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### Aging-Induced, Defect-Mediated Double Ferroelectric Hysteresis Loops and Large Recoverable Electrostrain Curves in Mn-Doped Orthorhombic KNbO<sub>3</sub>-Based Lead-Free Ceramics

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#### 1. Introduction

Aging is a physical phenomenon in many ferroelectric materials characterized by the spontaneous changes of dielectric, ferroelectric, and piezoelectric properties with time (Jaffe et al., 1971; Lambeek & Jonker, 1986; Schulze & Ogino, 1988; Uchino, 2000). Aging is generally considered to be detrimental because it tends to limit the application viability of ferroelectric materials in terms of reliability and stability. Recently, a series of studies show that aging is useful and valuable to intensionally induce anomalous double (or constricted) ferroelectric hysteresis (P-E) loops, and hence large recoverable electrostrain (S-E) curves, in impurity- or acceptor-doped tetragonal ferroelectric titanates, such as barium titanate (BaTiO<sub>3</sub>) (Lambeek & Jonker, 1986; Ren, 2004; Zhang & Ren, 2005; Zhang & Ren, 2006). Specifically, these aging effects can provide an alternative way of both physical interest and technological importance to modify or enhance the electromechanical properties of tetragonal ferroelectrics. From the phenomenological respects, the aging effects can be described by a gradual stabilization of ferroelectric domain structure by defects (i.e., dopant, vacancy or impurity) (Arlt & Rebels, 1993; Damjanovic, 1998; Hall & Ben-Omran, 1998). In fact, various stabilization theories, including the grain-boundary theory, surface-layer model, domain-wall theory, and volume theory, have been proposed over the past decades (Okasaki & Sakata, 1962; Takahashi, 1970; Carl & Hardtl, 1978; Lambeck & Jonker, 1978; Lambeek & Jonker, 1986; Robels & Arlt, 1993). Among them, the domain-wall-pinning effect has been accepted as a general mechanism of aging (Lambeek & Jonker, 1986; Ren, 2004). It is only quite recently that the volume effect based on the symmetry-conforming principle of point defects was proposed and recognized as the intrinsic governing mechanism of ferroelectric aging (Lambeek & Jonker, 1986; Zhang & Ren, 2006; Yuen et al. 2007).

Compared to tetragonal ferroelectrics, orthorhombic ferroelectrics are as interesting and as important, since orthorhombic ferroelectric phase lies widely in ferroelectrics similar to tetragonal ferroelectric phase (Yamanouchi et al., 1997; Saito et al., 2004; Wang et al., 2006). In particuar, orthorhombic  $A+B^{5+}O_3$  alkaline niobates (KNbO<sub>3</sub>) are promising candidates for

lead-free piezoelectric applications due to their good piezoelectric properties and high Curie temperatures (Yamanouchi et al., 1997; Saito et al., 2004). However, literature report on the aging effects in this class of (lead-free) ferroelectrics remains essentially insufficient till today (Feng & Or, 2009).

More recently, we have investigated the aging effects in an Mn-doped orthorhombic KNbO<sub>3</sub>based [K(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)O<sub>3</sub>] lead-free ceramic: K[(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)<sub>0.99</sub>Mn<sub>0.01</sub>]O<sub>3</sub> so as to provide a relatively complete picture about the aging on both orthorhombic and tetragonal ferroelectrics for the related communities (Feng & Or, 2009). In this work, we present the aging-induced double P–E loops and recoverable S-E curves in the ceramic, and show that aging in the orthorhombic ferroelectric state is capable of inducing an obvious double P–E loop accompanying a recoverable electrostrain as large as 0.15% at 5 kV/mm at room temperature. Such aging effects are interpreted by a point defect-mediated reversible domain switching mechanism of aging driven by a symmetry-conforming short-range ordering (SC-SRO) of point defects. Large nonlinear electrostrains in excess of 0.13% over a broad temerpature range of 25– 140 °C are also demonstrated, suggesting potential application of the aging effects to modify or enhance the electromechanical properties of environmentally-friendly (lead-free) ceramics.

#### 2. Ceramic preparation and property measurements

#### 2.1 Ceramic preparation

The Mn-doped orthorhombic A<sup>+</sup>B<sup>5+</sup>O<sub>3</sub> alkaline niobate (KNbO<sub>3</sub>)-based [K(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)O<sub>3</sub>] leadfree ceramic: K[(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)<sub>0.99</sub>Mn<sub>0.01</sub>]O<sub>3</sub> was synthesized using a conventional solid-state reaction technique (Yamanouchi et al., 1997; Saito et al., 2004). The parental compound K(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)O<sub>3</sub> was essentially based on KNbO<sub>3</sub> but was modified by adding 10% Ta to the Nb site. As shown in Fig. 1, such modification served to shift the cubic (paraelectric)-tetragonal (ferroelectric) phase transition temperature T<sub>C</sub> and the tetragonal (ferroelectric)-orthorhombic (ferroelectric) phase transition temperature T<sub>O-T</sub> to the lower temperature side, besides making the "hard" material to be relatively "soft" (Triebwasser, 1959). To formulate the ceramic, 1.0 mol.% Mn was added to the B-site of K(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)O<sub>3</sub> as the acceptor dopant.

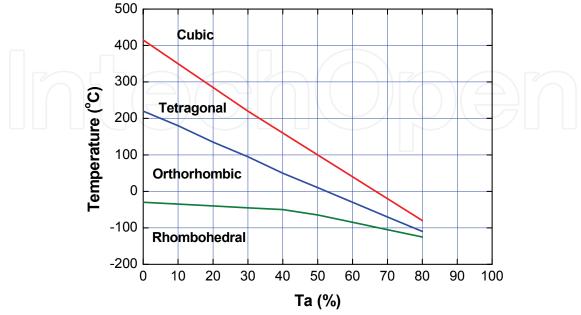


Fig. 1. Phase diagram of  $K(Nb_{1-x}Ta_x)O_3$  compound

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The starting chemicals were K<sub>2</sub>CO<sub>3</sub> (99.5%), Nb<sub>2</sub>O<sub>5</sub> (99.9%), Ta<sub>2</sub>O<sub>5</sub> (99.9%), and MnO<sub>2</sub> (99%). Calcination was done at 850 °C for 4 h in a K<sub>2</sub>O-rich atmosphere, while sintering was carried out at 1050 °C for 0.5 h in air. In order to remove the historical effect, all the as-prepared samples were deaged by holding them at 500 °C for 1 h followed by an air-quench to room temperature. The quenched and deaged samples are designated as "fresh samples". Some fresh samples were aged at 130 °C for 5 days, and the resulting samples are denoted as "aged samples".

#### 2.2 Property measurements

The temperature dependence of dielectric constant of the fresh samples was evaluated at different frequencies using a LCR meter (HIOKI 3532) with a temperature chamber. The bipolar and unipolar ferroelectric hysteresis (P–E) loops and electrostrain (S-E) curves for the aged and fresh samples were measured at a frequency of 5 Hz using a precision ferroelectric test system (Radiant Workstation) and a photonic displacement sensor (MTI 2000) under various temperatures in a temperature-controlled silicon oil bath (Fig. 2).

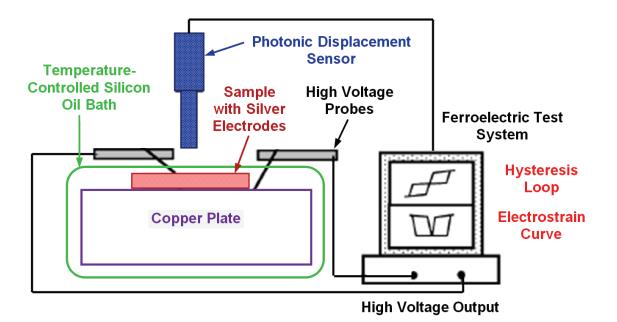
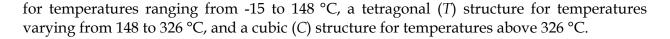


Fig. 2. Experimental setup for measuring bipolar and unipolar ferroelectric hysteresis (P-E) loops and electrostrain (S-E) curves

#### 3. Results and discussion

#### 3.1 Temperature dependence of dielectric constant

Fig. 3 shows the temperature dependence of dielectric constant for the fresh samples at three different frequencies of 0.1, 1, and 10 kHz. Three distinct dielectric peaks are observed at about 326, 148, and -15 °C, respectively. X-ray diffraction (XRD) characterization indicates that they correspond to the cubic (paraelectric)-tetragonal (ferroelectric) phase transition temperature  $T_{C}$ , the tetragonal (ferroelectric)-orthorhombic (ferroelectric) phase transition temperature  $T_{O-T}$ , and the orthorhombic (ferroelectric)-rhombohedral (ferroelectric) phase transition temperature  $T_{R-O}$ , respectively (Triebwasser, 1959). Therefore, our samples have a rhombohedral (R) structure for temperatures below -15 °C, an orthorhombic (O) structure



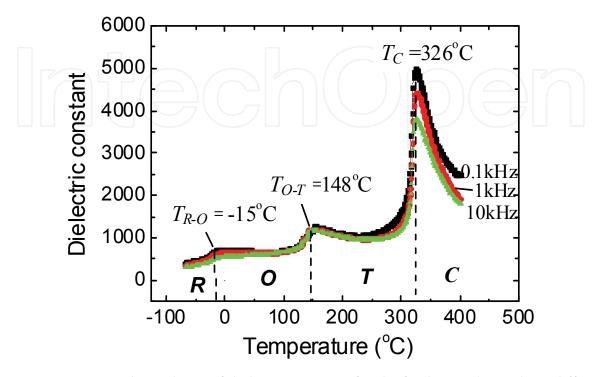


Fig. 3. Temperature dependence of dielectric constant for the fresh samples at three different frequencies of 0.1, 1, and 10 kHz. *C*=cubic, *T*=tetragonal, *O*=orthorhombic, and *R*=rhombohedral

## 3.2 Room-temperature bipolar and unipolar ferroelectric hysteresis loops and electrostrain curves

Fig. 4 illustrates the bipolar and unipolar ferroelectric hysteresis (P-E) loops and electrostrain (S-E) curves for the aged and fresh samples at room temperature. In contrast with the normal bipolar *P*-*E* loop for the fresh samples, the aged samples in Fig. 4(a) possess an interesting bipolar double P-E loop, very similar to that of the aged acceptor-doped tetragonal ferroelectrics such as the A<sup>2+</sup>B<sup>4+</sup>O<sub>3</sub> system (Ren, 2004; Zhang & Ren, 2005; Zhang & Ren, 2006). Moreover, a large recoverable electrostrain of 0.15% at 5 kV/mm, accompanying the double *P*-*E* loop, is achieved in our aged samples. This recoverable *S*-*E* curve is indeed different from the butterfly irrecoverable *S*–*E* curve as obtained in the fresh samples due to the existence of a recoverable domain switching in the aged samples but an irrecoverable domain switching in the fresh samples. Fig. 4(b) shows the unipolar *P*-*E* loops and *S*–*E* curves for the aged and fresh samples. It is clear that a large polarization *P* of about 22  $\mu$ C/cm<sup>2</sup> is obtained at 5 kV/mm for the aged samples compared to a much smaller P of about 6  $\mu$ C/cm<sup>2</sup> in the fresh samples at the same field level. With the large P, a large nonlinear electrostrain of 0.15% at 5 kV/mm is available for the aged samples owing to the reversible domain switching. It is noted that this electrostrain not only is 2.5 times larger than the fresh samples, but also exceeds the "hard" lead zirconate titanate (PZT) value of 0.125% at 5 kV/mm (Park & Shrout, 1997). It is also noted that the electrostrain in our fresh

samples (having a small quantity of Mn acceptor dopant) is not obviously different from that in the undoped  $K(Nb_{0.90}Ta_{0.10})O_3$  ceramic, and similarly large electrostrain has been reported recently on the aged tetragonal  $K(Nb_{0.65}Ta_{0.35})O_3$ -based ceramics (Feng & Ren, 2007).

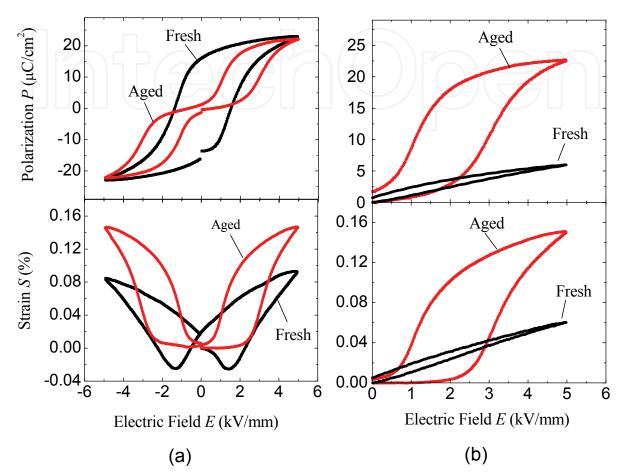


Fig. 4. (a) Bipolar and (b) unipolar ferroelectric hysteresis (*P*–*E*) loops and electrostrain (*S*-*E*) curves for the aged and fresh samples at room temperature

## 3.3 Physical interpretation by a point defect-mediated reversible domain switching mechanism of aging

Although our orthorhombic K[(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)<sub>0.99</sub>Mn<sub>0.01</sub>]O<sub>3</sub> ceramic has different crystal symmetry from tetragonal ferroelectric BaTiO<sub>3</sub>, they all belong to perovskite *ABO*<sub>3</sub> structure. This lets us to believe that the observed aging effects in our aged orthorhombic samples can be explained according to a point defect-mediated reversible domain switching mechanism of aging driven by a symmetry-conforming short-range ordering (SC-SRO) of point defects (i.e., acceptor ions and vacancies) adopted successfully in BaTiO<sub>3</sub> (Ren, 2004; Zhang & Ren, 2005; Zhang & Ren, 2006). In fact, when acceptor dopant Mn<sup>4+</sup>/Mn<sup>3+</sup> ions displace the central Nb<sup>5+</sup>/Ta<sup>5+</sup> ions of the *B*-site in the aged K[(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)<sub>0.99</sub>Mn<sub>0.01</sub>]O<sub>3</sub> samples, oxygen vacancies V<sub>0</sub> form at the O<sup>2-</sup> sites to maintain the charge neutrality, resulting in point defects (i.e., defect dipoles) with the central acceptor dopants. Fig. 5 depicts how such aging effects are produced in a single-crystal grain of our aged samples. Some associated remarks are included as follows.

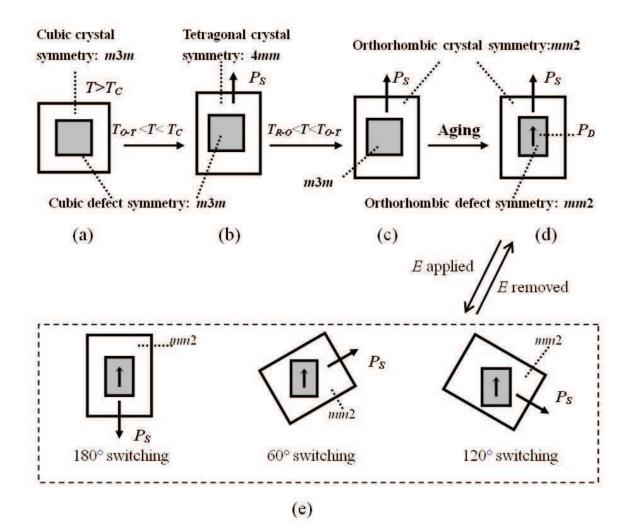


Fig. 5. Crystal and defect symmetries of a single-crystal grain in (a) a fresh  $K[(Nb_{0.90}Ta_{0.10})_{0.99}Mn_{0.01}]O_3$  sample at  $T>T_C$ , (b) the fresh sample at  $T_{O-T}<T<T_C$ , (c) the fresh sample at  $T_{R-O}<T<T_{O-T}$ , and (d) an aged sample at room temperature. (e) Electric field *E*-induced switching of the 180°, 60°, and 120° ferroelectric domains in the aged sample at room temperature

- 1. When the fresh samples are just sintered and their temperature *T* is still above the Curie point  $T_C$  (i.e.,  $T>T_C$ ), its single-crystal grain exhibits a cubic crystal symmetry m3m, and point defects naturally show a conforming cubic defect symmetry m3m, as shown in Fig. 5(a).
- 2. At  $T_{O-T} < T < T_C$ , the single-crystal grain of the fresh samples shows a tetragonal crystal symmetry 4mm, due to the displacement of positive and negative ions along the [001] crystallographic axis, producing a nonzero spontaneous polarization  $P_S$  as shown in Fig. 5(b). However, the short-range ordering (SRO) distribution of point defects keeps the same cubic defect symmetry m3m as that in the cubic paraelectric phase because the diffusionless paraferroelectric transition cannot alter the original cubic SRO symmetry of point defects (Ren, 2004).
- 3. At  $T_{R-O} < T < T_{O-T}$ , the single-crystal grain of the fresh samples exhibits an orthorhombic crystal symmetry *mm*2, owing to the ferroelectric-ferroelectric phase transition from the tetragonal to orthorhombic structure, producing a nonzero  $P_S$  along the [110]

crystallographic axis as shown in Fig. 5(c). Again, the SRO distribution of point defects still keeps the same cubic defect symmetry *m*3*m* because of fast cooling. As a result, two unmatched symmetries (i.e., the orthorhombic crystal symmetry and the cubic defect symmetry) exist simultaneously in the fresh ferroelectric state [Fig. 5(c)]. According to the SC-SRO principle (Ren & Otsuka, 2000), such a state is energetically unstable and the samples tend to a symmetry-conforming state.

- 4. After aging at 130 °C for 5 days in the ferroelectric state, the cubic defect symmetry m3m changes gradually into a polar orthorhombic defect symmetry mm2, while the singlecrystal grain of the aged samples has a polar orthorhombic crystal symmetry mm2, as shown in Fig. 5(d). Such a change is realized by the migration of V<sub>O</sub> during aging, and the polar orthorhombic defect symmetry creates a defect polarization  $P_D$ , aligning along the spontaneous polarization  $P_S$  direction [Fig. 5(d)].
- 5. When an electric field *E* is initially applied in opposition to  $P_S$  of the aged orthorhombic samples [Fig. 5(e)], an effective switching of the available 180° ferroelectric domains is induced, contributing to a small polarization at low *E* (<1.5 kV/mm), as shown in Fig. 4(b). Continuing a larger applied *E* (>1.5 kV/mm), non-180° domain switching (mainly 60° and 120° domain switching according to the polar orthorhombic crystal symmetry) is induced, but the polar orthorhombic defect symmetry and the associated  $P_D$  cannot have a sudden change [Fig. 5(e)]. Hence, the unchanged defect symmetry and the associated  $P_D$  cause a reversible domain switching after removing *E*. Consequently, an interesting macroscopic double *P*-*E* loop and a large recoverable *S*-*E* curve are produced as in Fig. 4. For the fresh samples, since the defect symmetry is a cubic symmetry and cannot provide such an intrinsic restoring force, we can only observe a normal macroscopic *P*-*E* loop and a butterfly *S*-*E* curve due to the irreversible domain switching [Fig. 4(a)].

It should be noted that the microscopic description for the orthorhombic KNbO<sub>3</sub>-based ferroelectrics is very similar to that for acceptor-doped tetragonal ferroelectric titanates (Ren, 2004; Zhang & Ren, 2005; Zhang & Ren, 2006). The observed aging effects originate essentially from the inconformity of the crystal symmetry with the defect symmetry after a structural transition. This may be the intrinsic reason why macroscopic double *P*-*E* loops and recoverable *S*-*E* curves are achieved in different ferroelectric phases and different ferroelectrics. Such aging mechanism, based on the SC-SRO principle of point defects, is insensitive to crystal symmetry and constituent ionic species, indicating a common physical origin of aging.

#### 3.4 Effect of temperature on ferroelectric hysteresis loops and electrostrain curves

Fig. 6 plots the unipolar ferroelectric hysteresis (*P*–*E*) loops and electrostrain (*S*–*E*) curves for the aged samples at five different temperatures of 25, 80, 120, 140, and 160 °C in order to investigate their temperature stabilities for applications. The insets show the temperature dependence of maximum polarization  $P_{\text{max}}$  and maximum strain  $S_{\text{max}}$  of the aged samples at 5 kV/mm. It can be seen that the aging-induced high  $P_{\text{max}}$  in excess of 19 µC/cm<sup>2</sup> and large  $S_{\text{max}}$  in excess of 0.13% can be persisted up to 140 °C, reflecting a good temperature stability for the effects. Above 140 °C, both the unipolar *P*–*E* loop and *S*–*E* curve become normal, while  $P_{\text{max}}$  and  $S_{\text{max}}$  decrease significantly. This can be ascribed to the destruction of defect symmetry and migration of V<sub>O</sub> as a result of the exposure to high temperature and the approach of the tetragonal phase ( $T_{O-T}$  =148 °C). Thus, point defects cannot provide a

restoring force for a reversible domain switching so that the obvious P-E loop becomes a normal loop and the recoverable S-E curve vanishes.

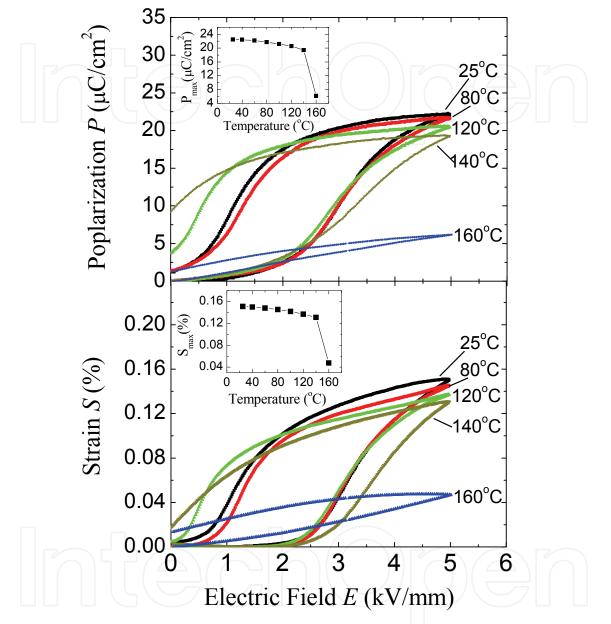


Fig. 6. Unipolar ferroelectric hysteresis (*P*–*E*) loops and electrostrain (*S*–*E*) curves for the aged samples at five different temperatures of 25, 80, 120, 140, and 160 °C. The insets show the temperature dependence of maximum polarization  $P_{\text{max}}$  and maximum strain  $S_{\text{max}}$  of the aged samples at 5 kV/mm

#### 4. Conclusion

In summary, we have investigated the aging-induced double ferroelectric hysteresis (*P*–*E*) loops and recoverable electrostrain (*S*–*E*) curves in an Mn-doped orthorhombic KNbO<sub>3</sub>-based [K(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)O<sub>3</sub>] lead-free ceramic: K[(Nb<sub>0.90</sub>Ta<sub>0.10</sub>)<sub>0.99</sub>Mn<sub>0.01</sub>]O<sub>3</sub>. Obvious double *P*–*E* 

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loops and large recoverable *S*–*E* curves with amplitudes in excess of 0.13% at 5 kV/mm have been observed in the aged samples over a wide temperature range of 25–140 °C. The observations have been found to have striking similarities to tetragonal ferroelectrics, besides following a point defect-mediated reversible domain switching mechanism of aging driven by a symmetry-conforming short-range ordering (SC-SRO) of point defects. Such aging effects, being insensitive to crystal structure and constituent ionic species, provide a useful way to modify or enhance the electromechanical properties of lead-free ferroelectric material systems.

#### 5. Acknowledgements

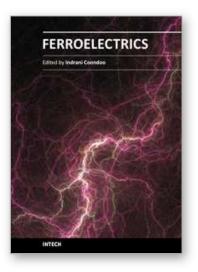
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Ferroelectric materials exhibit a wide spectrum of functional properties, including switchable polarization, piezoelectricity, high non-linear optical activity, pyroelectricity, and non-linear dielectric behaviour. These properties are crucial for application in electronic devices such as sensors, microactuators, infrared detectors, microwave phase filters and, non-volatile memories. This unique combination of properties of ferroelectric materials has attracted researchers and engineers for a long time. This book reviews a wide range of diverse topics related to the phenomenon of ferroelectricity (in the bulk as well as thin film form) and provides a forum for scientists, engineers, and students working in this field. The present book containing 24 chapters is a result of contributions of experts from international scientific community working in different aspects of ferroelectricity related to experimental and theoretical work aimed at the understanding of ferroelectricity and their utilization in devices. It provides an up-to-date insightful coverage to the recent advances in the synthesis, characterization, functional properties and potential device applications in specialized areas.

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