray colored phase. However, highter SWNTs concentrations genrally show a bundled form, floating on the surface of the solution.

Experimental analyses can be made in solution or in thin film. In the case of OVK, composites (OVK and SWNTs) are deposited at room temperature with nearly uniform thickness on glass substrate for photoluminescence and Raman measurements. Silica and silicon were used respectively for optical absorption and infrared analysis. Before all hand, all substrates were cleaned in ultrasonically bath with deionized water and ethanol. In the case of PVK-3HT, as it is known, the processing in solution is easyer by referring to that in thin films state, all the measurement are done in solution using chloroform solvent. This choice is done to conserv the homogeniety of the SWNTs dispersion in order to carry out the effect of higher concentration in the lumminescent properties of the resulting composite.



Fig. 1. Procedure used for the composite preparation: (a) SWNTs dispersion, S0: dispersed SWNTs, S1: solution of OVK or PVK-Het in the appropriate solvent and (b) the obtained polymer/SWNTs at different SWNTs weight concentrations.

## 3. Properties of SWNTs functionalized with short oligo-N-vinyl-carbazole

Optical absorption, photoluminescence, infrared and Raman analyses were performed to argue the grafting of OVK on SWNTs in chloroform or chlorobenzene medium. Then, the effect of the nature of the solvent in the dispersion process and the eventual differences in the optical and vibrationnal properties are carried out.

### 3.1 Vibrational properties

Figure 2, shows infrared spectra of OVK, and OVK/SWNTs composites at both chloroform and chlorobenzene solvent. Adding SWNTs leads to some changes in infrared vibrationnal features of the OVK. Peaks assignments are based on the previousely published results on the PVK or PVK/ SWNTs composites prepared by other procedure (Dobruchowska et al., 2008). However, the band intensitie variations relative to the present composite is recently described in our previous paper, aimed to the grafting reaction between OVK and SWNTs (Zaidi et al., 2010). In the case of chloroform, new bands appear at 1048, 1064, 1076, 1133, 1174, 1297, 1369, 1718, 1763 and 2873 cm<sup>-1</sup>. As already reported in the literature (Baibarac et al. 2007), bands peaked at 1048, 1064, 1076, 1174 and 1718, 1763 cm-1 are the consequence of SWNTs insertion. The two peaks at 1133 and 2873 cm<sup>-1</sup> are assigned to aliphatic CH<sub>2</sub> in plane twist, and aliphatic CH<sub>2</sub> stretching, respectively. However, the band at 1297 cm<sup>-1</sup> are assigned to new C-C vibration, showing that SWNTs are successfully bonded with OVK. Moreover, some other bands centered at 418, 840, 1324, 1402, 1481, 1596, 1624 and 3047 cm<sup>-1</sup> disappear in the case of OVK/SWNTs composite. These bands are respectively attributed to the following vibrations: ring breathing, aliphatic C-C stretch, C-H deformation of vinylidene groups, CH<sub>2</sub> deformation of vinylidene groups, CH<sub>2</sub> rocking, CH<sub>2</sub> stretching, C-C stretching of benzene groups and symmetric CH-CH<sub>2</sub> vibration. On the other hand, bands at 717 and 742 cm-1, associated to the deformation and rocking modes of CH groups respectively, are severely diminished.



Fig. 2. Infrared spectra of: (a) OVK, and of OVK/SWNTs composite obtained using chloroform (b) and chlorobenzene (c) solvents.

In the chlorobenzene solvent, the spectrum reveals clear differences by referring to that obtained with chloroform. The introduction of SWNTs leads to some modifications in the infrared band positions and intensities of OVK. In the spectral region varying from 1100 to 1650, bands located at 1156, 1220, 1320, 1452, 1481, 1596 and 1624 cm<sup>-1</sup>, attributed to the diffrent stretching modes of vinylidene groups (Bertoncello et al., 2006; Dobruchowska et al., 2008; Wu et al., 2007), are decreased. Furthermore, two additional features, ranging from 1510-1570 and 1680-1780 cm<sup>-1</sup>, constituting habitually the vibration mode characteristics of C-C relative to SWNTs appeared. We suggest therefore that the nanoscopic structure of vinylidene groups is affected by adding SWNTs, without covalent attachment of both components. As we show band which appears at the 1297 cm<sup>-1</sup> in the case of chloroform solvent is not present. At the same time, bands relative to the SWNTs are very low in intensity, impliying that the functionnlaization process do not leads to a reactionnal effects. In figure 3, we present Raman spectra of OVK, SWNTs and OVK/SWNTs composites obtained at both medium (chlorobenzene or chloroform). For SWNTs and OVK/SWNTs composites spectra, the data are normalized to the main peak of the nanotube spectrum around 1590 cm<sup>-1</sup> (G band). Assignments of the full spectrum are strictly based on those of PVK, SWNTs and the composite PVK/SWNTs prepared by other procedure (Pei et al., 1999). The band intensity is however recently described (Zaidi et al., 2010, Zaidi et al., 2011).



Fig. 3. Raman spectra of: (a) SWNTs, (b) OVK, and of OVK/SWNTs composite obtained using chloroform (c) and chlorobenzene (d) solvents.

In both chloroform or chlorobenzene mediums, significant changes are clearly shown by adding SWNTs on the OVK spectrum. First, we note that the most intense vibrations of OVK (1126, 1306, 1423, 1635 cm<sup>-1</sup>), and of SWNTs (161, 327, 1572, 1273 and 1593 cm<sup>-1</sup>) are found in the OVK/SWNTs composite spectrum. However, the Raman bands of SWNTs are clearly observed, but those of OVK have severely diminished, due to their lower intensity. Secondly, some bands with relatively higher intensity (1168, 1204, 1379, 1384, 1442, 1470, 2873, 2902 and 2925 cm<sup>-1</sup>) in the case of OVK disappear. These bands are respectively ascribed to the following vibration modes: C-H deformation in benzene rings, rocking of

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methylene in polyvinyl, ring vibration, quinoid C-C stretching, C-H in hetero-five member ring vibration, C-N stretch, symmetric CH<sub>2</sub> stretch, asymmetric CH<sub>2</sub> stretch and CH-H<sub>2</sub> stretching.

Althought the composites spectra present a global shape similarity in full range frequencies, some interesting differences (figure 4) can be checked from both radial breathing and tangential modes (RBM and TM) when they are presented separately.



Fig. 4. Change on the rdaial breathing and tangentiel modes from SWNTs to the composite preapared using chloroform (b) and the chlorobenzene (c) solvents.

In fact, the band of SWNTs situated at 161 cm<sup>-1</sup> is associated to the RBM of isolated SWNTs. The appearance of an intense peak at 181 cm<sup>-1</sup> is attributed to the RBM of bundled SWNTs (Wang et al., 2004; Yang et al., 2006). The up-shift of 20 cm<sup>-1</sup> is related to the tube-tube interaction (Rao et al., 2001) and could be considered also as signature of SWNTs' aggregates. The peak position (v) of this band is related to the tube diameter (d) through the relation: v(cm<sup>-1</sup>) = 223.75/d (nm). This band indicates that, for SWNTs, the resonance occurs at the mean diameter of 1.38 nm. Modifications from SWNTs to the resulting composites are firstly limited to the up-shifting of the band at 161 cm<sup>-1</sup> towards 166 c cm<sup>-1</sup>. This shift can be related to the intercalation of the OVK oligomers into bundled SWNTs.

The band at 181 cm<sup>-1</sup> is severely diminished by the use of chlorobenzene solvent. We conclude therefore that the intercalation of the OVK molecules is homogenous in the case of the composite obtained using chlorobenzene solvent for which SWNTs have a mean diameter of 1.34 nm. This result supports potential role of the latter solvent in the dispersion process as the case of aromatic halogenated solvent (Ganter, et al., 2009).

These changes are related to the intercalation of the polymer into bundled SWNTs. For the TM (1500-1650 cm<sup>-1</sup>), by referring to that of SWNTs, except the narrowing effect, there is no significant changes, except the intensity decrease of the peak at 1571 cm<sup>-1</sup> which illustrates a more change from 2D to 3D symmetry (Eklund et al., 1995).. In fact, all these changes observed either in the chloroform or chlorobenzene solvents can be related to the charge transfer complex formation (Bendiab et al., 2002; Claye et al., 2001; Wise et al., 2004).

### **3.2 Optical properties**

Optical absorption spectra of OVK and OVK/SWNTs composites preapared by using either chloroform or chlorobenzene solvent are shown in figure 5. All mesaurements are collected at room temperature.

The main absorption bands of OVK are peaked at 232, 260, 294, 330 and 343 nm, as it is previously described in the case of PVK (Bertoncello et al., 2006). For the chloroform solvent and for wavelengths longer than 350 nm, OVK is transparent. Then, by referring to the OVK spectrum, the two bands at 232 and 343 nm sudden a slight red shift to 237 and 352 nm respectively. This red shift can be attributed to the interaction between SWNTs and OVK as it is reported in the case of multiwalled carbon nanotubes (MWCNs) (Wu et al., 2007). It is interesting to note that in the spectral region varying from 400 to 2000 nm (Zaidi et al., 2010), the OVK/SWNTs composite's absorbance decreases gradually similarly to those of halogendoped PVK (Safoula et al., 1998). Similar effect has been also demonstrated in the case of SWNTs/Polyaniline obtained by an electro-synthesis process (Huang et al., 2003). This spectral region ( $\lambda$ >400 nm), presents three Lorentzian line-shapes of maximum absorbance centered at 450, 943 and 1246 nm. These bands are situated at 720, 976 and 1823 nm in the SWNTs optical spectrum from which the last band (1823 nm) is the most intense and is attributed to the inter-band optical transition in the semi conducting SWNTs. Therefore, adding OVK to SWNTs leads to a blue shifting, showing that OVK is functionalized with SWNTs.



Fig. 5. Optical absorption spectra of OVK (a) and of OVK/SWNTs composites obtained either in chloroform (b) or in the chlorobenzene (c) solvents.

In the case of chlorobenzene and compared to the pristine OVK, (Bertoncello et al., 2006; Nam et al., 2002; Wu et al., 2007), new peaks appear around 210, 258, and 270 nm respectively, suggesting the formation of a charge transfer complex (Nam et al., 2002). Moreover, the three bands at 295, 331 and 344 nm are severely decreased, implying that SWNTs interacts with OVK (Wang et al., 2004; Wu et al., 2007). The main band in the blue side is blue-shifted, traducing the shorteness of the effective chain length of OVK (Yuna et al., 2008).

Figure 6 shows photoluminescence (PL) spectra of OVK and OVK/SWNTs composites. First, we note that PL spectrum of OVK is characterized by a broad emission situated in the spectral range 350-550 nm, which is constituted of three peaks. The pronounced peak located at 397 nm (3.12 eV) has been formerly assigned to lower-energy excimer, while the two lower intensity peaks are centered at 376 (3.29 eV) and 428 nm (2.90 eV), as recently described in literature (Upadhyay et al., 2008). In comparison with OVK, dramatic reduction of PL intensity is observed for OVK/SWNTs. The strength of PL quenching effect due to the SWNTs addition is more illustrated by the ratio ( $\Phi F/\Phi'F$ ) of photoluminescence intensities related to pristine OVK ( $\Phi F$ ) and OVK functionalized SWNTs (( $\Phi'F$ ), equal to 1.3 and 1.2 for the composite obtained by the use of chloroform and chlorobenzene respectively. Also, by inserting SWNTs, a slight red shift of the emission band at 392 nm (3.16 eV) to 404 nm (3.06 eV) has been observed in both cases. This quenching effect is caused by the scattering due to nanotubes presence (Wu et al., 2002). It is also noted that an energy tranfer has been occured, as shown by the mutual change of both Pl components situated at lower wavelength.



Fig. 6. PL spectra of OVK (a) and those of OVK/SWNTs composites obtained in chloroform (b) and in the chlorobenzene (c) solvents.

### 3.3 Grafting mechanism

From the above presented results, it appears that vibrationnal and optical properties of the resulting composite are derived from those of both OVK and SWNTs, as the case of PVK/SWNTs composites obtained by different experimental processes (Nam et al., 2002; Upadhyay et al., 2008; Wu et al., 2007). Thus, we can say that OVK is successfully functionalized with SWNTs but only in the case of chloroform solvent. In this context, infrared and Raman analysis show that the main perturbations induced in the chemical structure of OVK by adding SWNTs are limited to the vinylidene groups. Also a new C-C infrared band is created at 1297 cm<sup>-1</sup>.

All experimental results let to conclude that a covalent bonding of OVK takes place in the vinylidene groups as indicated in figure 7. The obtained structure in the case of chloroform solvent is a grafting of OVK on the nanotube side wall. This hypothesis is also supported by the changes observed in infrared spectrum of OVK, especially by the disappearance of peaks

at 1402, 1481, 1596, 3047 cm<sup>-1</sup> characteristic of vinylidene groups and the apparition of two C-H<sub>2</sub> features at 1133 and 2873 cm<sup>-1</sup>. Similar grafting reaction has been proposed for PVK/MWCNs (Eklund et al., 1995) and for PVK/C60 (Wang et al., 2004) composites. It is now difficult to confirm that all (n=P) or a few number (P<n) of vinylidene groups are grafted to the SWNTs. Also, if it is the case of a partial reaction, the reactive or unreactive units can be arranged or not.



Fig. 7. Covalent bonding of OVK on the SWNTs side wall.

### 4. Effect of annealing treatments

The composite film obtained after solvent-evaporation is introduced in an oven and is subsequently heated under dynamic secondary vacuum (10-7 bar) at the temperature of 333 K for about 1 h as it is previously described (Wu et al., 2002). The choice of this temperature is strictly based on the PVK thermal properties (Alimi et al., 1998).

#### 4.1 Vibrational properties

For infrared analysis and after annealing at 333 K (figure 8), no changes are observed in the case of chloroform solvent. However, in the case of chlorobenzene, the shape of infrared spectrum is strongly affected. More resolved spectra for which new bands appear at 1133, 1174, 1297, 1369, 1718, 1763 and 2873 cm<sup>-1</sup>. The obtained spectra are closed to that obtained with chloroform solvent. According to the literature (Wang et al., 2004), bands peaked at 1174, 1369, 1718 and 1763 cm<sup>-1</sup> are the signature of SWNTs. The others (1133, 1297 and 2873 cm<sup>-1</sup>) are respectively assigned to aliphatic CH<sub>2</sub> in plane twist, new C-C vibration and aliphatic CH<sub>2</sub> stretching respectively. The new band at 1297 cm<sup>-1</sup>, shows that SWNTs are successfully bonded with OVK. Moreover, some others bands centred at 418, 840, 1324, 1402, 1481, 1596, 1624, 3047 cm<sup>-1</sup>, in the case of OVK already presented in figure 2, disappear in the case OVK/SWNTs composites. These bands are mainly attributed to the different stretching mode of vinylidene groups. We think therefore that for such SWNTs weight

concentrations, a covalent attachment of OVK to the SWNTs takes place, via vinylidene groups as it is said before in the case of chloroform solvent in both annealed and not annealed states.



Fig. 8. Infrared spectra of OVK/SWNTs composite obtained by using chloroform (a) and chlorobenzene (b) solvents in the not annealed states and that of OVK/SWNTs obtained using chlobenzene and annealed at 333 K.

In the full spectrum of Raman frequencies, the shape of the spectrum is conserved in both cases. However, some differences are previously observed (Zaidi et al., 2010). It is recognized that annealing induces removal of solvent effect and more establishes the SWNTs functionalization. Then, it is also mentioned as the case of SWNTs produced either by an arc discharge or pulsed lasers (Coopera et al., 2001), that a new weak band appears at 1745 cm<sup>-1</sup>. This latter can be related to the presence of oxygen in surface, probably originating from carbonyl groups or SWNTs deformation. In the other hand, the D band at 1273 cm<sup>-1</sup> and the second order corresponding increase in intensity and undergo a slight upshift after annealing, supporting an indication of disorder in the graphite lattice or defects in nanotubes.

While the radial breathing and tangential vibration modes are apparently the same, the annealing treatment induces some differences in position and intensity which is strictly related to a better functionalization process (Figure 9).

It is shown that after annealing in both cases only the band at 166 c cm<sup>-1</sup> persists. Thus, SWNTs are in the isolated form and have a mean diameter of 1.34 nm. This indicates a more dispersion of the SWNTs into OVK matrix. For the tangential mode, we notice a slight upshift and a narrowing of the band at 1592 cm<sup>-1</sup> by the introduction of nanotubes. In fact, for lower OVK concentrations (higher SWNTs concentrations), the quantity of OVK intercalated between nanotubes could not lead to a destruction of bundled SWNTs. The introduction of OVK into bundled (decrease of the SWNTs concentration) increases the distance between



Fig. 9. Radial breathing and tangential modes in Raman spectra of: only SWNTS (a), composite OVK/SWNTs in chloroform: not heated (b) and heated at 333 K (c), composite OVK/SWNTs obtained in chlorobenzene: not heated (d) and heated at 333 K (d).

nanotubes and therefore interaction between them is decreased, explaining why width of peaks is weaker when nanotubes are introduced in the OVK Matrix. Then, as it is previously described (Claye et al., 2001; Rao et al., 1997) that doping SWNTs with either electron donors or acceptors resulted in noticeable shift in certain characteristic vibrational modes, especially removing charge from SWNTs (oxidizing) results in up-shift in the G band peak around 1590 c cm<sup>-1</sup>. This up shift is explained as the addition of some SP3 character to the SP2 hybridized orbitals. Removing electron density from these orbitals reduces the repulsion resulting in stronger net bonding and higher G band frequency. Moreover, the intensity of the peak at 1571 cm<sup>-1</sup> decreases after annealing at 333 K illustrating a change from 2D to 3D symmetry (Eklund et al., 1995). For more details, all these results are previousely described (Zaidi, et al., 2010)

### 4.2 Optical properties

After annealing both composites, as shown in figure 10, the strength of PL quenching effect became more prounonced. The ratio ( $\Phi F/\Phi'F$ ) of photoluminescence intensities related to pristine OVK ( $\Phi F$ ) and OVK functionalized SWNTs (('F) reashes 2.7 and 1.5 respectively for both composites. Moreover, a new vibronic structure appears at lower energy side of the emission spectrum centered at 499 nm (2.48 eV) is observed in the case of the chloroform solvent.

In fact, in both cases an energy transfer is occured as depicted by the mutual change of PL intensity between the two PL bands situated at lower wavelengths as it is reported for PPV/SWNTs (Mulazzi et al., 2006). This change implies the formation of new luminescent centre which corresponds probably to the defect related to the covalent bonding of SWNTs In fact, the band observed at 499 nm can be the consequence of annealing treatment or of the

SWNTs addition. A more clarification can be illustrated by the PL spectra of both annealed OVK and OVK/SWNTs composite recorded at 87 K (Figure 11).

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Fig. 10. PL spectra of: (a) only OVK, composite OVK/SWNTs in chloroform: not heated (b) and heated at 333K (c); composite OVK/SWNTs in chlobenzene: not heated (d) and heated at 333 K (e).



Fig. 11. PL spectra recorded at 87 K of annealed OVK (a) and annealed OVK/SWNTs (b).

As the new band appears only in the case of annealed OVK/SWNTs composite, it is, however, the consequence of SWNTs insertion. From figure 11, other modifications are also clearly seen. In fact, by referring to the OVK spectrum recorded at room temperature, peaks at 397 and 428 nm in the annealed OVK undergo a blue shift of 7 nm toward 390 and 421 nm respectively. Otherwise, the energy transfer induced by annealing is more pronounced for measurements at 87 K.

At present, SWNTs insertion leads to additional bands in the optical absorption and photoluminescence spectra which results from an electronic interaction between OVK and

SWNTs. A possible quenching mechanism originating from transfer of electron-hole pair (excitons) generated in OVK chain to SWNTs was already proposed (Baibarac et al. 2007b). In fact, the two PL maximums observed at 379 and 404 nm are considered originating from excimers formed in the partially and fully eclipsed configurations (Baibarac et al. 2007). Therefore, the variation of the PL spectra, traducing the energy transfer allows to conclude that adding SWNTs leads to the formation of fully eclipsed structure rather than partially eclipsed-one, showing that the resulting composite is homogenous and SWNTs are more dispersed in the OVK matrix.

### 5. Theoretical aspect

The structures of OVK oligomer or SWNTs either in the neutral or in the oxidized states have been fully optimized with the most popular Becke's three-parameter hybrid, B3 (Becke, 1993) with non local correlation of Lee-Yang-Parr, LYP (B3LYP) method (Lee et al., 1998). This method is based on density functional theory (DFT) for uniform electron gas and is used with the basis set such as 3-21G\* (Pietro et al., 1982). This basis is applied to other systems based polymers (Ayachi et al., 2006; DiCesare et al., 1998; Pickholz and Santos, 1999). For the resulting composite, it has been reported that the semi-empirical Austin Model (AM1) method is an effective tool for qualitative study of functionalized nanotubes (Wongchoosuk et al., 2009). For this reason, geometry structure optimization of OVK/SWNTs composite is carried out using AM1 method (Dewar et al., 1985). On both fully geometry-optimized structures of OVK and OVK/SWNTs composite, infrared vibrationnal frequencies are carried out using respectively ab-initio Hartree-Fock (HF) and Austin (AM1) semi-empirical calculations. All these methods (AM1, ab-initio: HF and DFT) are implemented in Gaussian 98 program (Frisch et al., 1998). In the other hand, force constants of both OVK and SWNTs in the neutral and oxidized states are carried out using Mopac 2000 program (Stewart, 1999).

### 5.1 OVK and SWNTs modelling structures

In general, the vibrationnal and electronic properties of polymers can be reproduced theoretically using a typical modeling structure of maximum seven to eight units (Ayachi et al., 2006; Wang et al., 2004). For OVK, our calculations are limited to four VK units (figure 12-a). For the SWNTs, in order to obtain the real diameter of the tube (1.3 nm), the modeling structure is the rolling up of a graphene's sheet, having each 14 rings (figure 12-b).

# 5.2 Prediction of reactive sites by the mean of force constants variation from neutral to oxidized states

First, to rationalize the reactive sites either in OVK or SWNTs, involving the grafting reaction, force constants between neighboring atoms in both neutral and oxidized states are made on the fully optimized structures for both OVK and SWNTs (figure 13). These force constants are the mean taken from the equivalent sites in both modeling structures. As shown, only a large shift is observed for  $F_{9}$ ,  $F_{10}$  and  $F_{11}$  in the case of OVK. These force constants are between neighboring carbon atoms in the vinylidene groups. However, in the case of nanotube the most significant variations are restricted to  $F_{1}$ ,  $F_{2}$  and  $F_{3}$  which traduce the bonding between carbon atoms, located in the side of SWNTs. These results indicate that the grafting process take place in the nanotube side wall.

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Fig. 12. OVK (a) and SWNTs (b) modelling structures optimized using DFT/B3LYP/3-21G\*.



Fig. 13. Main force constants of equivalent sites in the neutral (square symbol) or oxidized (circle symbol) states of OVK and of SWNTs.

### 5.3 Composite modelling structure

Based on the above changes in force constants, we suggest a grafting reaction between vinylidene groups and the nanotube side wall. If we consider that only a few number of VK monomers are effectively bonded with SWNTs, we propose a one VK unit grafted to the nanotube as a typical modeling structure (figure 14).



Fig. 14. OVK/SWNTs modelling structure.

### 5.4 Validity of the grafting process

To argue the above presented grafting mechanismm, our work is extended to a comparative study between experimental and theoritical vibrationnal frequencioes obtained either for OVK or OVK/SWNTs composite. Results are fully presented in our previous paper (Zaidi et al., 2010). It is demonstrated that a maximum typical shift of 40 cm<sup>-1</sup> is observed (Zaidi et al., 2010). For OVK, both spectra exhibit nearly the same shape in band positions and intensities, except both features at 1034 and 1625 cm<sup>-1</sup>. In fact, these two bands which are respectively attributed to aromatic C-C in plane deformation and C-C benzene stretching are strongly enhanced. This enhancement may be probably due to the absence of the steric effect. For the OVK/SWNTs, a partially accordance between experimental and theoretical band intensities is observed which might be principally due to the weight ratio (W<sub>SWNTs</sub>/W<sub>OVK</sub>) that is strongly shifted from the experimental-one. In fact, two SWNTs vibrationnal modes at 1048 and 1064 cm<sup>-1</sup> and another feature ascribed to C-C in plane deformation centered at 1118 cm<sup>-1</sup> are absent in the theoretical spectra. This behavior is probably related to the SWNTs length which is not taken into account in our modeling structure.

A similar computational study describing the functionalization of carbon nanocones by free radicals (Trzaskowsk et al., 2007), predicts that single-wall graphene nanocones can be selectively functionalized by methyl radicals, as well as by other free radicals. Such functionalization has been supported by infrared spectra of these compounds as a new intense C-C bond at 1171 cm<sup>-1</sup>.

### 5.5 Electronic structure

The effect of SWNTs insertion on the electronic structure has been elucidated by geometrical optimization using DFT/B3LYP/3-21G\* method. Adding SWNTs induces the modification of the electronic transitions in the absorption diagram as indicated in figure 15.

Through this energetic diagram, the most relevant transitions observed in the experimental spectra are reproduced. For OVK, the optical gap is around 3.3 eV which is 0.2 eV lower than that determined experimentally. In fact, this difference (LUMO-HOMO) has been



Fig. 15. Change of the electronic structure from OVK to OVK/SWNTs: (H: HOMO, L: LUMO).

calculated as a function of VK units number, using DFT/B3LYP/6-31G\* method (Pana et al., 2005; Yang et al., 2006) where results are nearly the same in our case. For SWNTs modeling structure, the optical gap is 1.38 eV. The calculated gap of the resulting nanocomposite is 2.5 eV which is 0.6 eV lower than that deduced from optical density measurements. This relatively large difference might be firstly due to the fact that calculations are done in gas phase. Secondly, the weight ratio ( $W_{SWNTs}/W_{OVK}$ ) of the model structure is largely higher than the experimental-one.

## 6. Properties of SWNTs functionalized with PVK-3HT

## 6.1 Vibrational properties

The Raman spectra of SWNTs and composite PVK-3HT/SWNTs, shown in Figure 16, contain two main features, a radial breathing mode (RBM) at low frequencies (~ 160 cm<sup>-1</sup>) and tangential G-band at high frequencies (1595 cm<sup>-1</sup>). In addition, an intermediate feature related to the disorder or defects on SWNTS with weaker intensity is also shown at 1250 cm<sup>-1</sup>.

Qualitatively, the two bands occurring at 1250 (D-band) and 1595 cm<sup>-1</sup> (G-band) are nearly the same in all cases of composite samples as well as in the case of purified nanotubes. Qualitatively, a part the band shapes of RBM for composites sensitive to the added SWNTs, a similar up-shift of around 5 cm<sup>-1</sup> of the band at 161cm<sup>-1</sup>, associated to the isolated SWNTs is observed. However, the significant second band appears in composites at 179 cm<sup>-1</sup> is attributed to the bundled SWNTS. Note that pure PVK-3HT hardly diffuses light and its spectrum has not shown.

It is well known that close examination of the radial breathing modes of the dispersed nanotubes can gives information about degrees of diameter selectivity when increasing weight of nanotubes (Dresselhaus et al., 2004). In order to appreciate the interaction within the PVK-3HT/SWNTs composites, here, we examine the radial breathing mode (RBM) features (in the first range: 145-200 cm<sup>-1</sup>) in SWNTs and the composites. Using the inverse



Fig. 16. Raman spectra of PVK-3HT/SWNTs composites at different weight ratio of SWNTs (0%, 3.75%, 7.5%, 15%, 30% and 60%).

relationship between RBM mode frequency and the tube diameter (d) proposed by Rao et all (Rao et al., 1997), the two bands located at about 166 and 179 cm<sup>-1</sup>, respectively, attributed to the RBM of SWNTs activated in isolated and bundled nanotubes, indicate that the resonance Raman occurs at the diameter varying from ~1.34 to 1.25 nm, taking into account that SWNTs have diameter around 1.39 nm.

Figure 17 displays normalized optical infrared spectra of PVK-3HT, SWNTs and their corresponding PVK-3HT/SWNTs composite at weight ratio of 60%. The room temperature infrared spectra of PVK-3HT/SWNTs composite shown in Figure 17c exhibits seven characteristic modes of PVK, at 746 cm-1 (C-H rocking), at 921 cm<sup>-1</sup> (C-C ring vibration), at 1022 cm<sup>-1</sup> (aliphatic C-C rocking), at 1220 cm<sup>-1</sup> (C-N stretching), at 1330 cm<sup>-1</sup> (C-H deformation of vinylidene groups), at 1483 cm<sup>-1</sup> (CH2 rocking) and at 1676 cm<sup>-1</sup> (C-C benzene stretching). For the characteristic modes of 3-hexylthiophene (Figure 17a), the 723 cm<sup>-1</sup> is ascribed to C-H out-of-plane bending, the 798 cm<sup>-1</sup> is assigned to C-H out-of-plane bending, the 798 cm<sup>-1</sup> is assigned to C-H out-of-plane deformation of thiophene), the 1095 cm<sup>-1</sup> mode is from C-H in-plane bending of the thiophene rings, the 1261 cm<sup>-1</sup> mode corresponds to C-C stretching of the carbon which connect the thiophene rings and 1452 and 1598 cm<sup>-1</sup> are typical ring stretch modes. The observed results support the chemical structure of copolymer under study.

According to Ref (Kim et al., 2005), infrared absorbance bands for the SWNTs are observed at 1170, 1456, 1540, and 1734 cm<sup>-1</sup>. In our case, these bands appear at 1174, 1440, 1579 and

1710 cm<sup>-1</sup>, respectively. Compared to the pure PVK-3HT, for the composite sample, two new pronounced features at 1049 and 1384 cm<sup>-1</sup> appear and retain their oscillator strength. These features are assigned to C–C vibration of SWNTs and CH<sub>3</sub> deformation in 3HT, respectively. Significant changes in intensity are clearly shown by adding SWNTs. Then, some bonds were loosened, whereas other vibrations were hindered when passing from pristine copolymer to the composite. Besides, we note that the most intense vibrations of PVK-3HT and those of SWNTs are found in the PVK-3HT composite spectrum. Accordingly and combined to the Raman analysis, the whole vibrational results supported a strong PVK-3HT/SWNTs interaction.



Fig. 17. Normalized infrared spectra of: PVK-3HT (a), SWNTs (b) and PVK-3HT/SWNTs composite (60%) (c).

### 6.2 Optical properties

As it is noted; from vibrational propertries of the resulting composite when using the PVK-3HT polymer, SWNTs exists as isolated or bundled SWNTs. However, infrared, results supports the hypothesis that there is a grafting process which takes place in the hexylthiophenes rings. From optical absorption spectra presented in figure 18, we see that PVK-H3T absorbs in two separate spectral regions. The first range is situated the UV part at

around 240 and 300 nm and corresponds to the presence of bicarbazole units in the formed graft copolymer (Chemek et al., 2010). The second domain is situated in the visible part at around 430 nm and traduce to the presence of an extended conjugated main chain of Poly(3-hexylthiophene) in the obtained copolymer (Carley et al., 2009; McCullough, 1998). In fact; from optical spectra, it appears that there is a net difference between the pristine state of the polymer and the corresponding functionalized form with SWNTs, especially for the 1.85 SWNts weight content. Thus, at the blue side of the spectrum (wavelength lower than 400) relative to the PVK polymers, bands are nearly the same. However, the band at 430 nm shows a sudden decrease when adding SWNTs and undergoes a continuously blue-shiftwing, reflecting that there is a shortness of the effective conjugation length of these blocks (Wéry et al., 2003).



Fig. 18. Optical absorption at room temperature of pristine PVK-3HT (a) and those of PVK-3HT/SWNTs composites for different SWNTs weight concentrations (x): (a) Standard PVK-3HT, (b) x=1.87%, (c) x=3.75%, (d) x=7.5%, (e) x=60\%, (f) x=30\%, and (g) x=15\%.

Although, there is no significant changes observed in optical absorption spectra when increasing SWNTs weight concentration due to the already reached percolation threshold, the large amount of SWNTs either in grafted or not, or in isolated or bundled forms can influences the excitated states of the resulting composite (Massuyeau et al., 2007). Therefore, the luminescent properties must logically undergo a dependent evolution versus the SWNTs weight concentrations. In fact, as it is previously described, carbon nanotubes can present a good network for charge migration from short to long conjugation. Particularly, at higher SWNTs concentrations, it has been demonstrated that the PL originates globally from the recombination of excitons on a majority of short conjugated segments. In this limit, statistically, the portion of long conjugated segments becomes negligible. These hot excitons can easily migrate due to their mobility (Chu et al., 2008) and then a separation process can be take place when reaching the SWNTs network. This assumption is consistent with the PL quenching, reflecting a decrease in quantum yield efficiency and the rise of the conductivity

and the photoconductivity when x increases. Based on these assymptions, and for better understanding of the excited state dynamics of the prepared composites, time-resolved photoluminescence (TRPL) technique at room temperature (RT) is recorded (figure 19).



Fig. 19. Transient photoluminescence (TRPL) spectra in chloroform solution of pristine PVK-3HT (a) and those of PVK-3HT/SWNT composites for different SWNTs weight concentrations (x) of SWNT: (b) x=1.87%, (c) x=3.75%, (d) x=7.5%, (e) x=15%, (f) x=30%, (g) x=60%.

All the spectra relative to all SWNTs weight concentrations are collected with excitation of 400 nm using seep range of 1 ns. First, it is shown that the hexylthiophene influences the maximum emission to reach 572 nm rather than 300 nm for OVK/SWNTs. The quenching of luminescence intensity is observed with a continuous blue shiftwing of the maximum emission. The latter is detected at 572 nm for the pristine PVK-3HT rashes 550 nm for higher SWNTs contents. The blue shift observed in the TRPL spectra is in quite agreement with the observation in the optical absorption spectra, and supporting the gradual decrease of the conjugation length in PVK-3HT, backbone consequently on the lifetime of the resulting excitons.

3D-maps TRPL obtained with energy emission versus time (range 0-1 ns) and intensity in false color of PVK-3HeT and PVK-3HT/SWNTs prepared composite for different weight concentration x (0%, 1.87%, 7.5%, 15%, 30% and 60%) are presented in figure 20. In this case, 3D-maps colors going from blue to red represent the increasing of the PL intensity.

To obtain a better understanding on how the SWNTs influence the excited states dynamics on the PVK-3HT, we analyzed the TRPL decays on the different prepared composites. Figure 21 shows the normalized TRPL decay dynamics on a logarithmic scale in the range of 0-1ns. Compared to the pristine PVK-3HT, the increase of SWNTs weight concentration progressively induces a faster decays time. A comparable behavior has been observed on the transient decays time of the prepared composite of PPV and SWNTs (Massuyeau et al., 2007).



Fig. 20. Transient photoluminescence contour maps in false color of standard PVK-H3T (a) and those of PVK-3HT/SWNTs composites for different mass concentrations x of SWNT. (a) Standard PVK-3HT, (b) x = 1.87%, (c) x = 7.5%, (d) x = 15%, (e) x = 30%, (f) x = 60%.



Fig. 21. Transient photoluminescence decays spectra of pristine PVK-3HT (a) and those of PVK-3HT/SWNT composites for different weight concentrations (x): (b) x=1.87%, (c) x=3.75%, (d) x=7.5%, (e) x=15%, (f) x=30% and (g) x=60%.

This behavior supports the hypothesis that SWNTs in the composite present a good network for the charge migration from and their presence facilate also the separation process. Thus, as x increase, exciton migration under light excitation is favored, resulting in carrier transport on SWNTs and PL quenching. These hot excitons can easily migrate due to their mobility (Chu et al., 2008) and then separate when reaching the SWNT network. This assumption is consistent with the PL quenching, a decrease in quantum yield efficiency and the rise of the conductivity and the photoconductivity when x increases.

## 7. Conclusion

The spontaneous covalent functionalization of SWNTs at the appropriate SWNTs weight concentrations with OVK has been demonstrated in a chloroform or chlorobenzene mediums, using optical absorption, photoluminescence, infrared and Raman spectroscopies. More dispersed SWNTs in the OVK matrix is obtained after annealing the resulting structure at the temperature of 333 K. In comparison with chloroform solvent, the chlorobenzene reveals a more SWNTs dispersion process but its potential to the eventual interaction between both components is limited. Otherwice, a homogenous dispersion process is achieved for SWNTs weight concentration lower than 1.10 %, but without covalent attachment between both components. However, annealing the resulting composites at the moderate temperature of 333 K induces strong modifications on their infrared and Raman vibrational modes, involving a covalent bonding between both components. Such a covalent bonding either using chlorobenzene or chloroform is a grafting of OVK oligomers from their vinylidene groups to the nanotubes side wall. To confirm the validity of the grafting mechanism, theoretical calculations including force constants, vibrational infrared frequencies and modification of electronic structure based on the DFT methods are accomplished.

In the case of PVK-3HT, the introduction of carbon nanotube induces a great effect in the optical properties. In particular, a decrease in the absorption, blue-shift of the emission and strong PL quenching with a fast recombination of electron-hole pairs was observed for high carbon nanotube weight concentration. These behaviors are doing to the strong interaction of SWNTs with copolymer backbone, which improve exciton dissociation and better carrier transport on SWNTs and polymer backbone. Finally, the presence of nanotubes as a filler network in polymer matrix may be of importance in view of applications for solar cell development.

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### **Carbon Nanotubes - Polymer Nanocomposites**

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Polymer nanocomposites are a class of material with a great deal of promise for potential applications in various industries ranging from construction to aerospace. The main difference between polymeric nanocomposites and conventional composites is the filler that is being used for reinforcement. In the nanocomposites the reinforcement is on the order of nanometer that leads to a very different final macroscopic property. Due to this unique feature polymeric nanocomposites have been studied exclusively in the last decade using various nanofillers such as minerals, sheets or fibers. This books focuses on the preparation and property analysis of polymer nanocomposites with CNTs (fibers) as nano fillers. The book has been divided into three sections. The first section deals with fabrication and property analysis of new carbon nanotube structures. The second section deals with preparation and characterization of polymer composites with CNTs followed by the various applications of polymers with CNTs in the third section.

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