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Segmentation of Handwritten Document Images into Text Lines

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1. Introduction

There are many governmental, cultural, commercial and educational organizations that manage large number of manuscript textual information. Since the management of information recorded on paper or scanned documents is a hard and time-consuming task, Document Image Analysis (DIA) aims to extract the intended information as a human would (Nagy, 2000). The main subtasks of DIA (Mao et al. 2003) are: i) the document layout analysis, which aims to locate the "physical" components of the document such as columns, paragraphs, text lines, words, tables and figures, ii) the document content analysis, for understanding/labelling these components as titles, legends, footnotes, etc. iii) the optical character recognition (OCR) and iv) the reconstruction of the corresponding electronic document.

The proposed algorithms that address the above-mentioned processing stages come mainly from the fields of image processing, computer vision, machine learning and pattern recognition. Actually, some of these algorithms are very effective in processing machine-printed document images and therefore they have been incorporated in the workflows of well-known OCR systems. On the contrary, no such efficient systems have been developed for handling handwritten documents. The main reason is that the format of a handwritten manuscript and the writing style depend solely on the author's choices. For example, one could consider that text lines in a machine-printed document are of the same skew, while handwritten text lines may be curvilinear.

Text line segmentation is a critical stage in layout analysis, upon which further tasks such as word segmentation, grouping of text lines into paragraphs, characterization of text lines as titles, headings, footnotes, etc. may be developed. For instance, a task for text-line segmentation is involved in the pipeline of the Handwritten Address Interpretation System (HWAIS), which takes a postal address image and determines a unique delivery point (Cohen et al., 1994). Another application, in which text line extraction is considered as a pre-processing step, is the indexing of George Washington papers at the Library of Congress as detailed by Manmatha & Rothfeder, 2005. A similar document analysis project, called the

Bovary Project, includes a text-line segmentation stage towards the transcription of the manuscripts of Gustave Flaubert (Nicolas et al., 2004a). In addition, many recent projects, which focus on digitisation of archives, include activities for document image understanding in terms of automatic or semi-automatic extraction and indexing of metadata such as titles, subtitles, keywords, etc. (Antonacopoulos & Karatzas, 2004, Tomai et al., 2002). Obviously, these activities include text-line extraction.

Image Segmentation

Αν κάπους έθετε το ερώτομα ποια είναι ω πιο συροντικά πόλο μέσα στο διάβα τοι τοτορίας τότε πόμος μία οπο τις πιο δηροφιζείς απουτόσεις δα πίταν κ Αθύνα. Και ο χόχος είνοι απλός. Πίγες πόλεις, άπως μ Αθώνα, έκουν να επιδείτρουν τούσ μεγάλι συμβολά στο διαμόριφωτα τοι ανδρώπινης στορίας μαι του αυθρώπινου πνεύματος. Από τον κριτό αιώνα του Περικύ μέκρι τώμερα μ Αθώνα θεωρείται το χίνου τω εχλινικού ποχτειορού, ενός πολιτισμού που επιβέοσε ολόκλομη των αυθρωπόεμτα. Η ιστοριώ Πορεία των πόλως ξεκινάδει από πού νωρίς. Ηδιο οπο των νεοχιθικά εποχά Ευταπίζονται έχοι ματοίμασμα των σωύνων. Η Δωμπό περίδος ξευινόει με τις νομοθετικός και πολιτικός μεταριούμος του Σόμωνα και τού Κρασθένη κατα του δο απώνα π.Χ μετις οποίες δυμιουργείται ο τόπος πολιτεύραις δια θαώρος διαμοτορισμό του του του χαρωνα και του Κρασθένη κατα του δο απώνα π.Χ μετις οποίες δυμιουργείται ο τόπος πολιτεύραις του διαμορείται σύμερα τω ο αιταλομιζότερος για τη διακυθέρινου δυμιουργείεαι ο ζύπος πολιτούρατος που Θεωρείται σύμερα ως ο ματαληλότερος για τη διακυθέρυκου φιας πολιτείας και ευός κρίτους. Απαβά φυσικά για τω δυμοκρατία. Αυσδουθούν οι περσικοί πόλεροι όπου η δίψα για εχαθέρια των Αθυναίων πολιτών νικώσι τα πολυάριδμα περσιαί στρατεύρατα δίνουτας τέλος στυν εξάπαωση του περσιπού δεσποτισμού. Με τη σπουδαία αυτή νίως ξεκτινότι η χρυσά εποχά της Αθυίνας.

Αν κάποιος δοξεε το ερώτηλο ποια είναι η πιο σηλανειαή πολη έσοα στο Suipa της ιστορίας τότε στηρορα μια απο τις πιο Shloppies αποντίσεις δαι πτων η Αδιίνα έχουν τα επιδείδων τότο λεβάλη στοβολή στη διαβόρω-δη τως ανθρώπως ιστορίας και του ανθρώπιτου Πτείλα-τος. Από τον φρωσό αιώνα του Περικλή λέγκοι σήλερα η Αδίγα δεωρείται το λικτό του ελλανικοί πολιτισίο; ενός πολιτισίο που επαρέασε ο λόμλημα ταν αυθρώπιτο τωτα. Η ιστορική που επαρέασε ο λόμλημα ταν αυθρώπο-τωτα. Η ιστορική που επαρέασε ο λόμλημα των ανθρώπο-τωτα. Η ιστορική που επαρέασε ο λόμλημα ταν αυθρώπο-τωτα τα ιστορική πορεία του διαντικοί πολιτισίο; και πολιτισίο που επαρέασε ο λόμλημα ταν αυθρώπο-τωτα. Η ιστορική πορεία του διάνταν του δερασία των αιώνων Η Δαληρί περιδίος Σευντά ει λε σις τοβοσετικός και πολιτικός λεταρριοβίσας του Σίλωνα και του λίσουβού κατα του σο αιώνα π.Χ. με σις οιδιές υπ-βιουργείται ο τύλος πολιτείλατος που δευρατία σήλεται να ο καταληλότερος πα τα διακυβέρτηση μιας Πιλταώς και του Κράτους Μιδάξε ροσικά για τη δηλομρατία. Ακοίουδον οι Περοκοί πολιτών γικός τα πολιοματία. Περοϊκά στρατείλατα λουντας τέλος στων εδαλυ-δαρία των Αθηνείων πολιτών γικός τα που διότου αποροιστος Μιδάξε ροσικά για τη δηλομρατία. Ακοίουδον οι Περοικοί πολείοι όπου η δίψα για ελω-δερία των Αθηνείων πολητών γικός τα πολιουρισμα περοικά στρατείλατας λυοντας τέλος στων εδαλυ-στι το περοικοί δευποτοιδοί. Με τα σπουδιά αυτή ητω δεκινάοι η χρυσί εποχοί. Το διαλο

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Handwriting: that action of emotion of thought, and of decision that has recorded the history of mankind, revealed the genius of invention, and disclosed the inmost depths of the soulful heart. It gives ideal tangible form through written letter pictographic, symbols and signs. Mandwriting forme a bond survey millinia and generations that mil only ties us to the thoughts and It gives ideas & written letters, "Handwriting forms a bond acrow millinia and generations that not only ties us to the throughts and deeds of our forebears but also serves as an irrenscall link to our humanity. Neither machines nor technology can replace the contribution or continuing im-partance of this inexpensione portable skill. Necessary in every age, handwriting pemains just as vital to the enduring saga of similization as out next breach.

- Michael R. Sull-

Issu principalement du dialogue socratique et de la protique des écoles philosophiques de Platon, d'Aristote et des stoirciens l'enseignement repérieur était dispensé, juqu' à la condision de Gonstantin au christianisme impérieur byzantin institué à constantinople en 425 par théodose II pour qu'apparaise la première l'organie de était de l'espace européen. Cler et couvrire pratavement tous les domaines du savoir de l'espace de l'ester de l'espace européen. Cler et couvrire pratavement de clissement du savoir de l'espace de l'espace et clissement du terme. Au reste, c'est compe et clissement du rester destreus pour restauers l'espace du terme. Au rester, c'est compe et clissement du savoir de l'espace de l'espace et clissement du terme. Au rester, c'est compe l'espace du terme. Au rester, c'est compe et clissement du savoir de l'espace de 1588 par l'unestre de termes du supérieur officiel que et clissement d'unes l'occident médié en 1558 par l'unestre de sologne, qui sera todée en 1558 par l'unestre de allonger, qui sera todée en 1558 par l'unestre de allonger, qui sera todée en 2558 par l'unestre de cologne, qui sera todée en als d'a dout l'unestre to de cologne, pais sera todée en als ser l'unestre tode duras l'Occident médié d'a Mais le droit romain dans l'Occident médié d'a Mais le droit romain dans l'Occident médié en als doux l'instruction de celes médicales de saleme et de création des écoles médicales de saleme et de création des écoles médicales de saleme et de montpeller, qui étaient d'éjà celestres du Le sièce et qui ovaient un dracter professionel en dehors de toute turbelle étatique.

Fig. 1. Handwritten document images included in the training set of ICDAR 2007 Handwriting Segmentation: 024.tif, 016.tif, 010.tif, 044.tif, 068.tif and 026.tif

226

This chapter is a comprehensive survey of methods exploited to segment handwritten document images into text lines during the last two decades. The main underlying assumption is that the non-textual information has been removed and the document image comprises only plain text. Even though this hypothesis seems to simplify the task, text-line segmentation has to face many challenges, such as the touching or overlapping text lines and the variation of skew angles. Some typical examples of handwritten document images are illustrated in fig. 1. In the next sections, we will describe, in detail, how the proposed methods try to overcome these difficulties.

The main idea in text-line segmentation is to consider the foreground pixel density and employ one of the following three broad classes of techniques (Razak et al., 2008). The first includes traditional methods that have been applied to printed documents and is based on the analysis of projection profiles. The second class incorporates grouping techniques, also known as bottom-up strategies that attempt to build text lines by considering the alignments of foreground pixels or connected components. The third category includes smearing approaches that aim to enhance the text lines structure by applying linear or morphological filters and exploiting well-known image segmentation methods, such as level sets, scalespace analysis (Lindeberg, & Eklundh, 1992), etc. Moreover, there are some methods that exploit a combination of these techniques with the purpose to improve further the segmentation results.

In section 2, the problem definition and the main challenges of the task are described. Several techniques and the contributions of the most effective algorithms within each class are presented in section 3. The available recourses for validating relative methods and the comparative results of recent contests are reported in section 4. Finally, the chapter is concluded with a discussion of the main outcomes.

2. Background

When creating a manuscript, the author selects the writing instrument and the paper in such a way as to produce a readable document, namely a document with high contrast between the traces of the pen (foreground) and the paper (background). As a consequence, the digitisation of these documents in most of the cases generates binary images. In the case of grey-scale document images, most of the proposed methods for text-line extraction incorporate an initial processing stage of binarization by employing global (Otsu, 1979) or local thresholding (Niblack, 1986, Sauvola & Pietikäinen, 2000). However, some recent techniques combine the results of processing both the grey-scale and the binary versions of the document image.

In binary document images, the traces of the writing instrument are represented by pixels that have value one and constitute the text. The other pixels have value zero, corresponding to the background. The convention for using the values 1 and 0 for foreground and background pixels respectively is very common in studies related to the binary images.

2.1 Definitions

Considering that a two-dimensional binary image is defined on the discrete plane \mathbb{Z}^2 and by selecting a square grid and a certain type of connectivity (e.g. 8-n denotes all the neighbours of a pixel, while 4-n indicates only the cross neighbours), we could represent objects or shapes of the image as groups of neighbouring pixels with the same value. In the view of set theory, a binary image is modelled by the corresponding set *S* as follows:

$$S = \left\{ \mathbf{x} \in \mathbb{Z}^2 : s(\mathbf{x}) = 1 \right\}$$
(1)

where **x** denotes the coordinates of a pixel and *s* is a binary function $s: \mathbb{Z}^2 \rightarrow \{0,1\}$ (Soille, 2004). Then, the shapes in the binary image are defined as the maximal connected subsets of the image foreground pixels, called connected components (CCs). Therefore, the CCs in a document image could be noise specks, dots, single symbols, groups of touching characters, parts of a character that is broken, etc. The extraction of CCs is accomplished by applying a connected component operator that assigns the same value to every pixel of each distinct CC. A common algorithm for identifying CCs is outlined in (Haralick & Shapiro, 1992).

A text line could be considered as a group of CCs that are adjacent, relative close to each other and correspond to occurrences of text elements. By adopting this simple definition, text-line segmentation produces an image, in which each text pixel has a value that identifies the proper text-line (fig. 2, left). Alternatively, a text line could be represented by a large CC that covers the corresponding part of the image, or by a closed curve that represents the boundary of each text line (fig. 2, right).

Ok so my 1st Attempt Was Very cad -I don't know how to change it. so I am re-writting W/my marker. So not Sure how much one can tell now. Do tell me · My future o Travels - near & for Thanks Deboral W.

Fig. 2. Representation of text lines as: groups of text pixels with the same value (left) and parts of the document image (right)

2.2 Challenges

The main challenges of text-line segmentation of handwritten documents arise from the variation of the skew angle between text lines or along the same text line, the existence of overlapping and/or touching lines, the variable character size, the variation of intra-line and inter-line gaps and the non-manhattan layout. To overcome these difficulties, an efficient text-line segmentation algorithm should represent the boundaries of each text line by a closed curve instead of enclosing a text line with a rectangular. In addition, such an algorithm should incorporate procedures for cutting CCs which running along two or more text lines. These are the major differences in handling manuscripts rather than machine-printed documents.

Actually, text line segmentation in printed documents could be seen as a solved problem (Plamondon & Srihari, 2000), which is equivalent with the estimation of the document's skew angle. A comprehensive survey and the annotated bibliography on skew detection of printed document images are presented in (Hull, 1998). To this point, it is supposed that text lines in a printed document have a unique skew angle. Thus, the proper rotation of the image will result to horizontal text lines that could be easily located. A well-known and efficient method for layout analysis of printed documents, called Docstrum, is outlined in (O'Gorman, 1993). The main assumption is that the distances between characters of the same text line are smaller than the distances between characters of successive text lines. The fact that this assumption does not hold for manuscripts, explains why Docstrum cannot handle handwritten documents successfully, as shown in fig. 3.

As mentioned above, this task focuses on text elements only. Therefore, noise removal is the first pre-processing step. In binary document images which incorporate merely textual information, noise removal is equivalent with the elimination of CCs that do not represent text elements but mainly occur due to misses at the digitisation phase. In document images which are considered as normally "clear", simple methods adopting median filters or heuristics based on geometrical and topological properties of the CCs are employed to remove the noisy data. For example, a large CC, which is lying on the edges of the image arises due to the inaccurate placement of the manuscript to the scanner and need to be removed. However, the extraction of actual text elements from digitised historical archives might be a significant issue. Actually, historical documents suffer from smudges, smears, faded print and bleed-through of writing from the opposite side of a page (Likforman-Sulem et al., 2007).

Document images are captured in high resolution (about 300dpi) in order to be suitable for OCR engines. However, text lines have an underlying texture that is manifest in printed documents at low resolutions about 40dpi (Bloomberg, 1996). Hence, subsampling methods that prevent aliasing are also applied in text-line segmentation.

3. Proposed methods

Handwritten documents are characterised by high variability of writing styles. Thus, most of the existing methods adapt to the properties of a document image and eliminate the use of prior knowledge. According to the adopted strategy, the existing methods are classified in three categories, which are discussed in this section. In general, text-line segmentation techniques are script independent. However, some special scripts such as Indian and Arabic incorporate many characters with diacritical points that require great care in CCs assignment.

3.1 Projection-based methods

Given that an image \mathbf{A} with height M and width N could be considered as a matrix of the same dimensions, the projection profile of the image is defined as follows:

$$P(i) = \sum_{j=1}^{N} \mathbf{A}(i, j), \quad i = 1, ..., M$$
(2)

Therefore, the projection is a one-dimensional signal that denotes the amount of text pixels per row. Consequently, the lobes (valleys) of the projection correspond to foreground

Image Segmentation

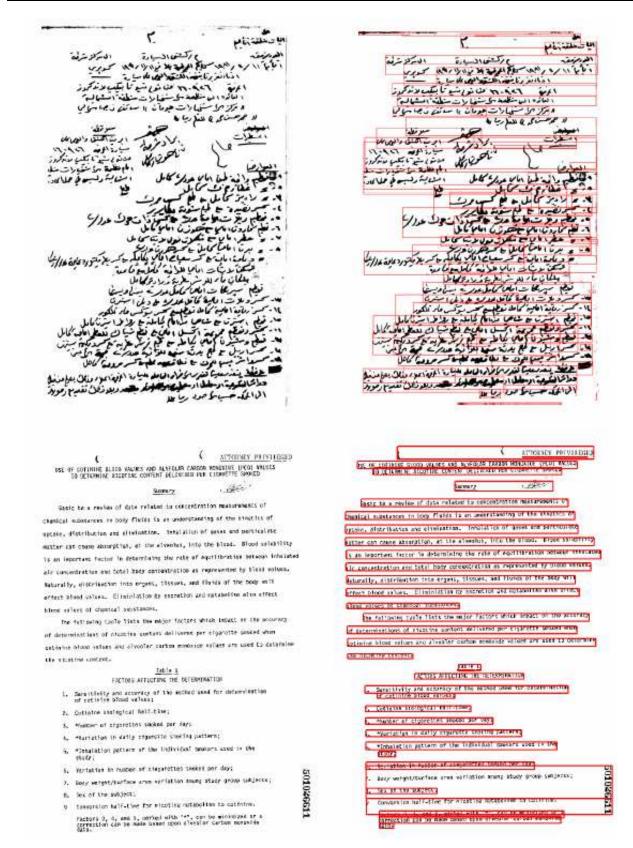


Fig. 3. Text-line segmentation of a machine-printed and a handwritten document image by exploiting the Docstrum method (O'Gorman, 1993). The figure is reprinted (Li et al., 2008) with permission from the author.

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230

(background) areas of the image. Supposing that the text lines have the same skew angle, the amplitude and the frequency of the projection are maximized when the skew of the text is zero. Based on this characteristic, many proposed approaches rotate the image through a range of angles, calculate the projection for each angle and estimate the global skew angle according to a properly selected criterion. Such a criterion could be based on the variance of the projections (Bloomberg et al., 1993) and the sum of the coefficients of the power spectrum (Postl, 1988). After estimating the unique skew angle and rotating the image appropriately, the local minima of the projection allocate the positions of text-line separators.

In machine-printed documents, the separators will be horizontal lines lying within the space between adjacent text lines. Apparently, this might be occurred in some manuscripts written with great care and consistency. In fact, this technique is adopted for the process of 1000 sampled documents from the George Washington corpus at the Library of Congress (Manmatha & Rothfeder, 2005). The additional processing step is the smoothing of the projection by applying a Gaussian low pass filter in order to eliminate false alarms (i.e. insignificant minima) and reduce the noise. A similar method (Santos et al., 2009) includes a post-processing stage for labeling candidate text lines as false or actual, according to their geometrical features (i.e. lines, which correspond to very narrow lobes of the projection, should be removed). Although this approach has been tested in 150 images from the IAM off-line handwritten database (Marti & Bunke, 2002) and showed almost excellent results, it is worth to mention that the text-line segmentation in documents of this database seems to be a straightforward task.

A common feature of manuscripts is the overlapping of successive text lines due to the ascenders and/or descenders of some characters. Hence, the formulation of a horizontal line as a separator is often not feasible. With the purpose to overcome this difficulty, some researchers exploit the projections in order to locate the areas (i.e. the areas between two successive maxima) in which the separators should be allocated. Considering ascenders/descenders as obstacles, the algorithms try to find a path from the left to the right edge in each area, by attempting to move around the obstacles (fig. 4). If the deviation is too high, the algorithm intersects the character and continues forward. Such segmenters could be based on predefined constrains (Yanikoglu & Sandon, 1998) or on the minimization of a proper cost function (Weliwitage et al., 2005).

query hose pushkin had four sons all idiots one did not even know how to sit

Fig. 4. The line separator should be lying in the gray area between the text lines (left, reprinted from Yanikoglu & Sandon, 1998). The final line separator (right, reprinted from Weliwitage et al., 2005).

Since variation of skew angles between text lines or along the same text line is common in manuscripts, the global projections based approaches cannot provide a general solution. Piece-wise projections can be seen as a modification of global projections to the properties of handwritten documents, by which the separators between text lines are drawn in staircase function fashion along the width of the document page. The main idea of piece-wise projections is to divide the document image in vertical non-overlapping equi-width zones

and find the critical local minima of each projection. The selection of the width of the zones is a trade-off between the local skew and the text density. In other words, if the width was large enough, the skew should not be considered as constant. Furthermore, a narrow width would produce zones, which do not include adequate amount of text. Relative experiments showed that a zone width equal to 5% of the document image width seems to be an appropriate value.

However, the non-manhattan layout of manuscripts will result in vertical zones without enough foreground pixels for every text line. In such cases, some local minima may be lost and the results of two adjacent zones may be ambiguous. To deal with these problems, we calculate a smooth version of the projections influenced by the neighboring zones and introduce a separator-drawing algorithm that combines separators of consecutive zones according to their proximity and the local text density as shown in fig. 5 (Papavassiliou et al. 2010).

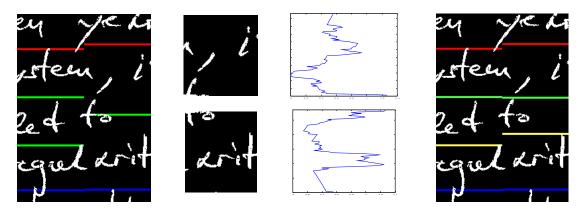


Fig. 5. The separator-drawing algorithm (reprinted from Papavassiliou et al., 2010). The separators in green are ambiguous (first column). New separators in these areas (second column) should be located at the global minima of the metric function (third column) influenced by the local foreground density and the proximity of the separators. The separators with the same colors are associated (fourth column).

The last challenge that projection-based methods have to face is the assignment of CCs to the proper text-lines. In most of the cases, this is a straightforward task since the majority of CCs lie between two line separators. However, some CCs either overlap with two text lines (i.e. characters with ascenders/descenders) or run along two text lines (touching lines). In order to preserve the ascending/descending symbols from been corrupted by arbitrary cuts, several heuristics based on the geometrical and topological properties such as the height, the length, the distance of neighboring CCs, etc. have been proposed. An interesting approach models the text lines by bivariate Gaussian densities considering the coordinates of the pixels of the CCs that have been already assigned. Then, the probabilities that the CC under consideration belongs to the upper or lower text lines are estimated and the decision is made by comparing the probabilities (Arivazhagan et al., 2007). This method has been tested on 720 documents including English, Arabic and children's handwriting and performed a detection rate of 97.31%. In the case that a character should be split, the segmentation occurs at a proper cross point of the skeleton (Lam et al., 1992) by taking account the distance from the separator (fig. 6) as well as the slope and curvature of the stroke (Kuzhinjedathu et al., 2008).

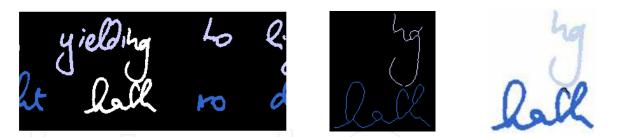


Fig. 6. Segmentation of a CC running along two text lines (reprinted from Papavassiliou et al., 2010).

3.2 Grouping methods

Grouping approaches, also known as bottom-up techniques, were very popular in text-line segmentation of handwritten documents due to their success in prior tasks concerning the process of machine-printed documents (Simon et al., 1997). These methods try to group CCs considering geometrical and topological characteristics of the CCs such as their distances, locations and orientation. The common strategy is to represent each CC with an appropriate vector (e.g. the coordinates of its gravity centre), calculate the distances between that point and the corresponding points of its neighbouring CCs and compare the distances with a proper predefined value. If the constrain is satisfied the CCs are grouped (Khandelwal et al., 2009). Since such methods strongly depend on the values of the thresholds, they cannot handle variation in writing styles. In fact, (Feldbach & Tonnies, 2001) report that a similar method tested on historical church registers achieved a 97% recall rate when the thresholds values are adjusted to specific authors but decreased to 90% when these parameters remained constant for various authors. As a result, many recent methods produce an adjacency graph constructed by linking the pairs of neighbouring CCs with edges. Then, they try recursively to find the minimum spanning tree, which likely crosses CCs of the same text line (Nicolas et al., 2004b).

Additionally, the orientations of the edges that connect these points are also examined. Supposing that CCs in the same text line could be represented by almost collinear points, Hough transform (Duda, & Hart, 1972) has been applied on handwritten documents. Although Hough-based approaches locate text lines with different skew angles correctly, they are not flexible to follow variation of skew along the same text line (fig. 7 left).

3.3 Smearing methods

In general, smearing approaches include two main processing steps. The first stage aims to enhance text areas by blurring the input image. The second step concerns the modification and use of well-known image segmentation methods in order to formulate the text lines. Li et al. (2008) apply an anisotropic Gaussian filter to smooth the image and provide a grey-scale "probabilistic" image that denotes the text line distribution. It is worth to mention that the horizontal dimension of the filter is greater than the vertical in order to advance the mainly horizontal text-line orientation. Then, they locate the initial boundaries of text lines or parts of them using Niblack's algorithm for binarization and finding the contours of the CCs in the produced binary image. Next, the level set method (Osher & Fedkiw, 2003) is adopted and the boundaries evolve concerning the local curvature and density with the purpose of moving fast along the horizontal direction and towards areas with high

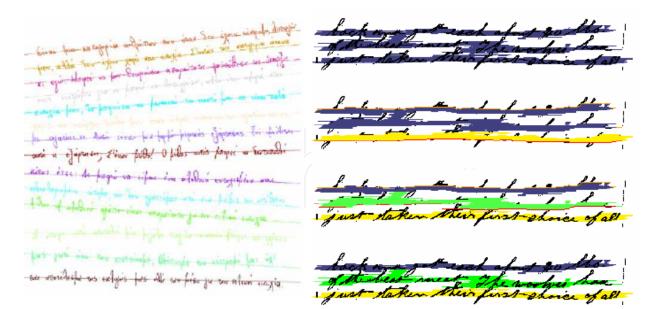


Fig. 7. (left) Results of Hough-based transform (reprinted from Louloudis et al., 2008); (right) Segmentation of CCs based on min-cut/max-flow algorithm (reprinted from Kennard & Barret, 2006).

probability being text. This method has been tested on several manuscripts in different scripts and performed pixel-level hit rates varying from 92% to 98%. As expected, the proposed method fails when the gap between two neighbouring text lines is smaller than the vertical dimension of the Gaussian filter. A similar approach adopts the Mumford-Shah model to locate text lines and then applies morphological operations to either segment merged text lines or join parts of the same text line (Du et al., 2008). Instead of using erosions and dilations Yin & Liu (2009) apply a modification of the variational Bayes framework to the downsampled input image. The binary image (after down-sampling, smoothing and binarization) is considered as a mixture model in which each CC is a Gaussian component. Since, a CC may correspond to more than one text lines, a CC is split according to the second eigenvalue of the covariance (i.e. thick CCs are candidate to be segmented).

Other smearing strategies enhance the text areas by estimating an adaptive local connectivity map (ALCM). This map is actually a grey-scale image in which each pixel has a value that denotes the amount of text pixels in the proximity of the pixel under consideration. By converting this image to a binary one, the resulting CCs represent the text areas of the document image. In most cases, these CCs include many text lines and should be split. Considering such a CC as a graph with candidate source (sink) nodes the pixels in the upper (lower) part, the min-cut/max flow algorithm has been proposed for segmenting the CC to its main components (Kennard & Barret, 2006), as illustrated in fig. 7 right. Alternatively, the ALCM could be replaced by another image produced by applying the run length smoothing algorithm (RLSA). In this image, the value of a pixel is the distance of that pixel from the nearest text pixel. As previously, text areas are represented by pixels with small (dark) values while background corresponds to bright areas. Then, dark areas are grouped according to their orientation and proximity in order to formulate text lines (Shi & Govindaraju, 2004).

4. Evaluation

Research groups test their method either on their own collection of handwritten documents or on a public available database. As a result, many such test sets have been constructed. In this section, we refer to three well-known collections that could be used for evaluating various processing steps such as text-line segmentation, word segmentation and character recognition. The IAM handwriting database¹ consists of 1539 pages of scanned text containing 13353 and 115320 isolated and labelled text lines and words respectively. Although, this database is an excellent resource for validating word segmentation and character recognition algorithms, the text-line extraction seems not to be a complex task due to significant gaps between successive text lines in many images. Another famous database is the NIST Handprinted Forms and Characters Database², which includes handwritten sample forms from 3600 writers. This collection is mainly used for evaluating character recognition techniques but it could be also employed to assess text-line segmentation algorithms.

The other two benchmarking databases are the training and test sets constructed for the Handwriting Segmentation Contests in the context of ICDAR 2007³ and 2009⁴. The first collection consists of 100 images (20 and 80 for training and test, respectively). The second database includes these images (as the training set) and 200 images that construct the test set. The documents are either modern manuscripts written by several writers in several languages (English, French, German and Greek) or historical handwritten archives, or document samples selected from the web. It is worth to mention that none of the documents includes any non-text elements (lines, drawings, etc.)

The comparative results of the algorithms, which participated in ICDAR 2007 Handwriting Segmentation Contest (Gatos et al., 2007) or have been tested on this dataset, are presented in Table 1. Detection Rate (DR) denotes the ratio between the number of text lines detected correctly and the number of ground-truth lines (1771). Similarly, Recognition Accuracy (RA) is calculated by dividing the number of correctly detected lines with the total number of detected text lines. FM denotes the harmonic mean of DR and RA.

	DR(%)	RA(%)	FM%
BESUS (Das et al., 1997)	86.6	79.7	83.0
DUTH-ARLSA	73.9	70.2	72.0
ILSP-LWSeg (Papavassiliou et al., 2010)	97.3	97.0	97.1
PARC	92.2	93.0	92.6
UoA-HT (Louloudis et al., 2008)	95.5	95.4	95.4
PROJECTIONS	68.8	63.2	65.9
Ridges-Snakes (Bukhari et al., 2009)	97.3	95.4	96.3
Shredding (Nikolaou & Gatos, 2009)	98.9	98.3	98.6

Table 1. Evaluation results of algorithms tested on the database of ICDAR2007 Handwriting Segmentation Contest.

¹ http://www.iam.unibe.ch/fki/databases/iam-handwriting-database

² http://www.nist.gov/srd/nistsd19.cfm

³ http://users.iit.demokritos.gr/~bgat/HandSegmCont2007/resources.htm

⁴ http://users.iit.demokritos.gr/~bgat/HandSegmCont2009/resources.html

The evaluation results of algorithms participated in ICDAR2009 Handwriting Segmentation Contest are presented in Table 2. We mention that the test set consists of 200 binary images and their dimensions vary from 650x825 to 2500x3500 pixels. The total number of text lines included in this dataset is 4034.

	DR(%)	RA(%)	FM(%)
CASIA-MSTSeg (Yin & Liu, 2008)	95.86	95.51	95.68
CMM	98.54	98.29	98.42
CUBS (Shi et al., 2009)	99.55	99.50	99.53
ETS	86.66	86.68	86.67
ILSP-LWSeg-09	99.16	98.94	99.05
Jadavpur Univ	87.78	86.90	87.34
LRDE	96.70	88.20	92.25
PAIS	98.49	98.56	98.52
AegeanUniv (Kavallieratou et al., 2003)	77.59	77.21	77.40
PortoUniv (Cardoso et al., 2008)	94.47	94.61	94.54
PPSL	94.00	92.85	93.42
REGIM	40.38	35.70	37.90

Table 2. Comparative results of ICDAR2009 Handwriting Segmentation Contest.

PAIS and ILSP are based on piece-wise projections and achieved high results. On the other hand, similar methods presented poor results because they either adopt global projections (PROJECTIONS) or divide the image into only three vertical zones (AegeanUniv).

Ten participating methods are classified as grouping approaches. In particular, five methods (Jadavpur Univ, CASIA-MSTSeg, CMM, PPSL and REGIM) introduce constrains on the topological and geometrical properties of the CCs in order to create groups of CCs that correspond to text lines. Since, these approaches require many predefined thresholds, the selection of appropriate (improper) values results in good (poor) results. Three approaches (BESUS, ETS and PARC) apply morphological operations to produce new CCs by merging the initial neighbouring CCs and then adopt similar constrains. Another grouping approach is UoA-HT, which exploits the Hough transform. As expected, the algorithm is not very effective when the skew of a text line varies along its width. Although, two methods (DUTH-ARLSA and CUBS) exploit the RLSA algorithm, their results differ significantly. The reason is that CUBS applies the RLSA algorithm in five directions (-20°, -10°, 0°, 10°, and 20°) and combines the results in order to calculate the local skew of each text line.

PortoUniv proposes a tracing algorithm that tries to find proper paths that connect the edges of the image without cutting the textual elements. A similar approach (Shredding) includes a pre-processing step for blurring and then exploits the tracing algorithm. LRDE is a fast algorithm that enhances the test areas by anisotropic Gaussian filtering, smoothes the image by applying morphological operations and segments it by using the watershed transform (Vincent & Soille, 1991). A recent method (Ridges-Snakes) uses a multi-oriented anisotropic Gaussian filter bank for smoothing, approximates the ridges as the central lines of the text parts and then the ridges evolve until they overlap the CCs of the manuscript.

5. Conclusions

After reviewing the existing methods for text-line segmentation we conclude that there are pros and cons for each approach. For example, piece-wise projection based methods can handle text lines with varying skew angles, but fail when the document includes high degree of curl text lines. In addition, the benefit of some grouping strategies is that they succeed to extract text lines from a complex layout but may fail to segment touching text lines. Regarding smearing approaches, some of them seem to be promising since they exploit image segmentation algorithms that have been already applied on other kinds of images. However, they may merge two successive text lines if the gap between them is not large enough. As a conclusion, we report that the existing methods do not generalize very well to all possible variations encountered in handwritten documents.

Thus, text-line segmentation of handwritten documents remains an open issue. This fact explains why the number of relative papers and contests is increasing. Since different methods can face different challenges of this task, we foresee that a combination of complementary techniques could result in a generalized solution.

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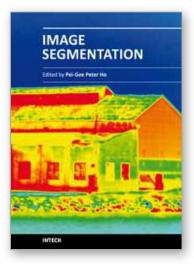


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It was estimated that 80% of the information received by human is visual. Image processing is evolving fast and continually. During the past 10 years, there has been a significant research increase in image segmentation. To study a specific object in an image, its boundary can be highlighted by an image segmentation procedure. The objective of the image segmentation is to simplify the representation of pictures into meaningful information by partitioning into image regions. Image segmentation is a technique to locate certain objects or boundaries within an image. There are many algorithms and techniques have been developed to solve image segmentation problems, the research topics in this book such as level set, active contour, AR time series image modeling, Support Vector Machines, Pixon based image segmentations, region similarity metric based technique, statistical ANN and JSEG algorithm were written in details. This book brings together many different aspects of the current research on several fields associated to digital image segmentation. Four parts allowed gathering the 27 chapters around the following topics: Survey of Image Segmentation Algorithms, Image Segmentation methods, Image Segmentation Applications and Hardware Implementation. The readers will find the contents in this book enjoyable and get many helpful ideas and overviews on their own study.

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